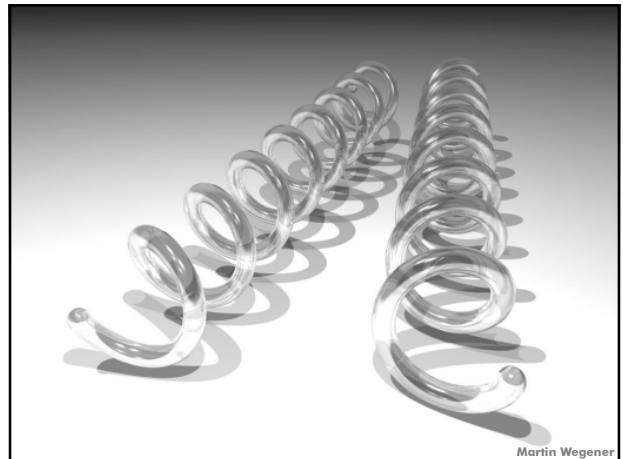


- 1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
- 2. Extreme Cauchy Elasticity
- 3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
- 4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
- 5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

Martin Wegener



Martin Wegener

Chiral structures require ...

- ... broken space-inversion symmetry
- ... absence of mirror planes
- ... no rotation-reflection symmetries

Martin Wegener

When pushing or pulling on a bar, Cauchy continuum mechanics does **not** allow for twisting of the bar

– even if the material unit cells break inversion symmetry.

$$\vec{\sigma} = \vec{C} \vec{\epsilon} : \vec{r} \rightarrow -\vec{r} \Rightarrow \vec{C} \rightarrow \vec{C}$$



Louis Cauchy, 1789-1857

up to 21 independent parameters, but force-to-torque conversion is forbidden

Microcontinuum  
Field Theories

I: FOUNDATIONS AND SOLIDS

A. Cemal Eringen

Microcontinuum  
Field Theories

II: FLUENT MEDIA

A. Cemal Eringen

brief review: I. Fernandez-Corbaton et al., Adv. Mater. 31, 1807742 (2019)

electromagnetic continua

$$D_i = \epsilon_0 \epsilon_{ij} E_j + \frac{1}{c_0} \xi_{ij} H_j$$

$$B_i = \frac{1}{ic_0} \xi_{ij} E_j + \mu_0 \mu_{ij} H_j$$

continuum mechanics

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl} + B_{ijkl} \varphi_{kl}$$

$$m_{ij} = B_{ijkl} \epsilon_{kl} + A_{ijkl} \varphi_{kl}$$

complete if  $a \ll L$  and  $a \ll \lambda$

Einstein summation convention, reciprocity assumed

**electromagnetic continua**

$$D_i = \epsilon_0 \epsilon_{ij} E_j + \frac{1}{c_0} \xi_{ij} H_j$$

$$B_i = \frac{1}{ic_0} \xi_{ji} E_j + \mu_0 \mu_{ij} H_j$$

**continuum mechanics**

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl} + B_{ikij} \varphi_{kl}$$

$$m_{ij} = B_{ijkl} \epsilon_{kl} + A_{ijkl} \varphi_{kl}$$

Einstein summation convention, reciprocity assumed

**electromagnetic continua**

$$D_i = \epsilon_0 \epsilon_{ij} E_j + \frac{1}{c_0} \xi_{ij} H_j$$

$$B_i = \frac{1}{ic_0} \xi_{ji} E_j + \mu_0 \mu_{ij} H_j$$

**continuum mechanics**

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl} + B_{ikij} \varphi_{kl}$$

$$m_{ij} = B_{ijkl} \epsilon_{kl} + A_{ijkl} \varphi_{kl}$$

$\epsilon_{ij} = \mu_{ij}$  : dual & helicity preserving media

Einstein summation convention, reciprocity assumed

**electromagnetic continua**

$$D_i = \epsilon_0 \epsilon_{ij} E_j + \frac{i}{c_0} \xi_{ij} H_j$$

$$B_i = \frac{1}{ic_0} \xi_{ji} E_j + \mu_0 \mu_{ij} H_j$$

**continuum mechanics**

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl} + B_{ikij} \varphi_{kl}$$

$$m_{ij} = B_{ijkl} \epsilon_{kl} + A_{ijkl} \varphi_{kl}$$

cross-terms nonzero if medium is chiral

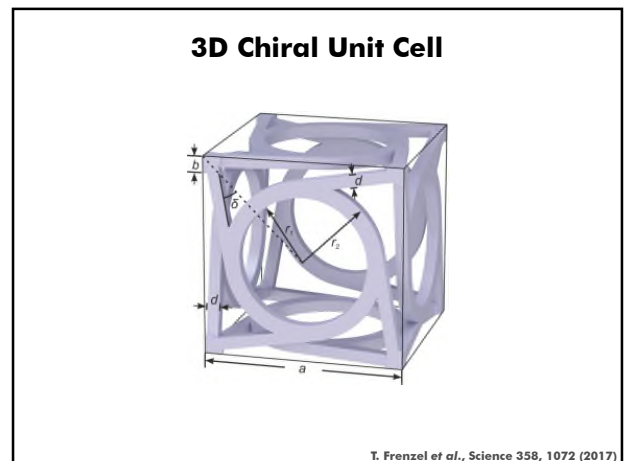
Einstein summation convention, reciprocity assumed

1. Making 3D Metamaterials by 3D Laser Nanoprinting
    - 1.1. Using Two-Photon Absorption
    - 1.2. Using Two-Step Absorption
    - 1.3. Comparison with Other Approaches
  2. Extreme Cauchy Elasticity
  3. Chiral Micropolar Elasticity
    - 3.1. Static Case: Twists and Characteristic Length Scales**
    - 3.2. Chiral Phonons and Acoustical Activity
    - 3.3. Towards Isotropic Elastic Properties
    - 3.4. Roton-Like Dispersion Relations
  4. Nonlocal Elasticity
    - 4.1. Beyond-Nearest-Neighbor Interactions
    - 4.2. Elastic, Acoustic, and Electromagnetic Waves
  5. Anomalous Frozen Evanescent Phonons
    - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
    - 5.2. Examples
- Martin Wegener

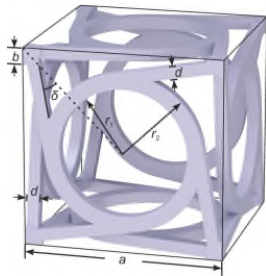
### # Independent Parameters

	Cauchy elasticity	micropolar elasticity
isotropic	2	9
cubic chiral*	3	12
triclinic	21	196

\*pentagon ikosi-tetrahedral point group, Schoenflies symbol O, Hermann-Mauguin symbol 432

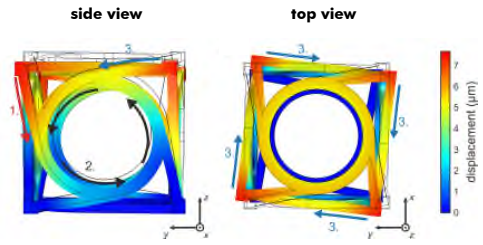


### 3D Chiral Unit Cell



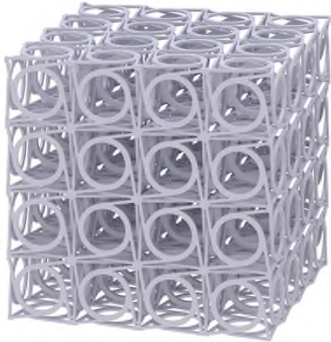
make  $r_2$  as large as possible, use maximum geometrically possible angle

### Mechanism



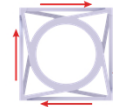
note that the center of mass does not move in  $xy$ -plane

### 3D Chiral Lattice



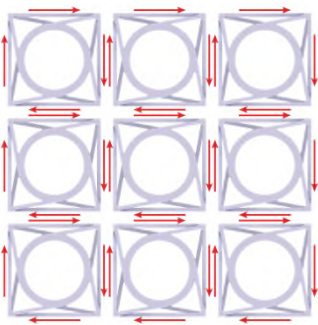
T. Frenzel *et al.*, Science 358, 1072 (2017)

### Intuitive Picture



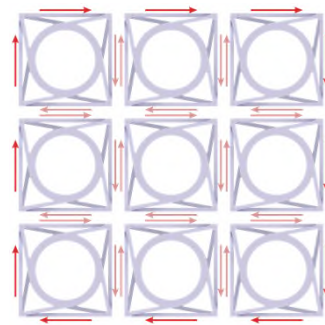
Tobias Frenzel

### Intuitive Picture

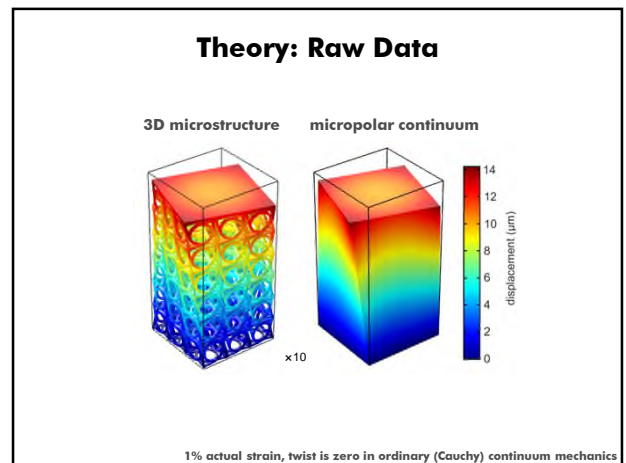
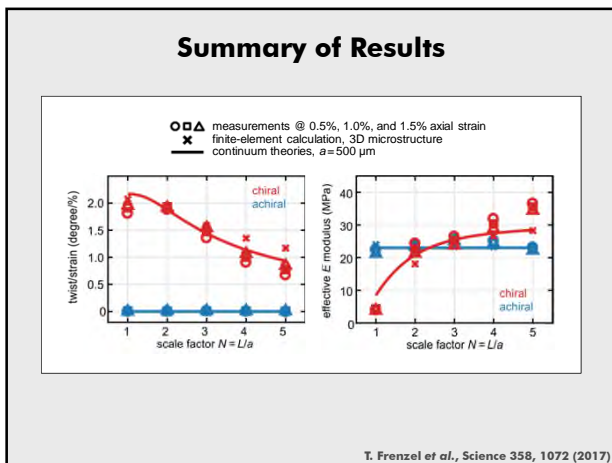
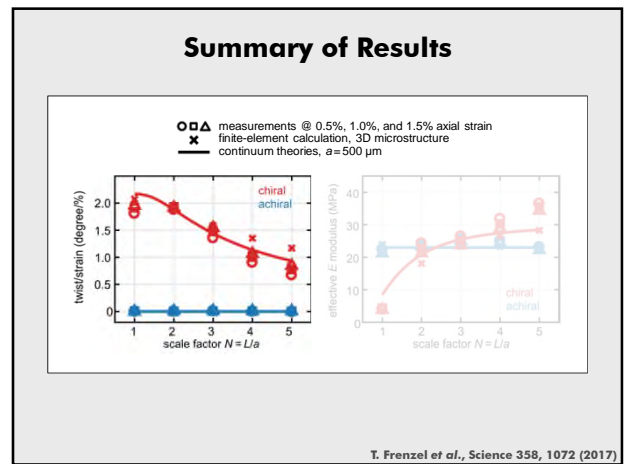
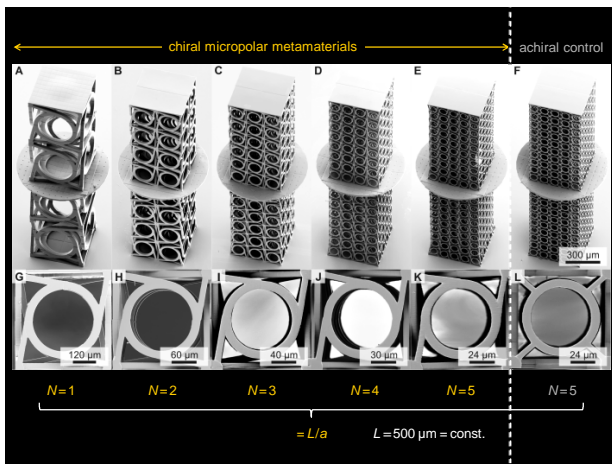
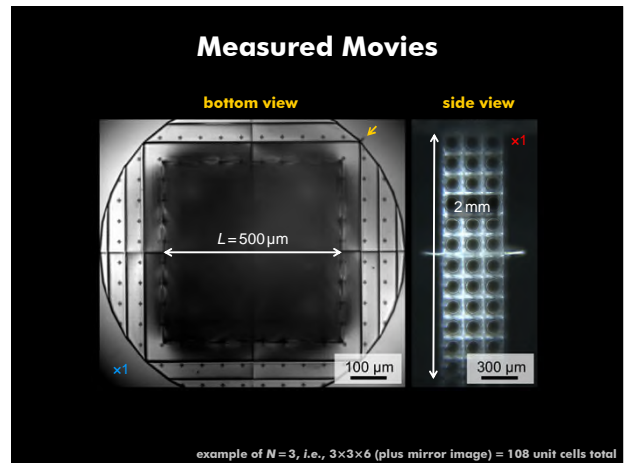
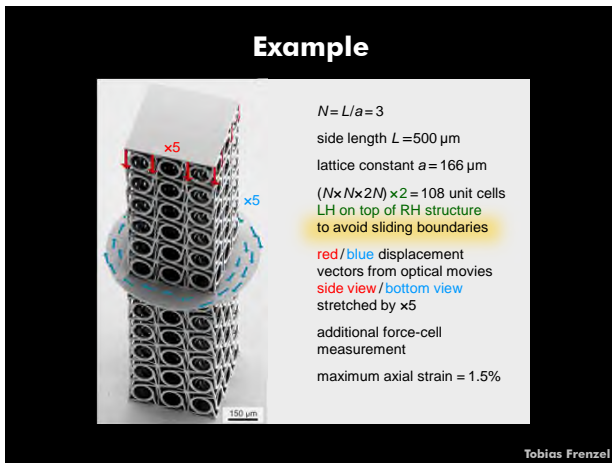


