

# Numerical methods and their application to periodic structures

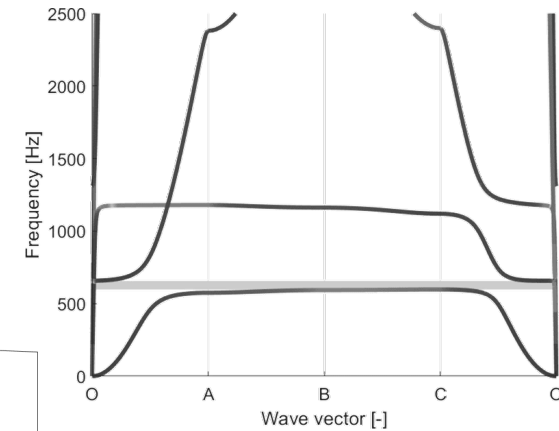
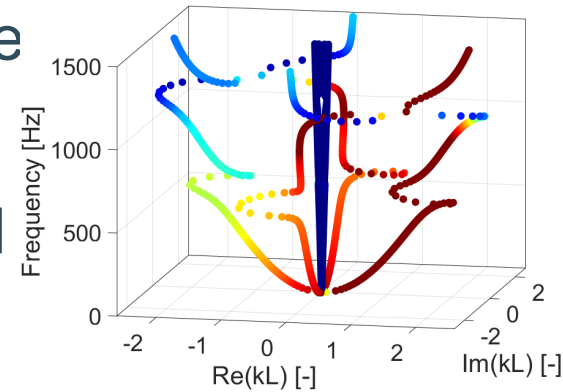
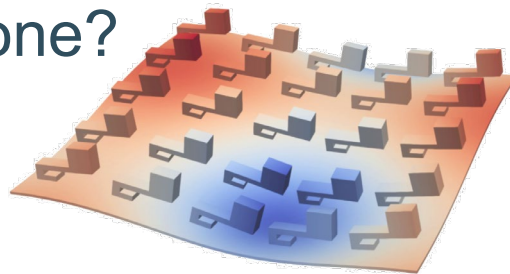
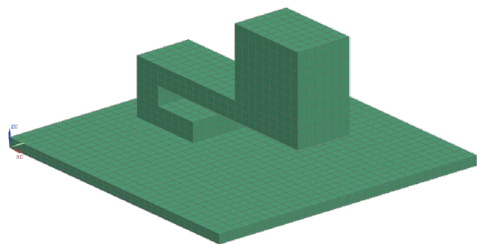
Authors: Elke Deckers, Vanessa Cool, Lucas Van Belle, Claus Claeys

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Flanders Make @ KU Leuven

Training School on Acoustic & Elastic (Meta)-Materials, UPV, València, 13-17 November 2023

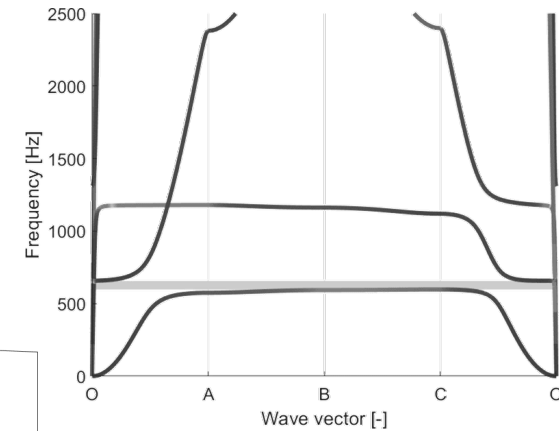
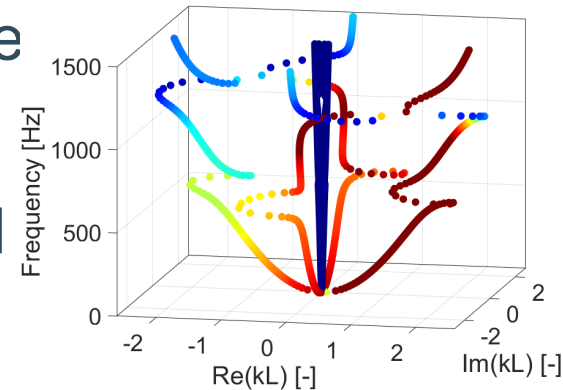
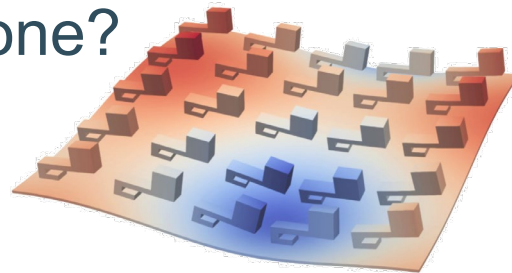
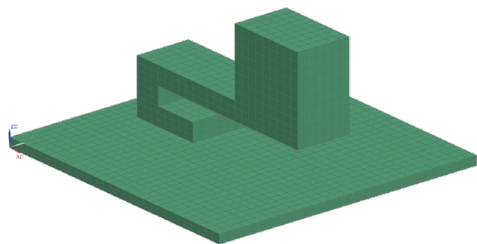
# Outline

- Numerical methods in engineering and science
  - A focus to the Finite Element Method
- Infinite periodic structure modelling using FEM
  - With Matlab implementations
- What else can be done?



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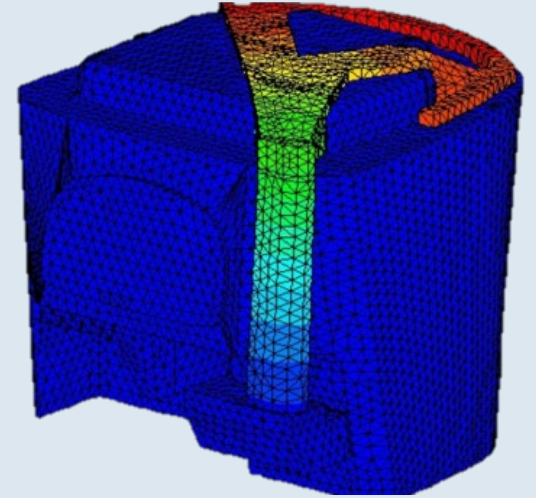


## Additional material:

Educational paper:

<http://arxiv.org/abs/2311.09843>

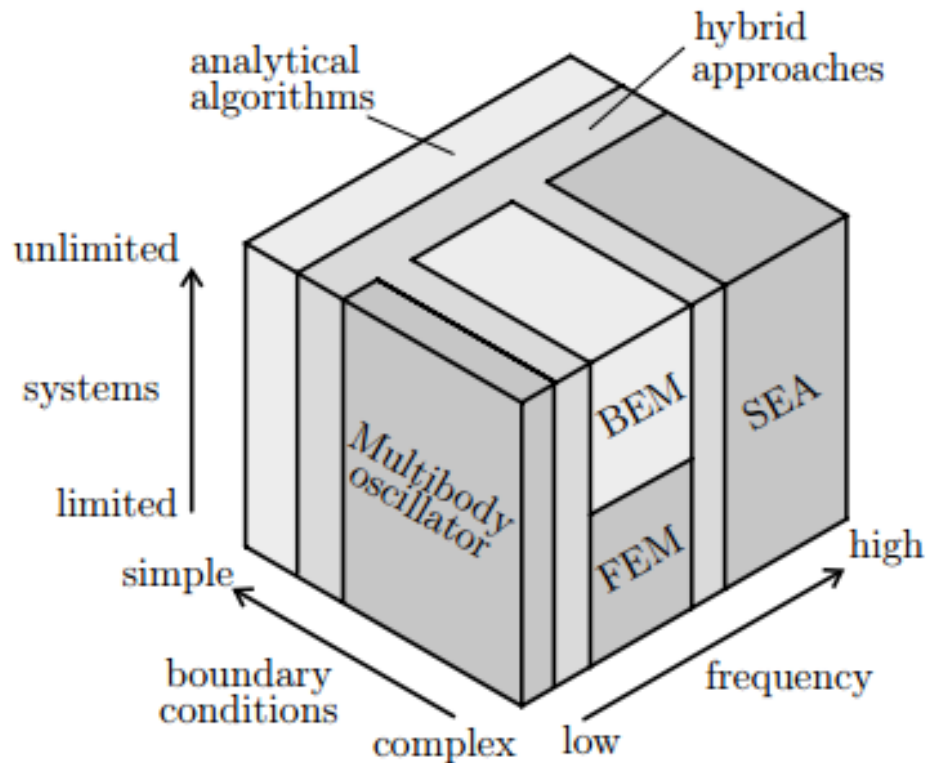
Matlab script: [https://github.com/LMSD-KULeuven/2D\\_InverseUndamped\\_DispersionCurves](https://github.com/LMSD-KULeuven/2D_InverseUndamped_DispersionCurves) .



# Numerical methods in engineering and science

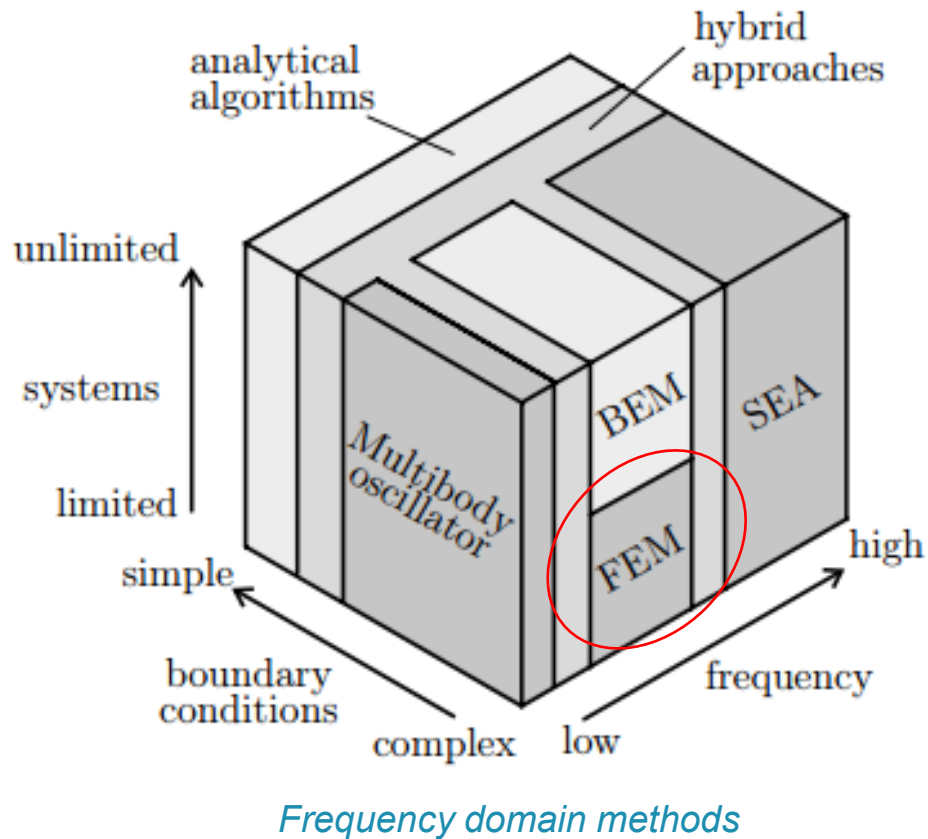
And focus on the Finite Element Method for acoustic problems

# Numerical methods for frequency domain calculations



*Frequency domain methods*

# Numerical methods for frequency domain calculations



## FEM

- Numerical discretization method
- Frequency & Time domain
- Bounded domains
- Low-Mid frequencies
- Complex Geometries

## Main features

- Volume mesh

# Numerical discretization methods

Partial differential equation (PDE)

$$\mathcal{L}q = F$$

Discretization of the computational domain =

- dividing medium into a number of small sub-regions: **ELEMENTS**
- defining a number of discrete points: **NODES** for which an approximate solution  $\tilde{q}$  will be determined

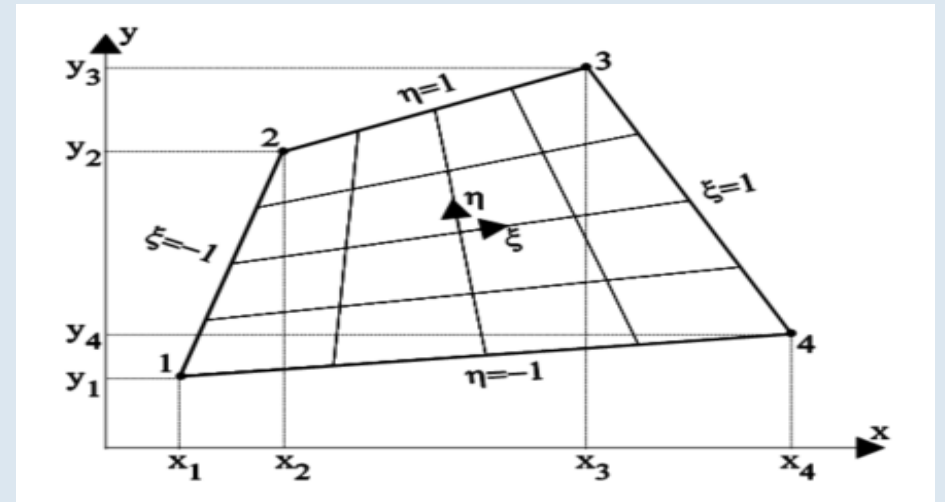
Replacing time/space derivatives using e.g.