Tobias Frenzel

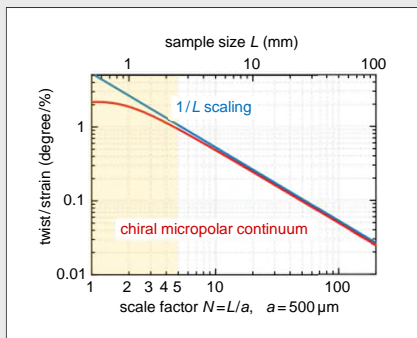
### Intuitive Picture



Tobias Frenzel



### Large-Sample Limit

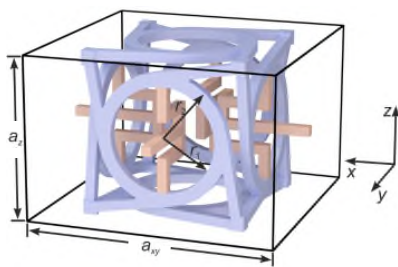


T. Frenzel et al., Science 358, 1072 (2017)

### Larger Length Scales?

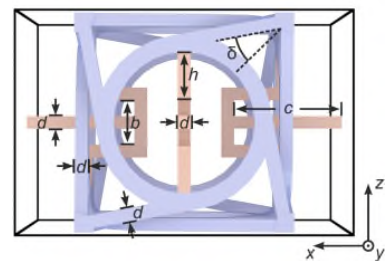
Martin Wegener

### 3D Chiral Tetragonal Unit Cell



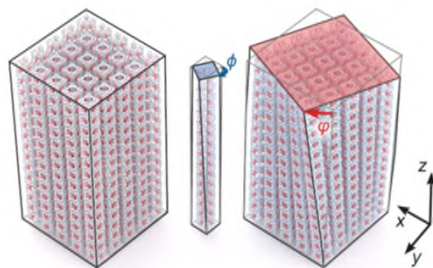
T. Frenzel et al., (Nature) Commun. Mater. 2, 4 (2021)

### 3D Chiral Tetragonal Unit Cell



T. Frenzel et al., (Nature) Commun. Mater. 2, 4 (2021)

### $N \times N \times (3N)$ Unit Cells; $N=5$



T. Frenzel et al., (Nature) Commun. Mater. 2, 4 (2021)

### Simple Model

T. Frenzel et al., (Nature) Commun. Mater. 2, 4 (2021)

**We consider three contributions to the elastic energy**

$$W = W(\epsilon_{zz}, \varphi) = W_1 + W_2 + W_3$$

$$= c_1 N^3 \epsilon_{zz}^2 + c_2 N^3 \varphi^2 + c_3 N (\varphi - \phi)^2$$

$$\frac{\phi}{\epsilon_{zz}} = \gamma N$$

**and search for the elastic-energy minimum**

$$\frac{\partial W}{\partial \varphi} = 0 \Rightarrow \dots$$

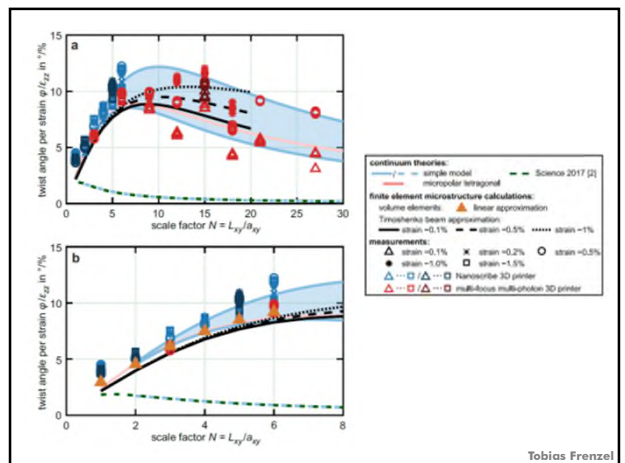
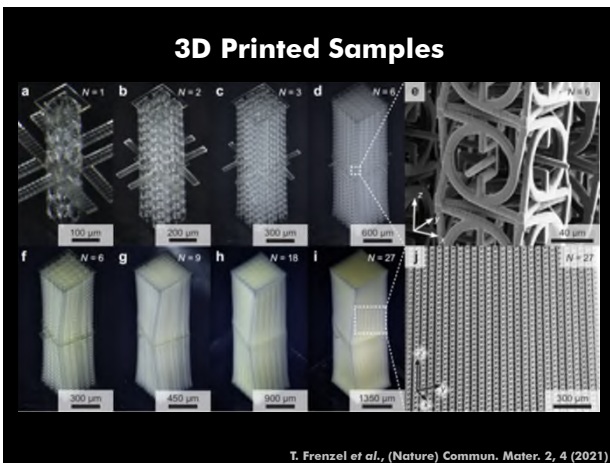
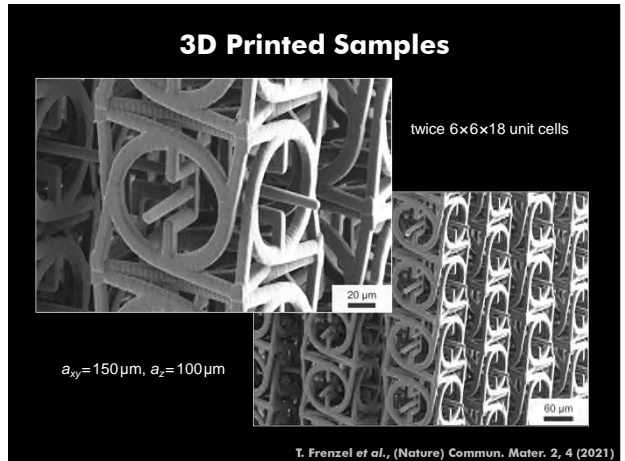
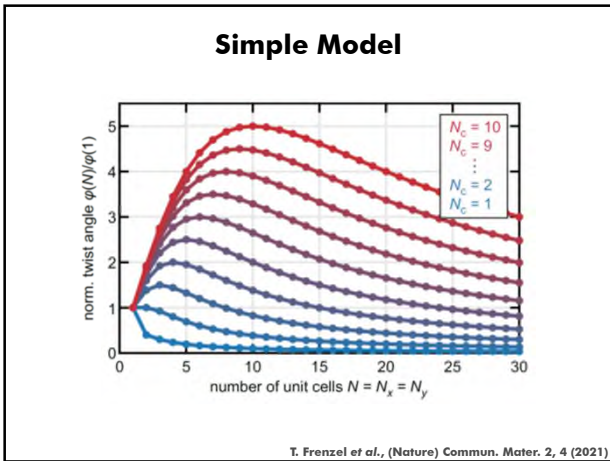
predetermined axial strain, linear-elastic regime, fixed sample aspect ratio

**... to obtain the twist angle vs. sample size with a characteristic number or length**

$$\varphi(N > 1) = \varphi(1) \frac{NN_c^2}{N^2 + N_c^2} ; N_c = \frac{L_c}{a_{xy}} = \sqrt{\frac{c_3}{c_2}}$$

$\approx N$  :  $N \ll N_c$   
 $= N_c/2$  :  $N = N_c$  maximum  
 $\approx N_c^2/N$  :  $N \gg N_c$

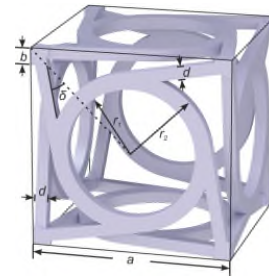
predetermined axial strain, linear-elastic regime, fixed sample aspect ratio



1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity**
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

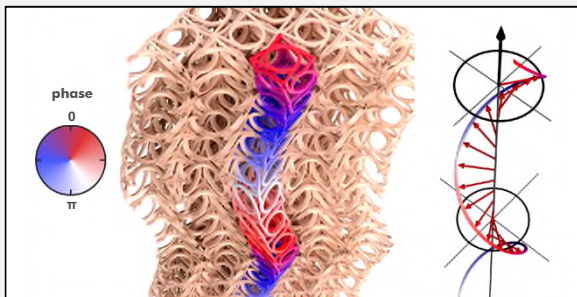
Martin Wegener

### 3D Chiral Cubic Unit Cell



T. Frenzel et al., Science 358, 1072 (2017)

### Chiral Phonons



T. Frenzel et al., Nature Commun. 10, 3384 (2019)

A linearly polarized wave at  $z=0$  in a chiral medium can be decomposed into circular eigenpolarizations, which propagate with different velocities along  $z$ .

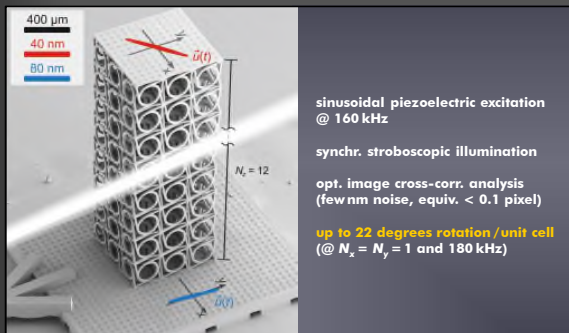
This leads to the polarization rotation angle

$$\vartheta(\omega) = \left( \frac{\omega}{c_+} - \frac{\omega}{c_-} \right) \frac{L_z}{2} = \Delta k_z(\omega) \frac{L_z}{2}$$

with upper bound  $|\vartheta(\omega)| \leq \frac{\pi}{2} \frac{L_z}{a}$

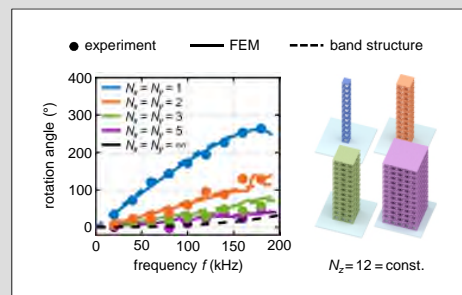
T. Frenzel et al., Nature Commun. 10, 3384 (2019)

### Acoustical Activity



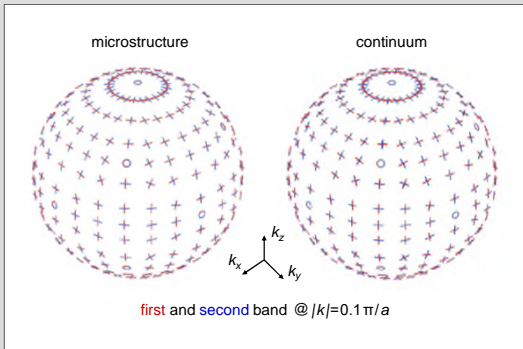
T. Frenzel et al., Nature Commun. 10, 3384 (2019)

### Experiment - Theory



T. Frenzel et al., Nature Commun. 10, 3384 (2019)

## Bulk Eigenpolarizations



Y. Chen *et al.*, *J. Mech. Phys. Solids* 137, 103877 (2020)

## Bulk Eigenpolarizations

**Condition #1: Arrangement\* is chiral.**  
**Condition #2: Phonon wave vector is axis with  $n$ -fold ( $n \geq 3$ ) rotational symmetry.**

Condition #1 is **necessary** for chiral phonons.

Condition #2 together with #1 is **sufficient** for chiral phonons.

Condition #2 is **not necessary**.

\* arrangement = crystal combined with phonon wave vector

## Isotropic Chiral Phonons?

Martin Wegener

1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

Martin Wegener

## Using 3D Quasicrystals

Martin Wegener

**3D quasi-crystals** can be generated by projecting a rotated 6D simple-cubic crystal onto 3D [1].

The rotation angle is connected to the **golden ratio**, which can be approximated by ratios of consecutive **Fibonacci numbers**

$$\tau = \frac{\sqrt{5} + 1}{2} = \lim_{n \rightarrow \infty} \frac{F_{n+1}}{F_n} = \frac{1}{1}, \frac{2}{1}, \frac{3}{2}, \frac{5}{3}, \frac{8}{5}, \frac{13}{8}, \frac{21}{13}, \dots$$

$$\approx 1.618033988749894848204586 \dots$$

[1] C. Janot, "Quasicrystals: A Primer", Clarendon Press (1998)



This approximant procedure leads to **3D crystals** based on a simple-cubic lattice with increasing **lattice constant**

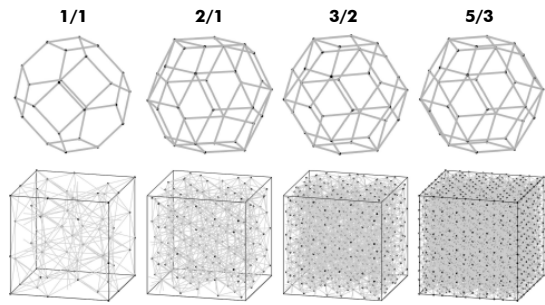
$$a_{q/p} = a_{6D} \frac{2(q\tau + p)}{\sqrt{1 + \tau^2}}; \quad q = F_{n+1}, p = F_n$$

and with increasing complexity of the unit cell.

For example, the 5/3 approximant unit cell contains 2240 points (16768 meta-rods or about  $3 \times 10^5$  rods).

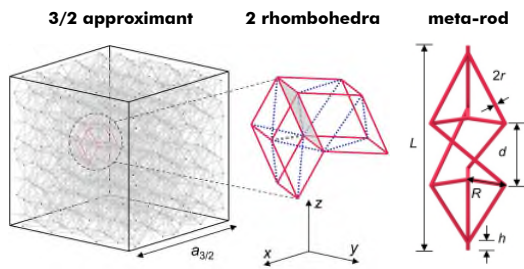
Y. Chen et al., Phys. Rev. Lett. 124, 235502 (2020)

### 3D Approximants



Y. Chen et al., Phys. Rev. Lett. 124, 235502 (2020)

### 3D Chiral Architecture



Y. Chen et al., Phys. Rev. Lett. 124, 235502 (2020)

### 2D Penrose Tilings

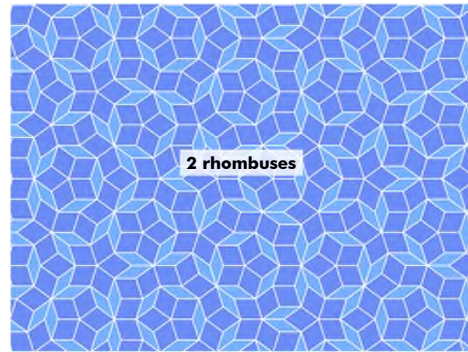
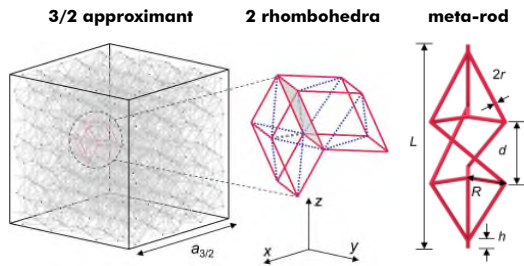


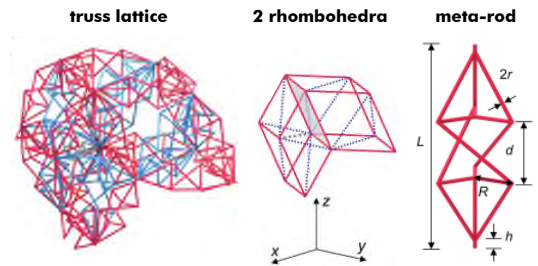
image source: <https://skippython.com>

### 3D Chiral Architecture

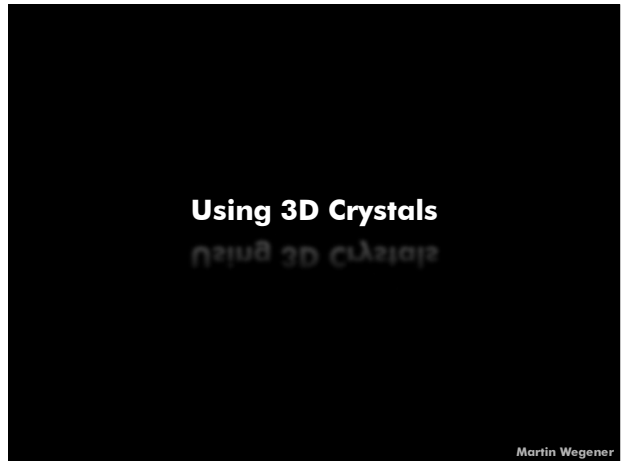
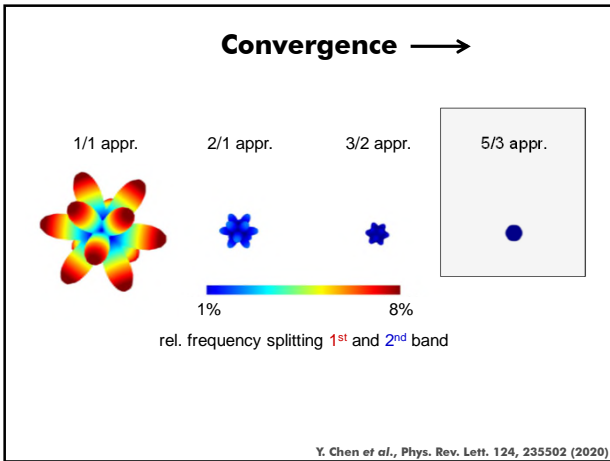
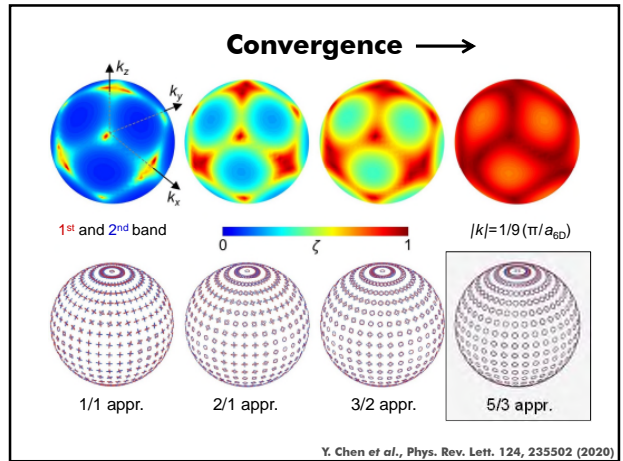
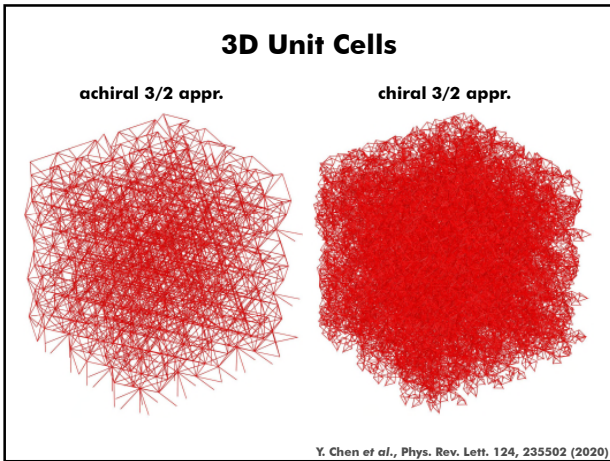


Y. Chen et al., Phys. Rev. Lett. 124, 235502 (2020)

### 3D Chiral Architecture



Y. Chen et al., Phys. Rev. Lett. 124, 235502 (2020)



**Isotropic (achiral) TA & LA phonons in bcc tungsten**  
 F. H. Featherston et al., Phys. Rev. 130, 1324 (1963)

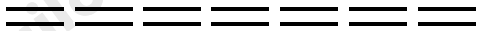
**Anisotropic (achiral) TA & LA phonons in bcc iron**  
 R.E. Newnham,  
 Properties of Materials: Anisotropy, Symmetry, Structure  
 (Oxford University Press, Oxford, 2005)

accidental degeneracy in tungsten rather than via symmetry

- 
1. Make cubic **ansatz** (with  $M$  free parameters).
  2. Do **not** consider all wave vectors, rather worst case, *i.e.* **face diagonal** (with two-fold rotational symmetry).
  3. For this direction, **minimize relative frequency splitting** in the limit  $k=0$  (no effect of chirality).
  4. Inspect result for **isotropy** at finite  $k$ .
- 
- Yi Chen

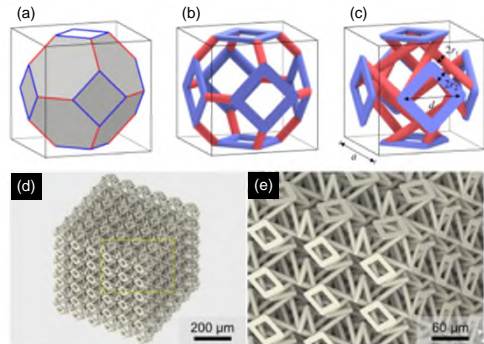


1. Make cubic **ansatz** (with  $M$  free parameters).
2. Do **not** consider all wave vectors, rather worst case, i.e. **face diagonal** (with two-fold rotational symmetry).
3. For this direction, **minimize relative frequency splitting** in the limit  $k=0$  (no effect of chirality).
4. Inspect result for **isotropy** at finite  $k$ .