- Difference equations (Finite Difference methods)
- Integral formulation (Finite Volume methods)
- Weighted residual formulation: integral formulation (Finite Element Methods)

ALGEBRAIC SYSTEM OF EQUATION (SOLVED USING MATRIX ALGEBRA) => solution is not exact anymore

$$[L]\hat{q} = \hat{F}$$

# Finite Element Method



$$\int_{\Omega^e} \tilde{p} p d\Omega + j\rho_0\omega \int_{\Gamma_p^e} \frac{\tilde{p} p}{Z} d\Gamma + \int_{\Omega^e} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega =$$

$$+ j\rho_0\omega \int_{\Omega^e} \tilde{p} q d\Omega - \int_{\Gamma_p^e} j\rho_0\omega \tilde{p} v_p d\Gamma$$

approximation

$$[N^e(x, y, z)]\{\hat{p}_e\} \quad \vec{\nabla} p(x, y, z) = [B^e(x, y, z)]\{\hat{p}_e\}$$

approach

$$[N^e(x, y, z)]\{\tilde{p}_e\} \quad \vec{\nabla} \tilde{p}(x, y, z) = [B^e(x, y, z)]\{\tilde{p}_e\}$$



# linear acoustic problem

## Problem setting

### Assumptions

- small perturbations
- no viscosity
- Homogeneous (no flow, no temperature gradients)

### Basic definitions

- speed of sound  $c$
- fluid density  $\rho_0$
- source distribution  $q$
- $k = \frac{\omega}{c} = \frac{2\pi}{\lambda}$ ,  $c = \lambda \cdot f$

## Fourier transform

$$\nabla^2 p(r, t) - \frac{1}{c^2} \frac{\partial^2 p(r, t)}{\partial t^2} = -\rho_0 \frac{\partial q(r, t)}{\partial t}$$

**Time domain**



$$\nabla^2 p(r) + k^2 p(r) = -j\rho_0 \omega q(r)$$

**Frequency domain**

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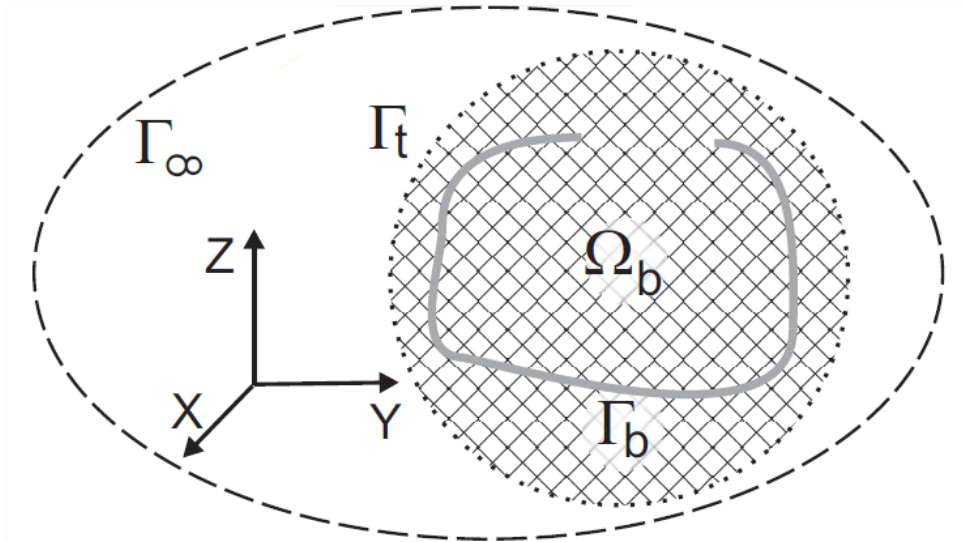
**Frequency domain**

$$k = \frac{\omega}{c} = \frac{2\pi}{\lambda}$$

$$c = \lambda \cdot f$$

# linear acoustic problem

## Problem setting



$$\nabla^2 p(\mathbf{r}) + k^2 p(\mathbf{r}) = -j\rho_0 \omega q(\mathbf{r})$$

**Helmholtz equation**

## boundary conditions

*on problem surface  $\Gamma_b$ :*

- prescribed pressure
- boundary (normal) velocity
- impedance

*at  $\Gamma_\infty$ :*

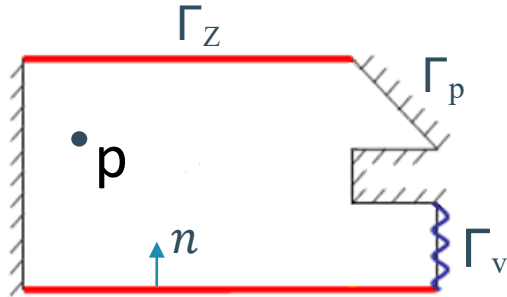
- Sommerfeld

*artificial truncation surface  $\Gamma_t$ :*

- non-reflecting BC

# linear acoustic problem

Problem setting – interior problem



$$\nabla^2 p(\mathbf{r}) + k^2 p(\mathbf{r}) = -j\rho_0\omega q(\mathbf{r})$$

**Helmholtz equation**

## **boundary conditions**

- Prescribed pressure:  
 $p = \bar{p}$  on  $\Gamma_p$
- Prescribed normal velocity:  
 $\frac{j}{\rho_0\omega} \frac{\partial p}{\partial n} = \bar{v}$  on  $\Gamma_v$
- Prescribed normal impedance:

$$\frac{j}{\rho_0\omega} \frac{\partial p}{\partial n} = \frac{p}{Z_n} \text{ on } \Gamma_Z$$

# Finite element method



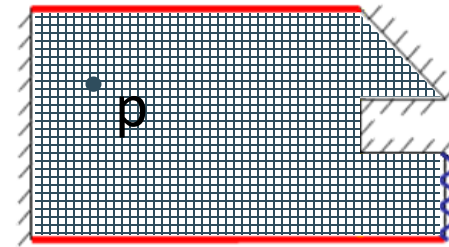
$$\nabla^2 p(r) + k^2 p(r) = -j\rho_0 \omega q(r)$$