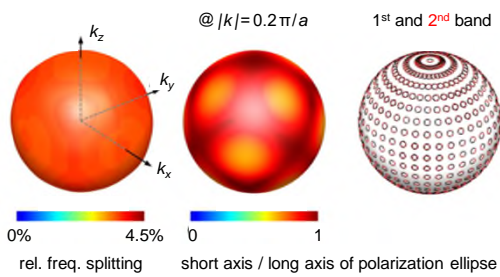
Yi Chen

### Simple-Cubic Crystal



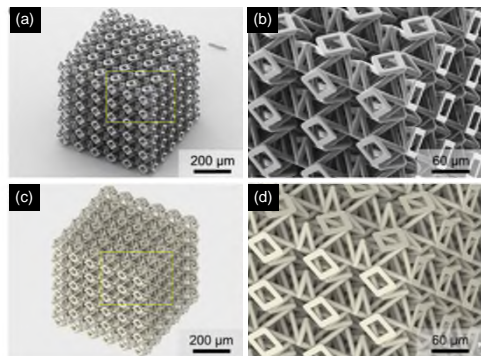
Y. Chen et al., Phys. Rev. Mater. 5, 025201 (2021)

### Eigenpolarizations in $k$ -Space



Y. Chen et al., Phys. Rev. Mater. 5, 025201 (2021)

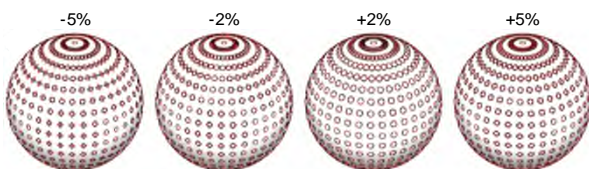
### 3D Printed Metamaterials



Y. Chen et al., Phys. Rev. Mater. 5, 025201 (2021)

### "Accidental" Degeneracy

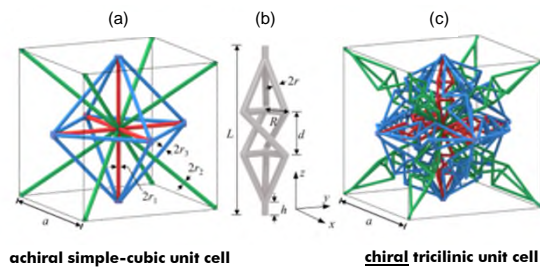
ratio  $r_2/a$  (optimum is 0.0533) changed by relative



@  $|k|=0.2\pi/a$  = 20% of Brillouin zone edge  
1<sup>st</sup> and 2<sup>nd</sup> band

Y. Chen et al., Phys. Rev. Mater. 5, 025201 (2021)

### Alternative Ansatz



achiral simple-cubic unit cell

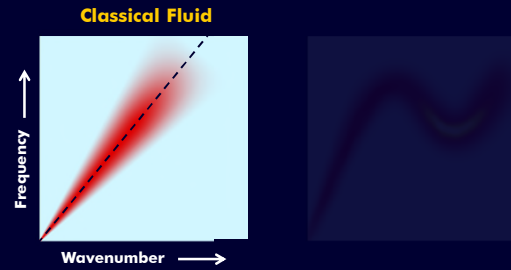
chiral triclinic unit cell

Y. Chen et al., Proc. Royal Soc. A 477, 20200764 (2021)

1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations**
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

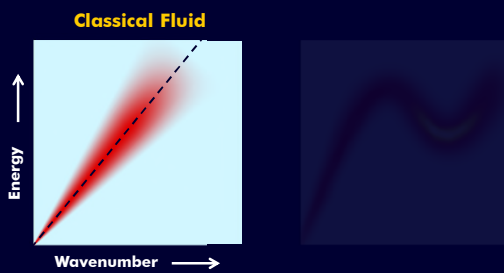
Martin Wegener

## Excitations in Fluids



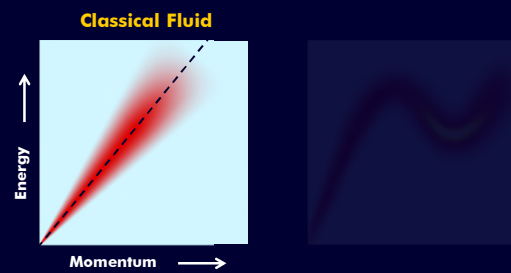
E. Blackburn, Physics 14, 45 (2021) on H. Godfrin *et al.*, PRB 103, 104516 (2021)

## Excitations in Fluids



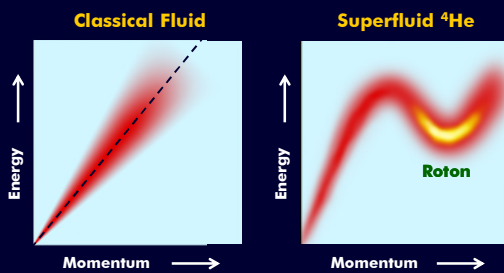
E. Blackburn, Physics 14, 45 (2021) on H. Godfrin *et al.*, PRB 103, 104516 (2021)

## Excitations in Fluids



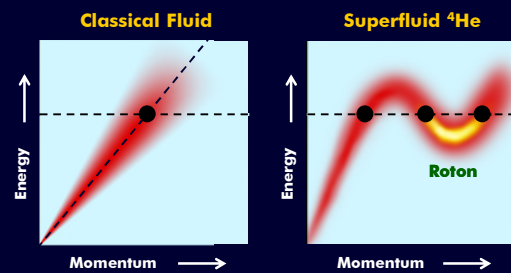
E. Blackburn, Physics 14, 45 (2021) on H. Godfrin *et al.*, PRB 103, 104516 (2021)

## Excitations in Fluids



E. Blackburn, Physics 14, 45 (2021) on H. Godfrin *et al.*, PRB 103, 104516 (2021)

## Excitations in Fluids



E. Blackburn, Physics 14, 45 (2021) on H. Godfrin *et al.*, PRB 103, 104516 (2021)

**Theory of rotons in liquid  $^4\text{He}$**

L. Landau, *Phys. Rev.* **60**, 356 (1941)  
 R.P. Feynman, *Phys. Rev.* **94**, 262 (1954)  
 H.R. Glyde and A. Griffin, *Phys. Rev. Lett.* **65**, 1454 (1990)  
 G.J. Kalman *et al.*, *Europhys. Lett.* **90**, 55002 (2010)

**Rotons in inelastic neutron scattering experiments on  $^4\text{He}$**

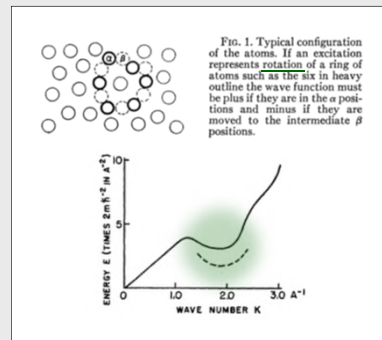
D.G. Henshaw and A.D.B. Woods, *Phys. Rev.* **121**, 1266 (1961)  
 A.D.B. Woods, *Phys. Rev. Lett.* **14**, 355 (1965)  
 H. Godfrin *et al.*, *Phys. Rev. B* **103**, 104516 (2021)

**Rotons in inelastic neutron scattering experiments on 2D  $^3\text{He}$**

H. Godfrin *et al.*, *Nature* **483**, 576 (2012)  
**Theory of roton-like dispersion in solid bcc He (111) direction**  
 T.R. Koehler and N.R. Werthamer, *Phys. Rev. A* **5**, 2230 (1972)

Martin Wegener

**Roton Dispersion Relation**



R.P. Feynman, *Phys. Rev.* **94**, 262 (1954)

**Rotons in Liquid  $^4\text{He}$**

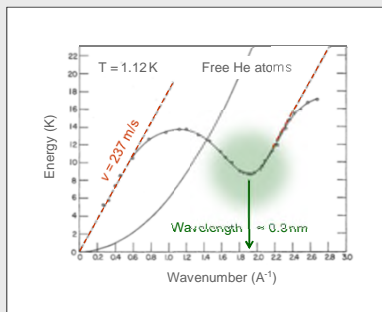


figure modified from: D.G. Henshaw and A.D.B. Woods, *Phys. Rev.* **121**, 1266 (1961)

**Rotons in Metamaterials?**

Martin Wegener

**Theory of rotons in chiral micropolar elasticity**

J. Kishine *et al.*, *Phys. Rev. Lett.* **125**, 245302 (2020)

Martin Wegener

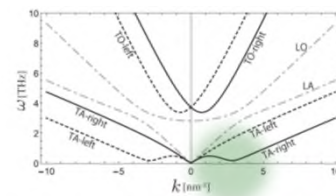


FIG. 2. Phonon dispersion curves for the chiral micropolar crystal: the longitudinal acoustic (LA) and optical (LO) branches (dash-dotted line), the transverse left-handed acoustic (TA-left) and optical (TO-left) branches (dashed line), the transverse right-handed acoustic (TA-right) and optical (TO-right) branches (solid line). Numerical values of the tensor components are chosen as  $A_{33} = 0.4 \times 10^{10} \text{ N/m}^2$ ,  $A_{66} = 4.9 \times 10^{10} \text{ N/m}^2$ ,  $A_{69} = 4.7 \times 10^{10} \text{ N/m}^2$ ,  $B_{33} = 1.5 \times 10^{10} \text{ N}$ ,  $C_{33} = 0.3 \text{ N/m}$  for the longitudinal modes, and  $A_{44} = 0.21 \times 10^{10} \text{ N/m}^2$ ,  $A_{55} = 0.215 \times 10^{10} \text{ N/m}^2$ ,  $A_{47} = 0.195 \times 10^{10} \text{ N/m}^2$ ,  $B_{44} = 1.0 \times 10^{10} \text{ N}$ ,  $C_{44} = 0.44 \text{ N/m}$ ,  $C_{74} = 0.36 \text{ N/m}$  for the transverse modes, respectively.

J. Kishine *et al.*, *Phys. Rev. Lett.* **125**, 245302 (2020)

## What Metamaterial **Structure**?

Martin Wegener

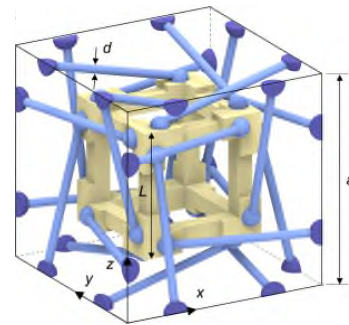
## A Cube has 3+3 Degrees of Freedom

3 translational + 3 rotational degrees of freedom

## Simple-Cubic Lattice of Cubes

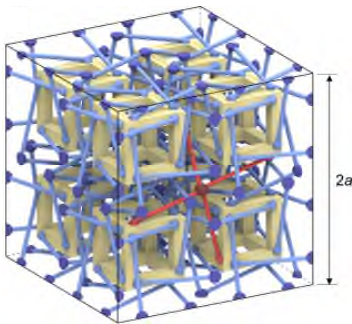
Y. Chen, J.L.G. Schneider, M.F. Groß, *et al.*, *Adv. Funct. Mater.* 33, 2302699 (2023)

## Chiral Unit Cell



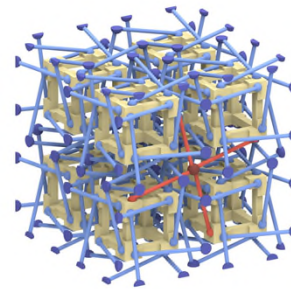
used parameters:  $a = 185 \mu\text{m}$ ,  $d/a = 0.04$ ,  $L/a = 0.6$

## Cubic Chiral Crystal

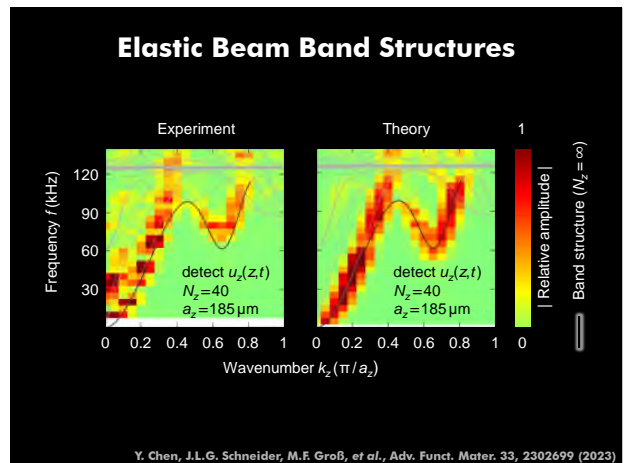
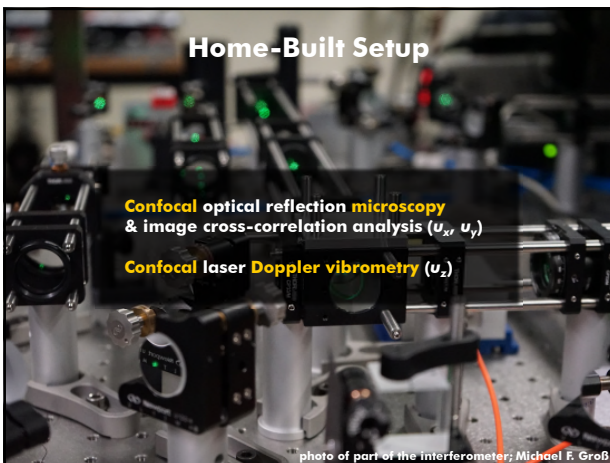
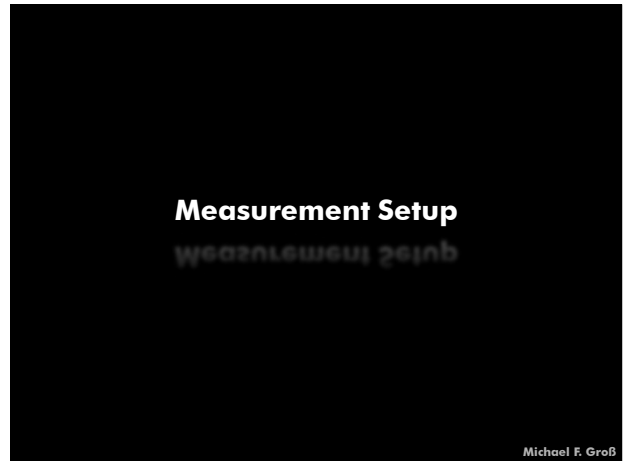
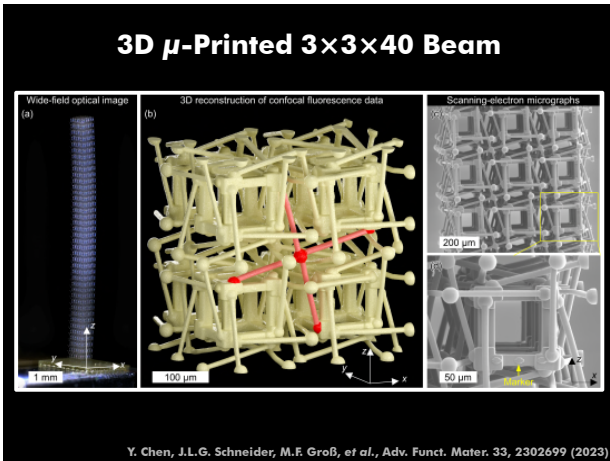
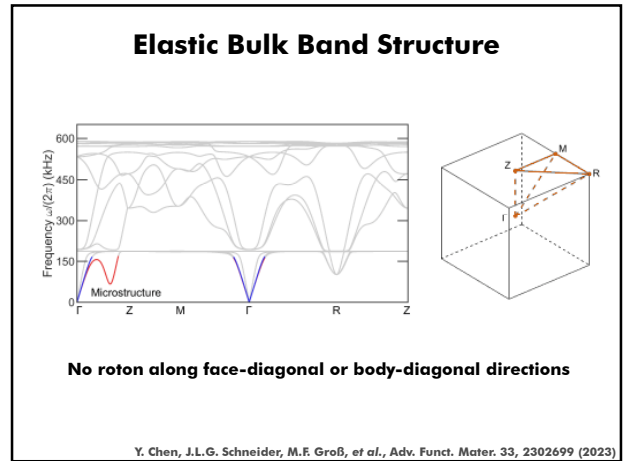
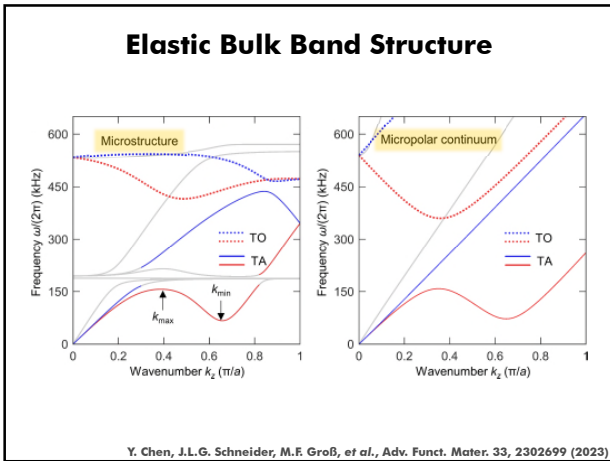


Y. Chen, J.L.G. Schneider, M.F. Groß, *et al.*, *Adv. Funct. Mater.* 33, 2302699 (2023)

## Cubic Chiral Crystal



Y. Chen, J.L.G. Schneider, M.F. Groß, *et al.*, *Adv. Funct. Mater.* 33, 2302699 (2023)



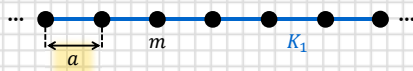
1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

Martin Wegener

1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

Martin Wegener

1D mass-and-spring model with lattice constant  $a$

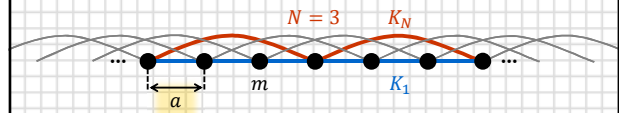


leads to  $u_n(t) = \tilde{u} \cos(kna - \omega t)$  with

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{K_1 \sin^2\left(\frac{ka}{2}\right)}$$

Y. Chen et al., Nature Commun. 12, 3278 (2021)

1D mass-and-spring model with lattice constant  $a$

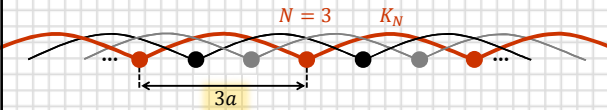


leads to  $u_n(t) = \tilde{u} \cos(kna - \omega t)$  with

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{K_1 \sin^2\left(\frac{ka}{2}\right) + K_N \sin^2\left(\frac{Nka}{2}\right)}$$

Y. Chen et al., Nature Commun. 12, 3278 (2021)

1D mass-and-spring model with lattice constant  $3a$

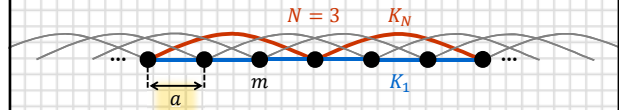


leads to  $u_n(t) = \tilde{u} \cos(kna - \omega t)$  with

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{K_1 \sin^2\left(\frac{ka}{2}\right) + K_N \sin^2\left(\frac{Nka}{2}\right)}$$

Y. Chen et al., Nature Commun. 12, 3278 (2021)

1D mass-and-spring model with lattice constant  $a$



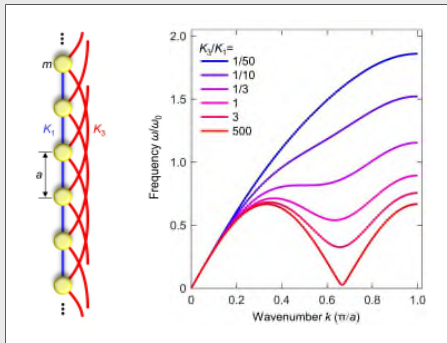
leads to  $u_n(t) = \tilde{u} \cos(kna - \omega t)$  with

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{K_1 \sin^2\left(\frac{ka}{2}\right) + K_N \sin^2\left(\frac{Nka}{2}\right)}$$

Y. Chen et al., Nature Commun. 12, 3278 (2021)



## Phonon Hybridization



$N = 3$ , fixed phase velocity in the long-wavelength limit

## Any Dispersion Relation?

any dispersion relation?

Martin Wegener

Rotons are merely a special case of designs that make use of beyond-nearest-neighbor interactions.

More generally, the **acoustic-phonon dispersion** can be **Fourier synthesized** (within the 1D toy model)

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{\sum_{N=1}^{\infty} K_N \sin^2\left(\frac{Nka}{2}\right)} \quad |k| \leq \frac{\pi}{a}$$

$N$ -th nearest-neighbor Hooke's spring constant

Y. Chen et al., Nature Commun. 12, 3278 (2021)

Rotons are merely a special case of designs that make use of beyond-nearest-neighbor interactions.

More generally, the **acoustic-phonon dispersion** can be **Fourier synthesized** (within the 1D toy model)

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{\sum_{N=1}^{\infty} K_N \sin^2\left(\frac{Nka}{2}\right)} \quad |k| \leq \frac{\pi}{a}$$

$N$ -th nearest-neighbor Hooke's spring constant

also see: L. Brillouin, "Wave propagation in periodic structures ...", Dover Pubs., 1946

Rotons are merely a special case of designs that make use of beyond-nearest-neighbor interactions.

More generally, the **acoustic-phonon dispersion** can be **Fourier synthesized** (within the 1D toy model)

$$\Rightarrow \omega^2(k) = \sum_{N=0}^{\infty} c_N \cos(Nka) \geq 0; \quad |k| \leq \frac{\pi}{a}$$

$$c_0 = \frac{2}{m} \sum_{N=1}^{\infty} K_N; \quad c_{N \geq 1} = -\frac{2}{m} K_N \Rightarrow \omega(k \rightarrow 0) \propto k$$

also see: A. Kazemi et al., Phys. Rev. Lett. 131, 176101 (2023)

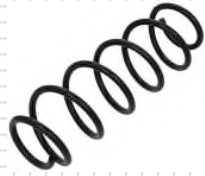
## Hooke's Law has Issues

Hooke's law has issues

Martin Wegener

When it comes to designing actual metamaterial structures, be aware that **Hooke's law** ...

... accounts for only a **single degree of freedom**  
... and **violates causality**.



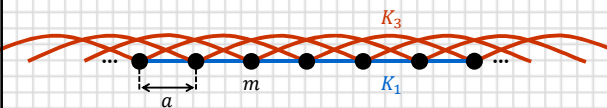
Martin Wegener

## Effective-Medium Description

Effective-Medium Description

Martin Wegener

### The simple 1D mass-and-spring toy model

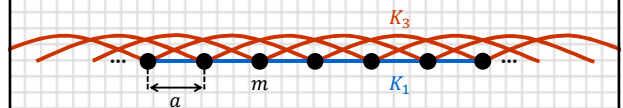


follows Newton's equation of motion

$$m \frac{\partial^2 u_n}{\partial t^2} = +K_1(u_{n+1} - 2u_n + u_{n-1}) + K_3(u_{n+3} - 2u_n + u_{n-3})$$

Martin Wegener

### The simple 1D mass-and-spring toy model

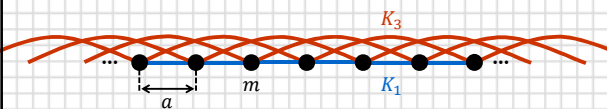


follows Newton's equation of motion

$$m \frac{\partial^2 u_n}{\partial t^2} = +K_1 a^2 \frac{u_{n+1} - 2u_n + u_{n-1}}{a^2} + K_3(u_{n+3} - 2u_n + u_{n-3})$$

Martin Wegener

### The simple 1D mass-and-spring toy model

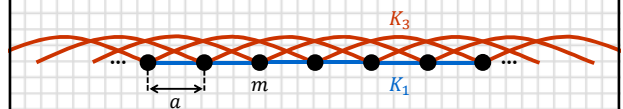


follows Newton's equation of motion

$$m \frac{\partial^2 u_n}{\partial t^2} = +K_1 a^2 \frac{u_{n+1} - 2u_n + u_{n-1}}{a^2} \approx K_1 a^2 \frac{\partial^2 u}{\partial x^2} + K_3(u_{n+3} - 2u_n + u_{n-3})$$

Martin Wegener

### The simple 1D mass-and-spring toy model

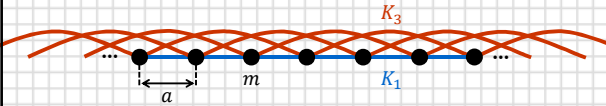


follows Newton's equation of motion

$$m \frac{\partial^2 u_n}{\partial t^2} = +K_1 a^2 \frac{u_{n+1} - 2u_n + u_{n-1}}{a^2} + K_3(u_{n+3} - 2u_n + u_{n-3})$$

Martin Wegener

**The simple 1D mass-and-spring toy model**



follows Newton's equation of motion

$$m \frac{\partial^2 u_n}{\partial t^2} = +K_1 a^2 \frac{u_{n+1} - 2u_n + u_{n-1}}{a^2} + K_3 (u_{n+3} - 2u_n + u_{n-3})$$

Martin Wegener

Higher-order difference quotients can be approximated by **higher-order derivatives**.