+ boundary conditions

# Finite element method



domain discretization



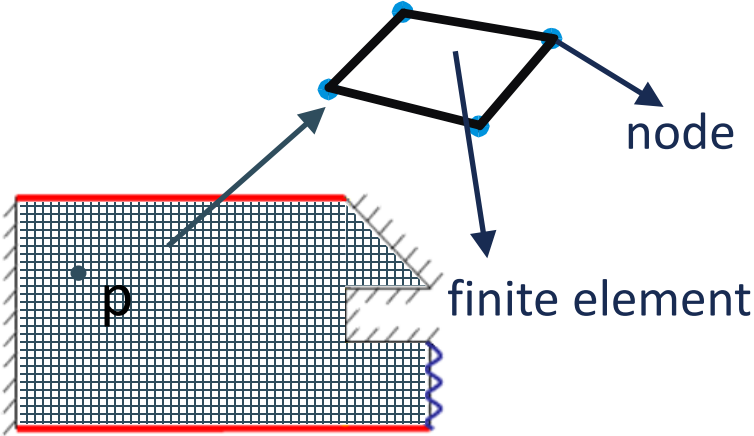
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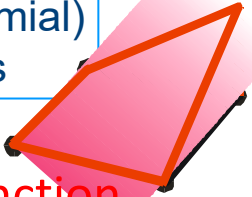
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+ boundary conditions

$$p(\mathbf{r}) \approx \sum_{i=1}^n N_i(\mathbf{r}) \cdot p_i$$

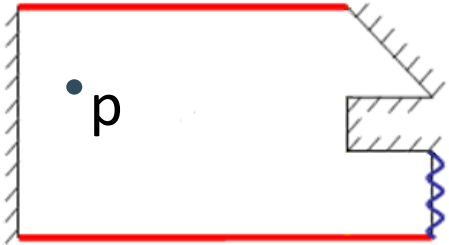
- local
- a priori defined
- simple (polynomial) approximations

$N_i$

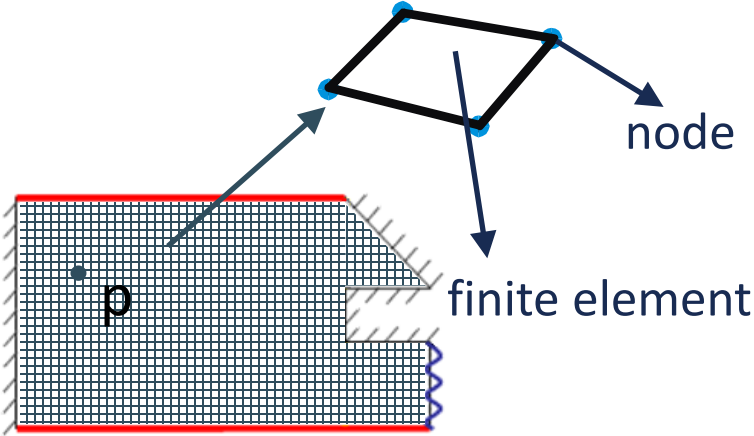


shape function

# Finite element method



domain discretization



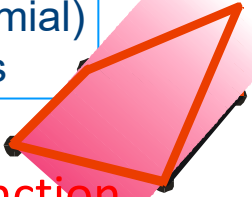
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$N_i$



$$([K_a] + j\omega[C_a] - \omega^2[M_a]) \cdot \{p_i\} = \{F_a\}$$



# Finite Element Method

*Derivation of weighted residual formulation*  
**equivalent integral formulation**

- *original acoustic problem = STRONG FORM*

pressure field in domain  $\Omega$

$$\nabla^2 p(r) + k^2 p(r) = -j\rho_0 \omega q(r)$$

+Boundary Conditions

# Finite Element Method

## *Derivation of weighted residual formulation* **equivalent integral formulation**

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+Boundary Conditions

- *weighted residual formulation*

$$\forall \tilde{p}: \int_{\Omega} \tilde{p}(\nabla^2 p + k^2 p + j\rho_0 \omega q) d\Omega = 0$$

# Finite Element Method

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- *Integration by parts*  $\vec{\nabla} \cdot (\tilde{p} \vec{\nabla} p) = \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p + \tilde{p}(\nabla^2 p)$

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$$\forall \tilde{p}: \int_{\Omega} \vec{\nabla} \cdot (\tilde{p} \vec{\nabla} p) d\Omega + \int_{\Omega} k^2 \tilde{p} p d\Omega + \int_{\Omega} \tilde{p} j\rho_0 \omega q d\Omega = + \int_{\Omega} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega$$

# Finite element method

*Derivation of weighted residual formulation*

$$\forall \tilde{p}: \int_{\Omega} \vec{\nabla} \cdot (\tilde{p} \vec{\nabla} p) d\Omega + \int_{\Omega} k^2 \tilde{p} p d\Omega + \int_{\Omega} \tilde{p} j \rho_0 \omega q d\Omega = + \int_{\Omega} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega$$

# Finite element method

## *Derivation of weighted residual formulation*

$$\boxed{\nabla \tilde{p}: \int_{\Omega} \vec{\nabla} \cdot (\tilde{p} \vec{\nabla} p) d\Omega} + \int_{\Omega} k^2 \tilde{p} p d\Omega + \int_{\Omega} \tilde{p} j \rho_0 \omega q d\Omega = + \int_{\Omega} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega$$

- *Gauss divergence theorem + relation between pressure gradient and particle velocity*

# Finite element method

## Derivation of weighted residual formulation

$$\forall \tilde{p}: \int_{\Omega} \vec{\nabla} \cdot (\tilde{p} \vec{\nabla} p) d\Omega + \int_{\Omega} k^2 \tilde{p} p d\Omega + \int_{\Omega} \tilde{p} j \rho_0 \omega q d\Omega = + \int_{\Omega} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega$$

- Gauss divergence theorem + relation between pressure gradient and particle velocity

$$\forall \tilde{p}: - \int_{\Omega} k^2 \tilde{p} p d\Omega + \int_{\Omega} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega = + \int_{\Omega} \tilde{p} j \rho_0 \omega q d\Omega - \int_{\Gamma} j \rho_0 \omega \tilde{p} \vec{v} \vec{n} d\Gamma$$



# Finite element method

## Derivation of weighted residual formulation

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Final integral  $\int_{\Gamma} j \rho_0 \omega \tilde{p} \vec{v} \vec{n} d\Gamma$  can be expressed as sum of:

- $\int_{\Gamma_p} j \rho_0 \omega \tilde{p} \vec{v} \vec{n} d\Gamma = \int_{\Gamma_p} j \rho_0 \omega \tilde{p} v_p d\Gamma$
- $\int_{\Gamma_v} j \rho_0 \omega \tilde{p} \vec{v} \vec{n} d\Gamma = \int_{\Gamma_v} j \rho_0 \omega \tilde{p} \bar{v}_n d\Gamma$
- $\int_{\Gamma_z} j \rho_0 \omega \tilde{p} \vec{v} \vec{n} d\Gamma = \int_{\Gamma_z} \frac{j \rho_0 \omega \tilde{p} p}{\bar{z}} d\Gamma$

# Finite element method

## Derivation of weighted residual formulation

### Final WEIGHTED RESIDUAL FORMULATION

$$\begin{aligned} \forall \tilde{p}: -\frac{\omega}{c} \int_{\Omega} \tilde{p} p d\Omega + j\rho_0 \omega \int_{\Gamma_Z} \frac{\tilde{p} p}{\bar{Z}} d\Gamma + \int_{\Omega} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega = \\ + j\rho_0 \omega \int_{\Omega} \tilde{p} q d\Omega - \int_{\Gamma_p} j\rho_0 \omega \tilde{p} v_p d\Gamma + \int_{\Gamma_v} j\rho_0 \omega \tilde{p} \bar{v}_n d\Gamma \end{aligned}$$

# Finite element method

## Derivation of weighted residual formulation

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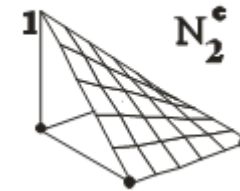
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Boundary conditions naturally included!

# Finite element method

Primary field variable approximation

$$p(x, y, z) = \sum_{i=1}^{n_e} N_i^e(x, y, z) \hat{p}_i$$



element shape functions  $N_i^e$

- locally defined within an element
- $n_e = \# \text{ degrees of freedom} = \# \text{ nodes} * \# \text{ dofs/node}$
- dof evaluation at node locations: 1 shape function equals 1 / others equal 0  
→ nodal dof ( $\hat{p}_i$ ) = value of the degree of freedom at the node location
- predefined shapes between the nodes  
(usually polynomial based / no exact solutions of governing equations)

# Finite element method

Field variable approximation

**primary field variable approximation**

$$p(x, y, z) \approx \sum_{i=1}^{n_e} N_i^e(x, y, z) \hat{p}_i = \underbrace{[N^e(x, y, z)]}_{(1 \times n_e)} \underbrace{\{\hat{p}_e\}}_{(n_e \times 1)}$$

**secondary field variable approximation**

$$\left\{ \begin{array}{c} \frac{\partial p(x, y, z)}{\partial x} \\ \frac{\partial p(x, y, z)}{\partial y} \\ \frac{\partial p(x, y, z)}{\partial z} \end{array} \right\} \approx \sum_{i=1}^{n_e} \left\{ \begin{array}{c} \frac{\partial N_i^e(x, y, z)}{\partial x} \\ \frac{\partial N_i^e(x, y, z)}{\partial y} \\ \frac{\partial N_i^e(x, y, z)}{\partial z} \end{array} \right\} \hat{p}_i = \underbrace{[B^e(x, y, z)]}_{(3 \times n_e)} \underbrace{\{\hat{p}_e\}}_{(n_e \times 1)}$$

# Finite element method

## Single element FE model

- **construction of single element FE model**

- weighted residual formulation (in elemental domain  $\Omega^e$ )

$$\forall \tilde{p}: -\frac{\omega}{c} \int_{\Omega^e} \tilde{p} p d\Omega + j\rho_0 \omega \int_{\Gamma_p^e} \frac{\tilde{p} p}{\bar{z}} d\Gamma + \int_{\Omega^e} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega =$$
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- shape function approximation

$$p(x, y, z) = [N^e(x, y, z)]\{\hat{p}_e\} \quad \vec{\nabla} p(x, y, z) = [B^e(x, y, z)]\{\hat{p}_e\}$$

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- **GALERKIN approach**

$$\tilde{p}(x, y, z) = [N^e(x, y, z)]\{\tilde{p}_e\} \quad \vec{\nabla} \tilde{p}(x, y, z) = [B^e(x, y, z)]\{\tilde{p}_e\}$$



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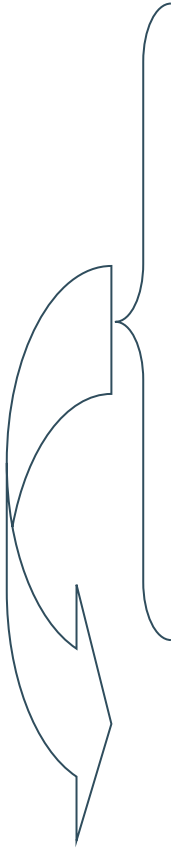
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$$\forall \tilde{p}: -\frac{\omega}{c} \int_{\Omega^e} \tilde{p} p d\Omega + j\rho_0 \omega \int_{\Gamma_p^e} \frac{\tilde{p} p}{\bar{z}} d\Gamma + \int_{\Omega^e} \vec{\nabla} \tilde{p} \cdot \vec{\nabla} p d\Omega =$$

$$+ j\rho_0 \omega \int_{\Omega^e} \tilde{p} q d\Omega - \int_{\Gamma_p^e} j\rho_0 \omega \tilde{p} v_p d\Gamma + \int_{\Gamma_v^e} j\rho_0 \omega \tilde{p} \bar{v}_n d\Gamma$$

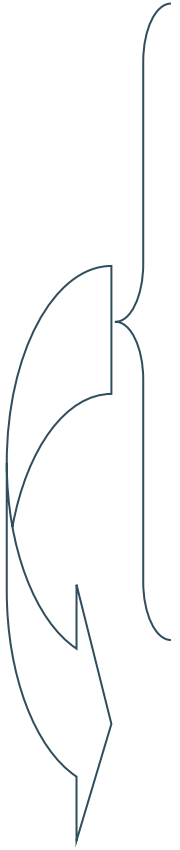
- shape function approximation

$$p(x, y, z) = [N^e(x, y, z)] \{\hat{p}_e\} \quad \vec{\nabla} p(x, y, z) = [B^e(x, y, z)] \{\hat{p}_e\}$$

- **GALERKIN approach**

$$\tilde{p}(x, y, z) = [N^e(x, y, z)] \{\tilde{p}_e\} \quad \vec{\nabla} \tilde{p}(x, y, z) = [B^e(x, y, z)] \{\tilde{p}_e\}$$

$$\{\tilde{p}^e\}^T \cdot ([K^e] + j\omega[C^e] - \omega^2[M^e]) \cdot \{p^e\} = \{\tilde{p}^e\}^T \cdot (\{Q^e\} - \{V_i^e\} - \{P^e\})$$