Here, only even orders occur, for which we have

$$\frac{\partial^m u}{\partial x^m} \approx \frac{1}{a^m} \sum_{j=0}^m (-1)^j \frac{m!}{j! (m-j)!} u_{n+j-\frac{m}{2}}$$

This allows us to rewrite the term

$$+K_3 (u_{n+3} - 2u_n + u_{n-3}) \quad m = 2, 4, 6$$

...

Martin Wegener

... such that, in the **continuum limit**, we obtain the **modified wave equation for N=3**

$$m \frac{\partial^2 u}{\partial t^2} = A_2 \frac{\partial^2 u}{\partial x^2} + A_4 \frac{\partial^4 u}{\partial x^4} + A_6 \frac{\partial^6 u}{\partial x^6} \quad a \rightarrow 0$$

with coefficients

$$\begin{aligned} A_2 &= K_1 a^2 + 9K_3 a^2 \\ A_4 &= 6K_3 a^4 \\ A_6 &= K_3 a^6 \end{aligned}$$

Bragg reflection does not occur in a continuum

... such that, in the **continuum limit**, we obtain the **modified wave equation for N=3**

$$m \frac{\partial^2 u}{\partial t^2} = A_2 \frac{\partial^2 u}{\partial x^2} + A_4 \frac{\partial^4 u}{\partial x^4} + A_6 \frac{\partial^6 u}{\partial x^6} \quad a \rightarrow 0$$

enabling **roton-like dispersion relations**

$$\omega(k) = \frac{1}{\sqrt{m}} \sqrt{A_2 k^2 - A_4 k^4 + A_6 k^6}$$

Bragg reflection does not occur in a continuum

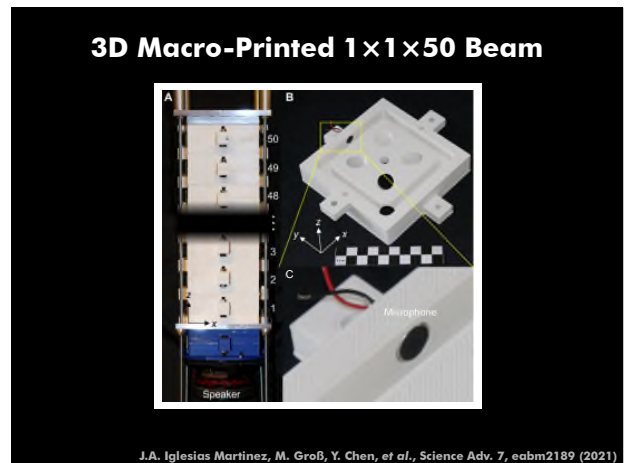
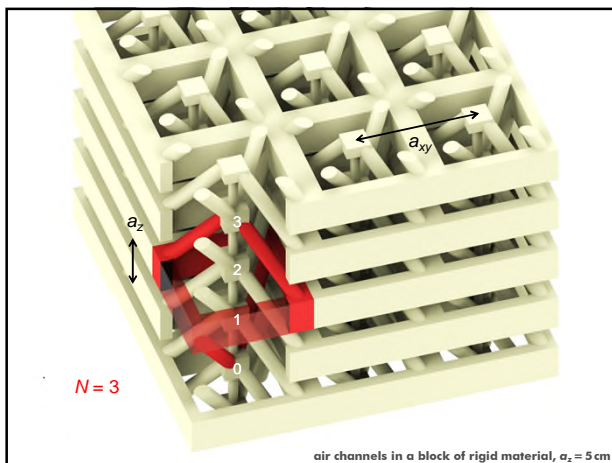
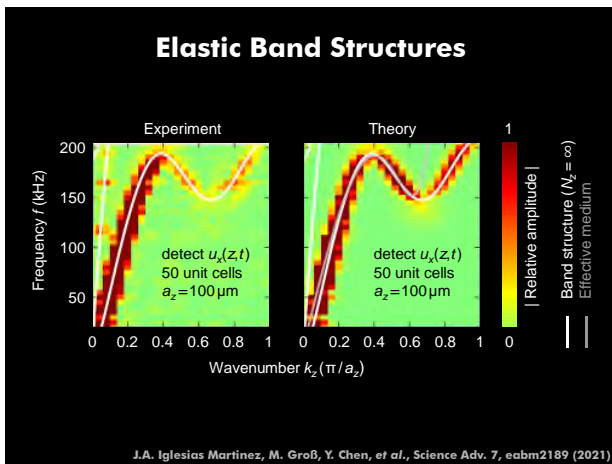
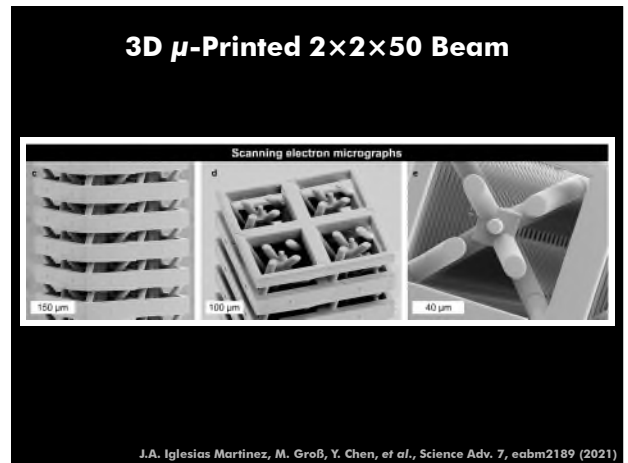
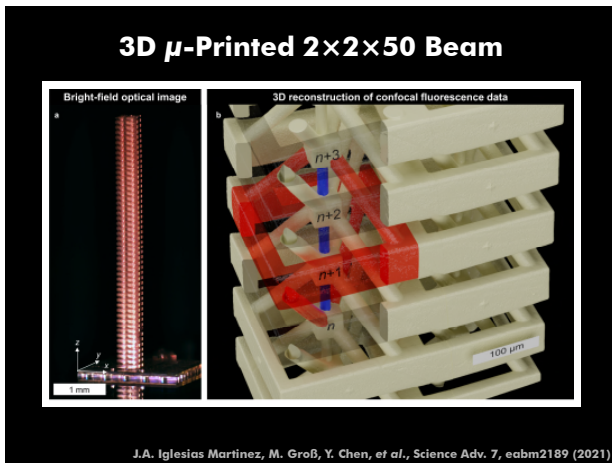
1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. **Elastic, Acoustic, and Electromagnetic Waves**
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

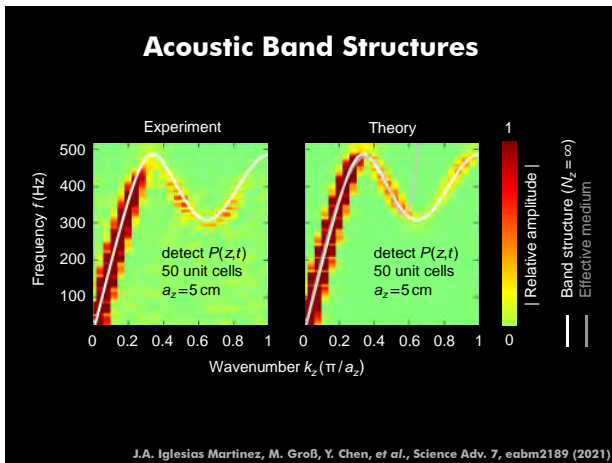
Martin Wegener

# Elastic Waves

ELASTIC WAVES

Martin Wegener

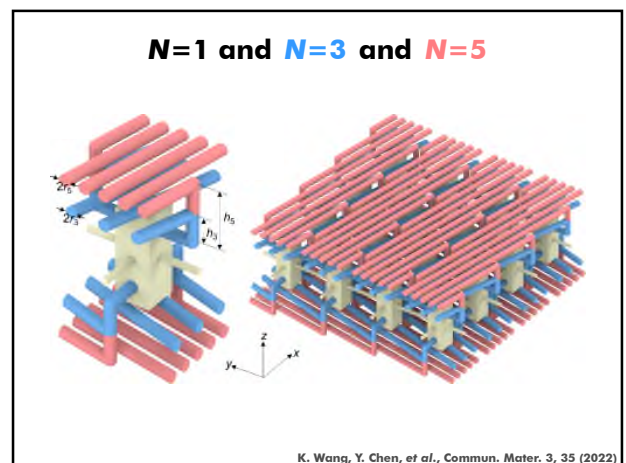
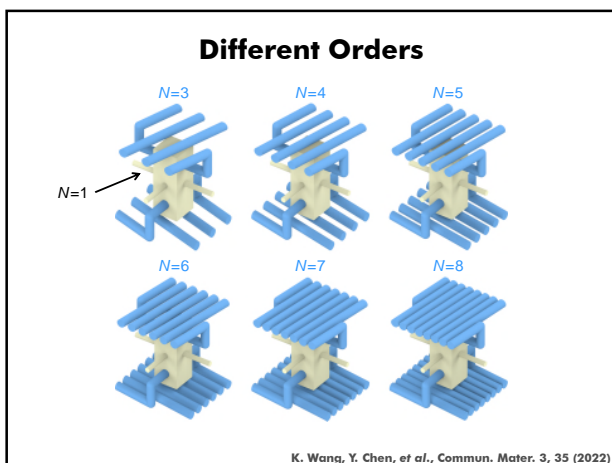
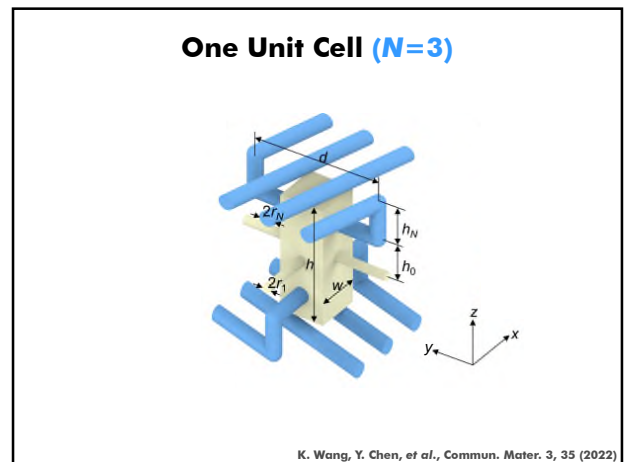
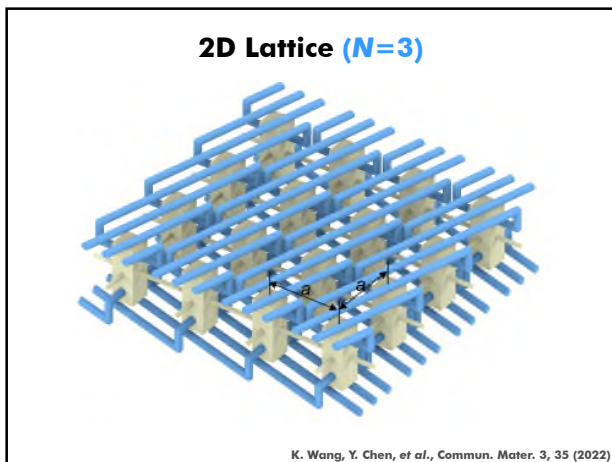




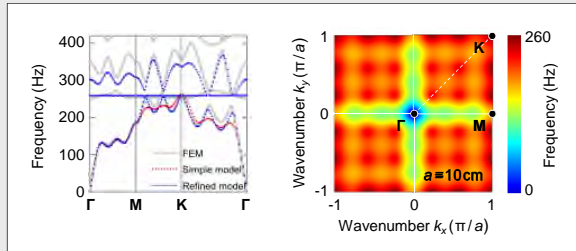
## N > 3 Actually Possible?

N > 3 Actually Possible?

K. Wang, Y. Chen, et al., Commun. Mater. 3, 35 (2022)



### N=1 and N=3 and N=5



K. Wang, Y. Chen, et al., Commun. Mater. 3, 35 (2022)

## Electromagnetic Waves

ΕΛΕΚΤΡΟΜΑΓΝΗΤΙΚΕΣ ΚΑΤΑΣΤΑΣΕΙΣ

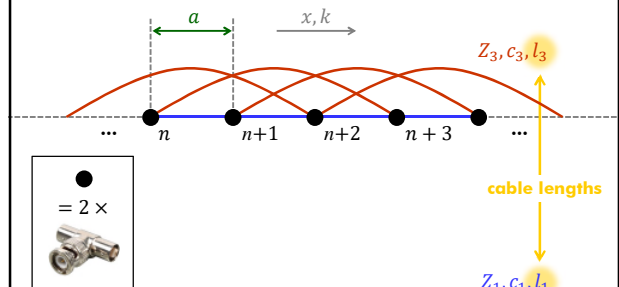
Martin Wegener

### BNC Cables as Connections



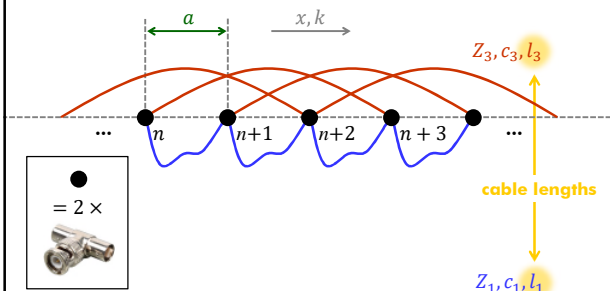
BNC = Bayonet Neill-Concelman; image source: www.Ilt-versand.de

### BNC-Cable Metamaterial



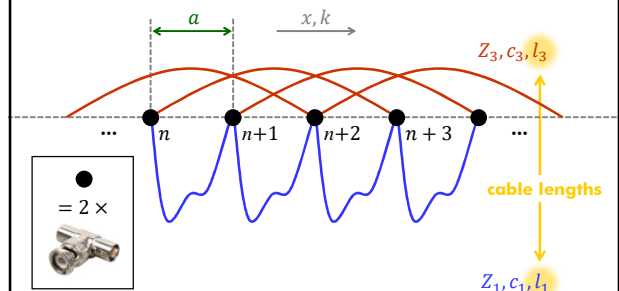
Y. Chen et al., Adv. Mater. 35, 2209988 (2023)

### BNC-Cable Metamaterial

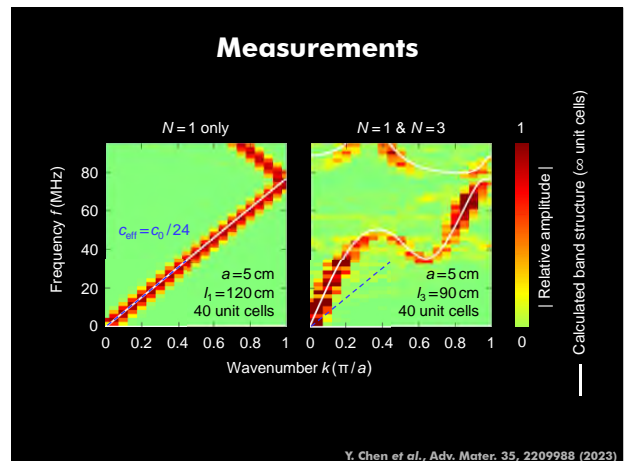
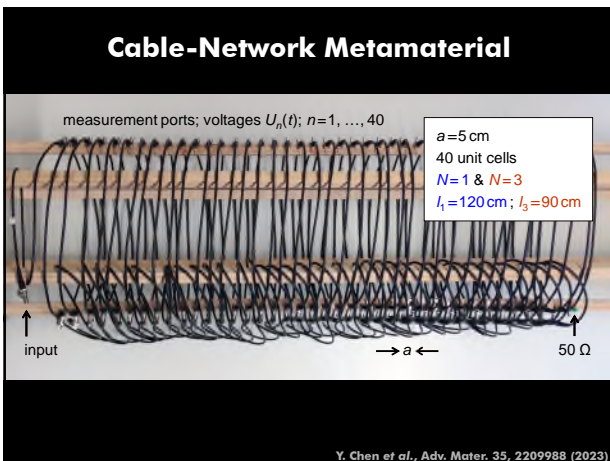
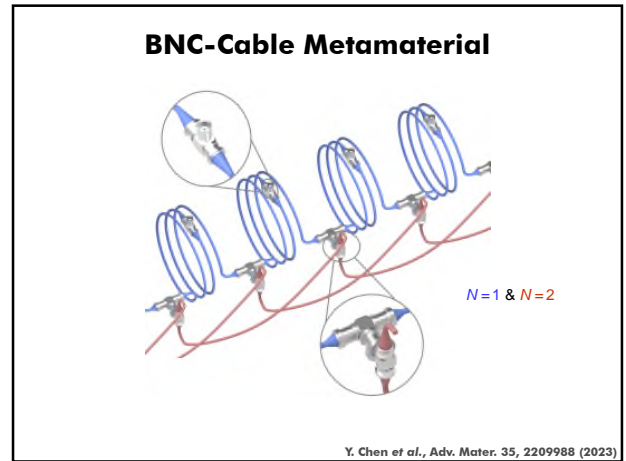
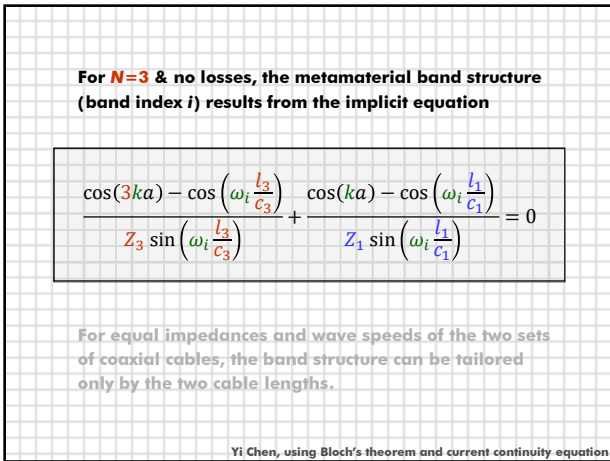
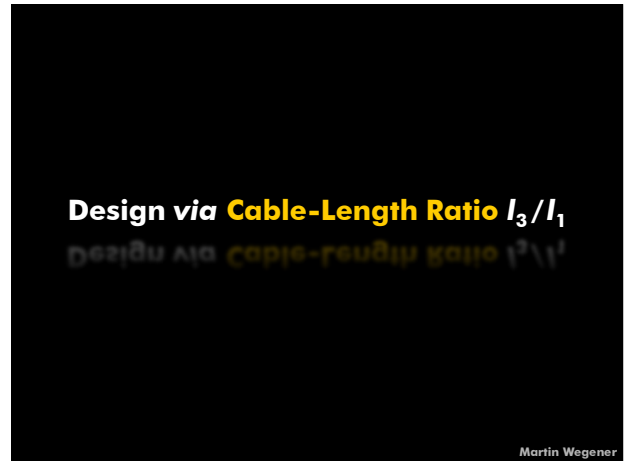
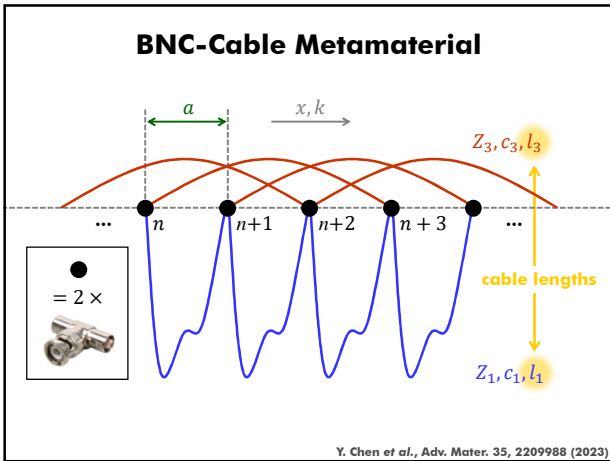


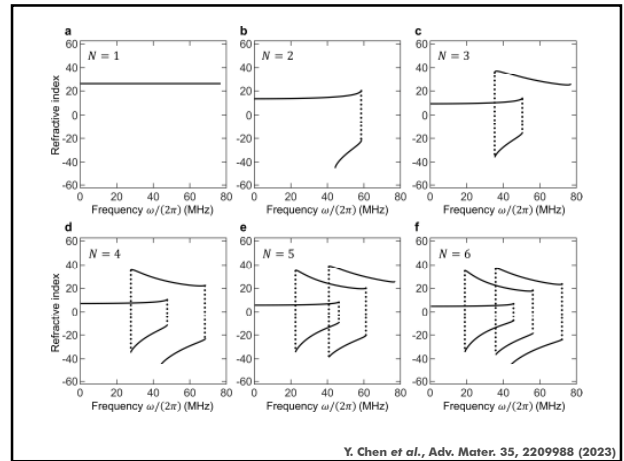
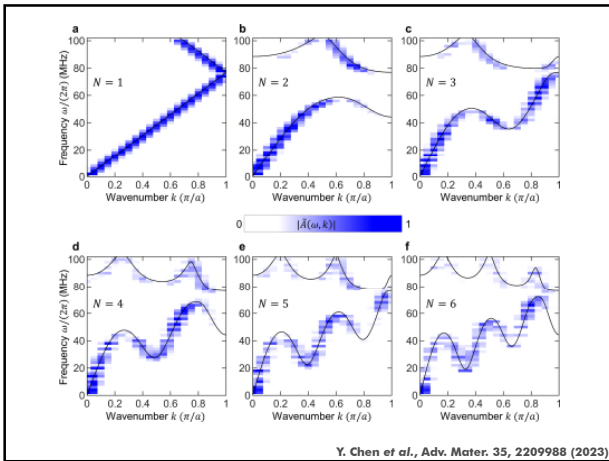
Y. Chen et al., Adv. Mater. 35, 2209988 (2023)

### BNC-Cable Metamaterial



Y. Chen et al., Adv. Mater. 35, 2209988 (2023)





**More Possibilities**

WOLLE POSSIBILITÄTEN

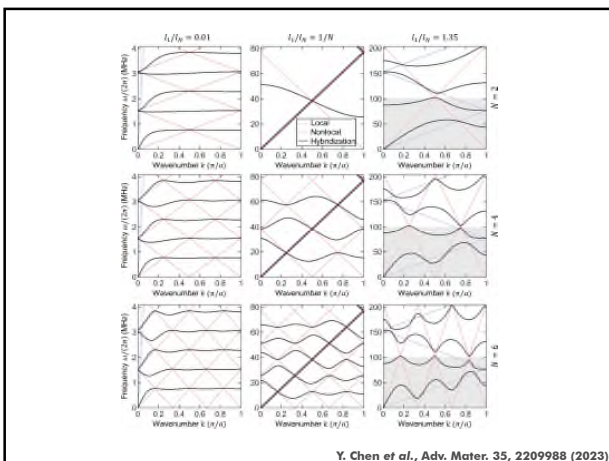
Martin Wegener

**For  $N=3$  & no losses, the metamaterial band structure (band index  $i$ ) results from the implicit equation**

$$\frac{\cos(3ka) - \cos\left(\omega_i \frac{l_3}{c_3}\right)}{Z_3 \sin\left(\omega_i \frac{l_3}{c_3}\right)} + \frac{\cos(ka) - \cos\left(\omega_i \frac{l_1}{c_1}\right)}{Z_1 \sin\left(\omega_i \frac{l_1}{c_1}\right)} = 0$$

For equal impedances and wave speeds of the two sets of coaxial cables, the band structure can be tailored only by the two cable lengths.