# Finite element method

## Single element FE model

$$\{\tilde{p}^e\}^T \cdot ([K^e] + j\omega[C^e] - \omega^2[M^e]) \{p^e\} = \{\tilde{p}^e\}^T \cdot (\{Q^e\} - \{V_i^e\} - \{P^e\})$$

$$[K^e] = \int_{\Omega^e} [B^e]^T [B^e] d\Omega$$

element stiffness matrix  
(geometry, material properties)

$$[M^e] = \int_{\Omega^e} \frac{1}{c^2} [N^e]^T [N^e] d\Omega$$

element mass matrix  
(geometry, material properties)

$$[C^e] = \int_{\Gamma_z^e} \frac{\rho_0}{Z_n} [N^e]^T [N^e] d\Omega$$

element damping matrix  
(geometry, impedance BCs)

$$\{Q^e\} = \int_{\Omega^e} j\rho_0\omega [N^e]^T q d\Omega - \int_{\Gamma_v^e} j\rho_0\omega [N^e]^T \bar{v}_n d\Omega$$

element external excitation vector  
(geometry, external load,  
velocity BC)

$$\{V_i^e\} = \int_{\Gamma_i^e} j\rho_0\omega [N^e]^T v_i d\Omega$$

element internal excitation vector  
 $v_i$  : velocity input from adjacent elements

$$\{P^e\} = \int_{\Gamma_p^e} j\rho_0\omega [N^e]^T \bar{v}_p d\Omega$$

element external excitation vector  
(geometry, **pressure** BCs)

$\bar{v}_p?$  : (unknown) velocity input on problem boundary  
with pressure BC

# Finite element method

## Single element FE model

$$\underbrace{\{\tilde{\mathbf{p}}^e\}^T}_{(1 \times n_e)} \cdot \underbrace{([\mathbf{K}^e] + j\omega[\mathbf{C}^e] - \omega^2[\mathbf{M}^e])}_{(n_e \times n_e)} \cdot \underbrace{\{\mathbf{p}^e\}}_{(n_e \times 1)} = \underbrace{\{\tilde{\mathbf{p}}^e\}^T}_{(1 \times n_e)} \cdot \underbrace{(\{\mathbf{Q}^e\} - \{\mathbf{V}_i^e\} - \{\mathbf{P}^e\})}_{(n_e \times 1)}$$

# Finite element method

## Single element FE model

$$\underbrace{\{\tilde{\mathbf{p}}^e\}^T}_{(1 \times n_e)} \cdot \underbrace{([\mathbf{K}^e] + j\omega[\mathbf{C}^e] - \omega^2[\mathbf{M}^e])}_{(n_e \times n_e)} \cdot \underbrace{\{\mathbf{p}^e\}}_{(n_e \times 1)} = \underbrace{\{\tilde{\mathbf{p}}^e\}^T}_{(1 \times n_e)} \cdot \underbrace{(\{\mathbf{Q}^e\} - \{\mathbf{V}_i^e\} - \{\mathbf{P}^e\})}_{(n_e \times 1)}$$

should hold for any weighting function  $\Rightarrow$

# Finite element method

## Single element FE model

$$\underbrace{\{\tilde{\mathbf{p}}^e\}^T}_{(1 \times n_e)} \cdot \underbrace{([\mathbf{K}^e] + j\omega[\mathbf{C}^e] - \omega^2[\mathbf{M}^e])}_{(n_e \times n_e)} \cdot \underbrace{\{\mathbf{p}^e\}}_{(n_e \times 1)} = \underbrace{\{\tilde{\mathbf{p}}^e\}^T}_{(1 \times n_e)} \cdot \underbrace{(\{\mathbf{Q}^e\} - \{\mathbf{V}_i^e\} - \{\mathbf{P}^e\})}_{(n_e \times 1)}$$

should hold for any weighting function  $\Rightarrow$

## element FE model

$$\underbrace{([\mathbf{K}^e] + j\omega[\mathbf{C}^e] - \omega^2[\mathbf{M}^e])}_{(n_e \times n_e)} \cdot \underbrace{\{\mathbf{p}^e\}}_{(n_e \times 1)} = \underbrace{\{\mathbf{Q}^e\} - \{\mathbf{V}_i^e\} - \{\mathbf{P}^e\}}_{(n_e \times 1)}$$

$n_e$  equations in the  $n_e$  unknown element degrees of freedom (dofs)

# Finite element method

## *Assembly of global FE model*

assembly of element FE models into a global FE model

accounting for:

- *'compatibility' conditions*
    - ... coinciding nodes have shared dofs
  - *'equilibrium' conditions*
    - ... internal excitation vectors compensate each other ( $v_i$ : equal but opposite in sign)
-

# Finite element method

## Assembly of global FE model

assembly of element FE models into a global FE model

accounting for:

- ‘compatibility’ conditions  
... coinciding nodes have shared dofs
- ‘equilibrium’ conditions  
... internal excitation vectors compensate each other ( $v_i$ : equal but opposite in sign)

---

**global FE model**

$$\underbrace{([K] + j\omega[C] - \omega^2[M])}_{(n \times n)} \cdot \underbrace{\{p\}}_{(n \times 1)} = \underbrace{\{F\}}_{(n \times 1)}$$

$n$  equations in the  $n$  degrees of freedom



# Finite element method

## Assembly of global FE model

global excitation vector  $\{F\}$

$$\{F\} = \{Q\} - \{P\}$$

'summation' of element vectors

$$\{Q\} \longleftarrow \{Q^e\} = \int_{\Omega^e} j\rho_0\omega [N^e]^T q d\Omega - \int_{\Gamma_v^e} j\rho_0\omega [N^e]^T \bar{v}_n d\Omega$$

$$\{0\} \longleftarrow \{V_i^e\} = \int_{\Gamma_i^e} j\rho_0\omega [N^e]^T v_i d\Omega$$

... internal excitation vectors compensate each other  
( $v_i$ : equal but opposite in sign)

$$\{P\} \longleftarrow \{P^e\} = \int_{\Gamma_p^e} j\rho_0\omega [N^e]^T \bar{v}_p d\Omega$$