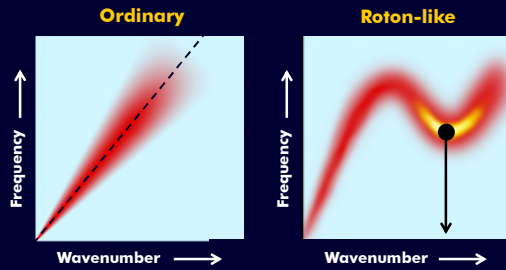
Yi Chen, using Bloch's theorem and current continuity equation



1. Making 3D Metamaterials by 3D Laser Nanoprinting
    - 1.1. Using Two-Photon Absorption
    - 1.2. Using Two-Step Absorption
    - 1.3. Comparison with Other Approaches
  2. Extreme Cauchy Elasticity
  3. Chiral Micropolar Elasticity
    - 3.1. Static Case: Twists and Characteristic Length Scales
    - 3.2. Chiral Phonons and Acoustical Activity
    - 3.3. Towards Isotropic Elastic Properties
    - 3.4. Roton-Like Dispersion Relations
  4. Nonlocal Elasticity
    - 4.1. Beyond-Nearest-Neighbor Interactions
    - 4.2. Elastic, Acoustic, and Electromagnetic Waves
  5. Anomalous Frozen Evanescent Phonons
    - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
    - 5.2. Examples
- Martin Wegener



## Static Consequences?



Martin Wegener

1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches

### 2. Extreme Cauchy Elasticity

### 3. Chiral Micropolar Elasticity

- 3.1. Static Case: Twists and Characteristic Length Scales
- 3.2. Chiral Phonons and Acoustical Activity
- 3.3. Towards Isotropic Elastic Properties
- 3.4. Roton-Like Dispersion Relations

### 4. Nonlocal Elasticity

- 4.1. Beyond-Nearest-Neighbor Interactions
- 4.2. Elastic, Acoustic, and Electromagnetic Waves

### 5. Anomalous Frozen Evanescent Phonons

- 5.1. **Complex Band Structures and the Cauchy-Riemann Equations**
- 5.2. Examples

Martin Wegener

Mathematically, a phonon dispersion relation as, e.g.,

$$\omega(k) = \frac{2}{\sqrt{m}} \sqrt{K_1 \sin^2\left(\frac{ka}{2}\right) + K_N \sin^2\left(\frac{Nka}{2}\right)}$$

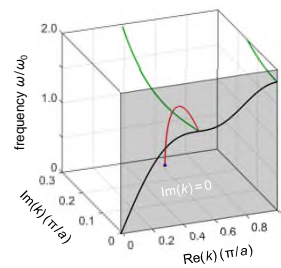
has eigensolutions with complex spatial frequency

$$k = \text{Re}(k) + i \text{Im}(k)$$

also in the **static** case, with eigenvalue  $\omega(k) = 0$ .

Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

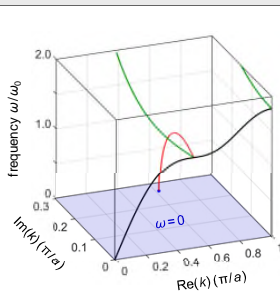
## Complex Phonon Band Structure



$$\begin{aligned} \text{Im}(\omega) &= 0 \\ \omega_0^2 &= (K_1 + N^2 K_0) / m \\ N &= 3 \\ K_0 / K_1 &= 1/3 \end{aligned}$$

Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

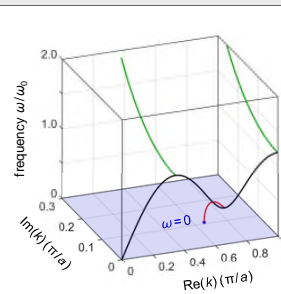
## Complex Phonon Band Structure



$$\begin{aligned} \text{Im}(\omega) &= 0 \\ \omega_0^2 &= (K_1 + N^2 K_0) / m \\ N &= 3 \\ K_0 / K_1 &= 1/3 \end{aligned}$$

Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

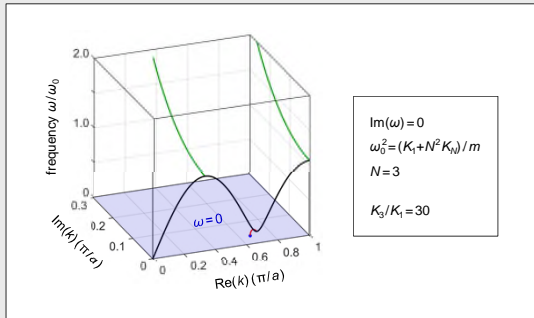
## Complex Phonon Band Structure



$$\begin{aligned} \text{Im}(\omega) &= 0 \\ \omega_0^2 &= (K_1 + N^2 K_0) / m \\ N &= 3 \\ K_0 / K_1 &= 3 \end{aligned}$$

Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

## Complex Phonon Band Structure



Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

We refer to these zero-frequency eigensolutions as **frozen evanescent phonons**. These solutions are static oscillations of the displacement field with spatial period

$$\lambda = \frac{2\pi}{\text{Re}(k)} \rightarrow 3a = Na$$

and decay length

$$l = \frac{1}{\text{Im}(k)} \rightarrow a \sqrt{3 \frac{K_3}{K_1}} \rightarrow \infty$$

in the limit of strong nonlocal interactions,  $K_3/K_1 \rightarrow \infty$ .

Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

The solution of any specific problem for a **finite-size sample** is given by that linear superposition of the frozen phonon eigenmodes (of the **infinite system**) that matches the boundary conditions.

Here, non-Bloch solutions can additionally come into play.

Y. Chen, J.L.G. Schneider, et al., in preparation (2023)

## How in more General?

Martin Wegener

Any complex-valued **analytical function**

$$f(z) = f(x + iy) = u + iv$$

obeys the **Cauchy-Riemann equations**

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}; \quad \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$$

from which it follows that

$$\frac{\partial^2 u}{\partial x^2} = -\frac{\partial^2 u}{\partial y^2}$$

Martin Wegener

For a dispersion relation  $\omega(k) = f(z)$  with a minimum at  $\omega(k_{\min} + i0) = \omega_{\min} + i0 \rightarrow 0$ , this equation reads

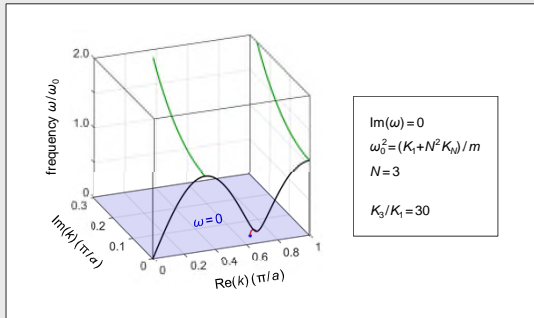
$$\frac{\partial^2(\text{Re}(\omega))}{\partial(\text{Re}(k))^2}(k_{\min}) = -\frac{\partial^2(\text{Re}(\omega))}{\partial(\text{Im}(k))^2}(k_{\min}) = \zeta > 0$$

and leads to a **frozen evanescent phonon decay length**

$$l = \frac{1}{\text{Im}(k_{\min})} \rightarrow \sqrt{\frac{\zeta}{2 \omega_{\min}}} \rightarrow \infty$$

Y. Chen, J.L.G. Schneider, et al., unpublished (2023)

## Complex Phonon Band Structure

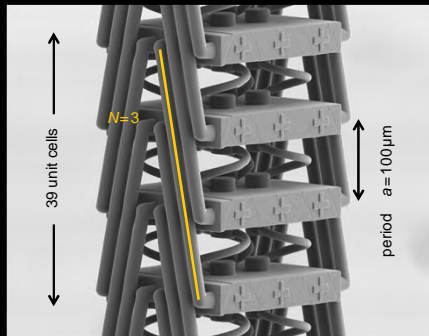


Y. Chen, J.L.G. Schneider, *et al.*, unpublished (2023)

1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

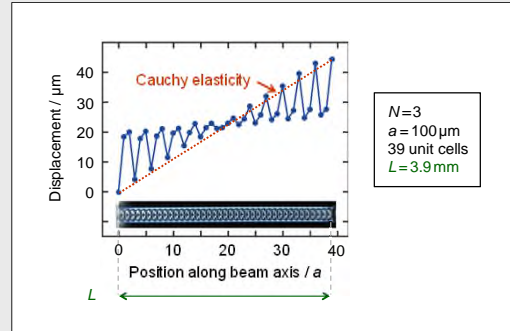
Martin Wegener

## Nonlocal Metamaterial Beam



Y. Chen, J.L.G. Schneider, *et al.*, in preparation (2023)

## Static Stretching Experiment



Y. Chen, J.L.G. Schneider, *et al.*, in preparation (2023)

## Violation of Saint Venant's Principle

Violation of Saint Venant's Principle

which can be used for remote mechanical sensing

1. Making 3D Metamaterials by 3D Laser Nanoprinting
  - 1.1. Using Two-Photon Absorption
  - 1.2. Using Two-Step Absorption
  - 1.3. Comparison with Other Approaches
2. Extreme Cauchy Elasticity
3. Chiral Micropolar Elasticity
  - 3.1. Static Case: Twists and Characteristic Length Scales
  - 3.2. Chiral Phonons and Acoustical Activity
  - 3.3. Towards Isotropic Elastic Properties
  - 3.4. Roton-Like Dispersion Relations
4. Nonlocal Elasticity
  - 4.1. Beyond-Nearest-Neighbor Interactions
  - 4.2. Elastic, Acoustic, and Electromagnetic Waves
5. Anomalous Frozen Evanescent Phonons
  - 5.1. Complex Band Structures and the Cauchy-Riemann Equations
  - 5.2. Examples

Martin Wegener

**Three review articles on mechanical metamaterials**

**M. Kadic et al., Rep. Prog. Phys. 76, 126501 (2013)**  
**M. Kadic et al., Nature Rev. Phys. 1, 198 (2019)**  
**R. Craster et al., Rep. Prog. Phys. 86, 094501 (2023)**

Martin Wegener

# Acknowledgements

Martin Wegener

**Involved group members @ KIT:**

- Dr. N. Maximilian Bojanowski (postdoctoral researcher)
- Dr. Yi Chen (postdoctoral researcher)
- Dr. Tobias Frenzel (PhD thesis)
- Michael F. Groß (PhD thesis)
- Dr. Vincent Hahn (PhD thesis)
- Pascal Kiefer (PhD thesis)
- Tobias Messer (PhD thesis)
- Jonathan L.G. Schneider (PhD thesis)
- Ke Wang (PhD thesis)

**Collaborating groups:**

- Prof. Peter Gumbsch (Mechanical Engineering, KIT, Germany)
- Prof. Sébastien R.L. Guenneau (Imperial College, London, UK)
- Prof. Muamer Kadic (FEMTO-ST, Besancon, France)
- Prof. Vincent Laude (FEMTO-ST, Besancon, France)
- Prof. Changguo Wang (Harbin Institute of Technology, China)

Martin Wegener

**DFG** Deutsche Forschungsgemeinschaft  
Excellence Strategy, EXC 2082

Baden-Württemberg

Carl Zeiss Stiftung

**3D MATTER**  
MADE TO ORDER

**KIT**  
Karlsruher Institut für Technologie

**HELMHOLTZ**  
RESEARCH FOR GRAND CHALLENGES  
Excellence Networks, Phases 2 and 3

**HEIKA**  
HEIDELBERG INSTITUTION FOR STRATEGIC PARTNERSHIP

UNIVERSITÄT HEIDELBERG  
ZUKUNFT SEIT 1386

**HELMHOLTZ**  
RESEARCH FOR GRAND CHALLENGES  
Materials Systems Engineering (MSE)

**KSOP**  
Karlsruhe School of Optics & Photonics

**nano scribe**  
A BICO COMPANY

Hector Fellow Academy

**MAX PLANCK SCHOOL of photonics**

**martin.wegener@kit.edu**

Martin Wegener