*unknown !*

# Finite element method

## Partitioning of the FE system of equations

$$\begin{array}{l}
 \text{known} \\
 \text{unknown}
 \end{array}
 \begin{Bmatrix}
 \mathbf{F}_f \\
 \mathbf{F}_c
 \end{Bmatrix}
 =
 \begin{bmatrix}
 \mathbf{Z}_{ff} & \mathbf{Z}_{fc} \\
 \mathbf{Z}_{cf} & \mathbf{Z}_{cc}
 \end{bmatrix}
 \cdot
 \begin{Bmatrix}
 \mathbf{p}_f \\
 \mathbf{p}_c
 \end{Bmatrix}
 \begin{array}{l}
 \text{unknown} \\
 \text{known (pressure BC on } \Gamma_p \text{)}
 \end{array}$$

contribute to  $F_f$  ←  $\{Q^e\} = \int_{\Omega^e} j\rho_0\omega [N^e]^T q d\Omega - \int_{\Gamma_v^e} j\rho_0\omega [N^e]^T \bar{v}_n d\Omega$

contribute only to  $F_c$  ←  $\{P_i^e\} = \int_{\Gamma_i^e} j\rho_0\omega [N^e]^T \bar{v}_p d\Omega$   
 not to  $F_f$ !

$$\mathbf{p}_f = \mathbf{Z}_{ff}^{-1} (\mathbf{F}_f - \mathbf{Z}_{fc} \mathbf{p}_c)$$

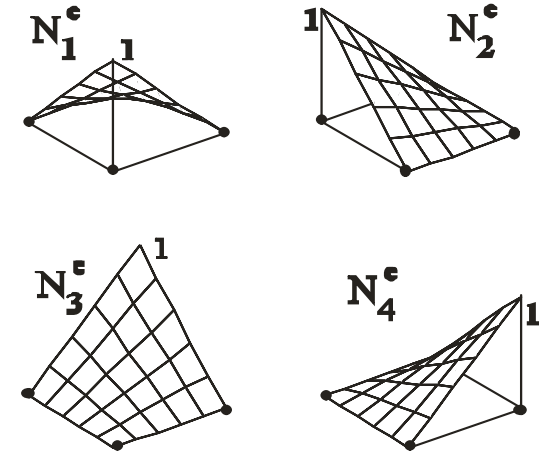
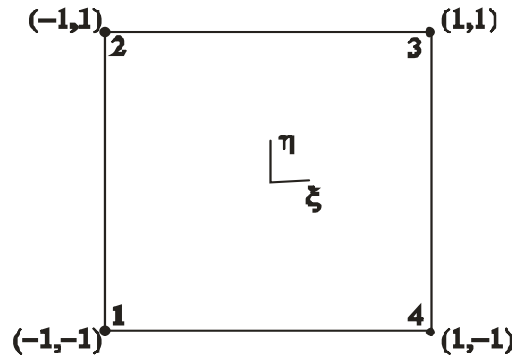
# Finite element method

## QUAD element

### 4-noded square element (order $p=1$ )

local element  
coordinate system  
( $\xi, \eta$ )

4 nodes  
1 dof per node



$$\phi \approx N_1^e(\xi, \eta) \cdot \phi_1 + N_2^e(\xi, \eta) \cdot \phi_2 + N_3^e(\xi, \eta) \cdot \phi_3 + N_4^e(\xi, \eta) \cdot \phi_4$$

$$N_1^e(\xi, \eta) = \frac{1}{4}(1-\xi)(1-\eta) \quad N_2^e(\xi, \eta) = \frac{1}{4}(1-\xi)(1+\eta) \quad N_3^e(\xi, \eta) = \frac{1}{4}(1+\xi)(1+\eta) \quad N_4^e(\xi, \eta) = \frac{1}{4}(1+\xi)(1-\eta)$$

$$N_1^e(+1,+1) = 0$$

$$N_1^e(-1,+1) = 0$$

$$N_1^e(-1,-1) = 1$$

$$N_1^e(+1,-1) = 0$$

$$N_2^e(-1,-1) = 0$$

$$N_2^e(-1,+1) = 1$$

$$N_2^e(+1,+1) = 0$$

$$N_2^e(+1,-1) = 0$$

$$N_3^e(-1,-1) = 0$$

$$N_3^e(-1,+1) = 0$$

$$N_3^e(+1,+1) = 1$$

$$N_3^e(+1,-1) = 0$$

$$N_4^e(-1,-1) = 0$$

$$N_4^e(-1,+1) = 0$$

$$N_4^e(+1,+1) = 0$$

$$N_4^e(+1,-1) = 1$$

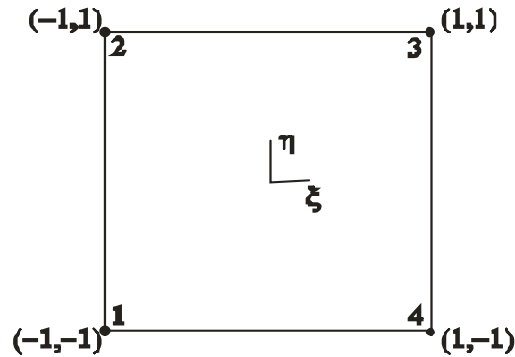
# Finite element method

## QUAD element

### 4-noded square element (order $p=1$ )

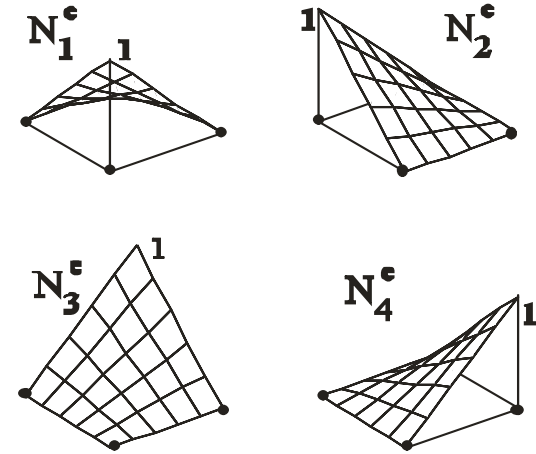
local element  
coordinate system  
( $\xi, \eta$ )

4 nodes  
1 dof per node



• consistency : partition-of-unity

$$\sum N_i^e = 1$$



$$\phi \approx N_1^e(\xi, \eta) \cdot \phi_1 + N_2^e(\xi, \eta) \cdot \phi_2 + N_3^e(\xi, \eta) \cdot \phi_3 + N_4^e(\xi, \eta) \cdot \phi_4$$

$$N_1^e(\xi, \eta) = \frac{1}{4}(1-\xi)(1-\eta) \quad N_2^e(\xi, \eta) = \frac{1}{4}(1-\xi)(1+\eta) \quad N_3^e(\xi, \eta) = \frac{1}{4}(1+\xi)(1+\eta) \quad N_4^e(\xi, \eta) = \frac{1}{4}(1+\xi)(1-\eta)$$

$$\begin{aligned} N_1^e(+1,+1) &= 0 \\ N_1^e(-1,+1) &= 0 \\ N_1^e(-1,-1) &= 1 \\ N_1^e(+1,-1) &= 0 \end{aligned}$$

$$\begin{aligned} N_2^e(-1,-1) &= 0 \\ N_2^e(-1,+1) &= 1 \\ N_2^e(+1,+1) &= 0 \\ N_2^e(+1,-1) &= 0 \end{aligned}$$

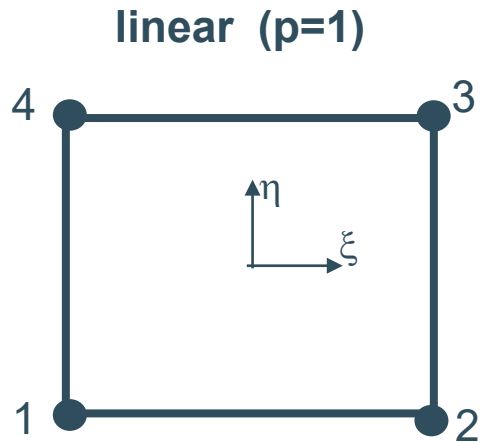
$$\begin{aligned} N_3^e(-1,-1) &= 0 \\ N_3^e(-1,+1) &= 0 \\ N_3^e(+1,+1) &= 1 \\ N_3^e(+1,-1) &= 0 \end{aligned}$$

$$\begin{aligned} N_4^e(-1,-1) &= 0 \\ N_4^e(-1,+1) &= 0 \\ N_4^e(+1,+1) &= 0 \\ N_4^e(+1,-1) &= 1 \end{aligned}$$

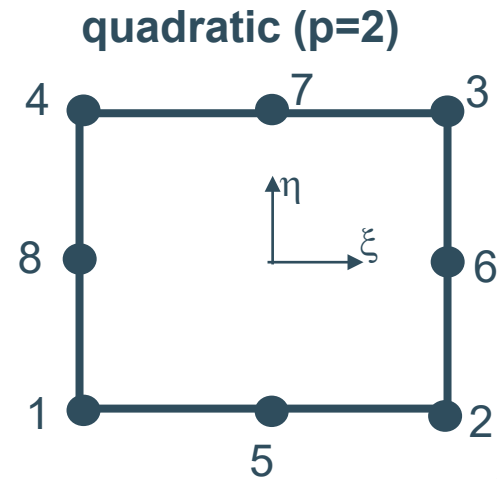
# Finite element method

QUAD element – linear and quadratic

element definition



$$N_1 = \frac{1}{4}(1-\xi)(1-\eta)$$
$$N_2 = \frac{1}{4}(1+\xi)(1-\eta)$$
$$N_3 = \frac{1}{4}(1+\xi)(1+\eta)$$
$$N_4 = \frac{1}{4}(1-\xi)(1+\eta)$$



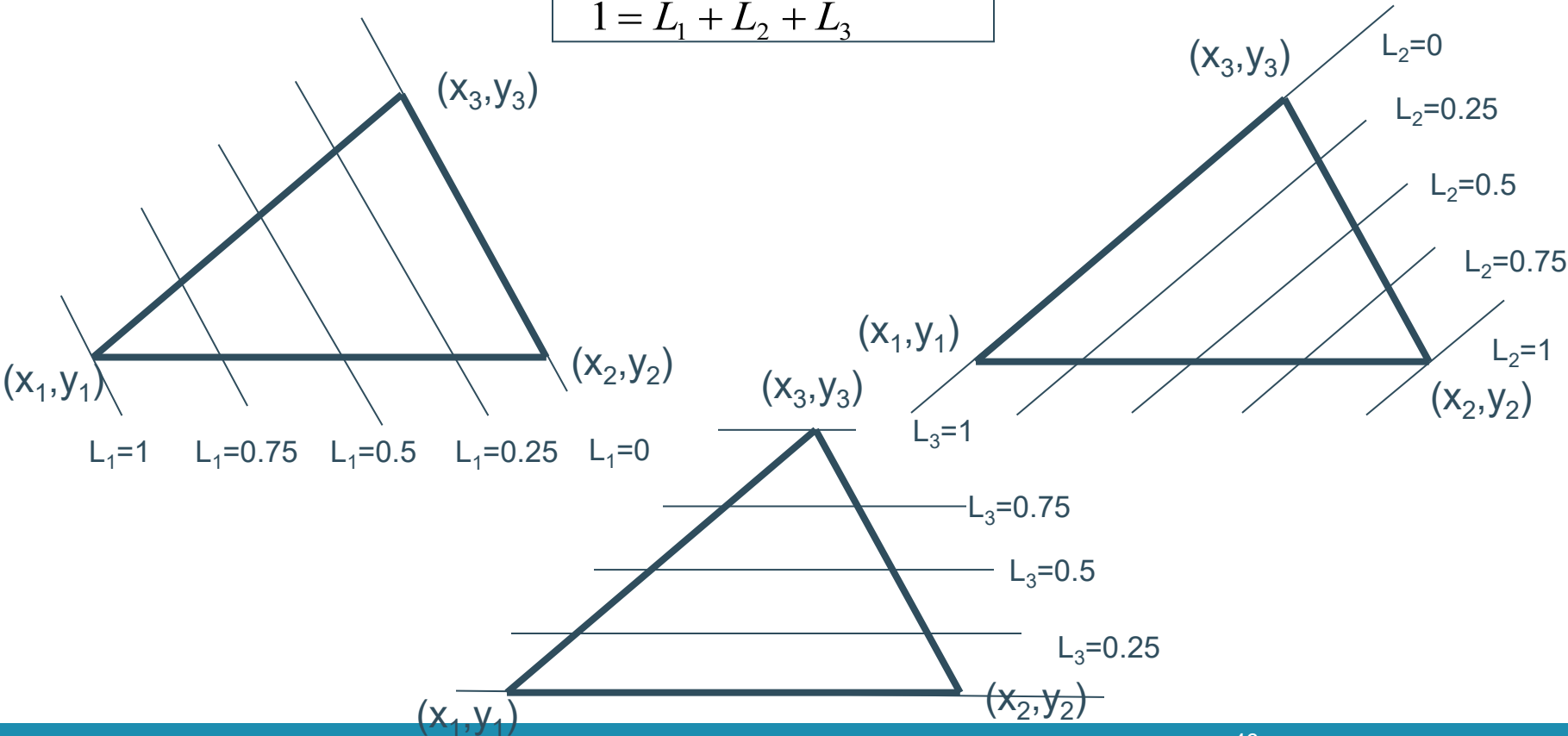
$$N_1 = \frac{1}{4}(1-\xi)(1-\eta)(-\xi-\eta-1)$$
$$N_2 = \frac{1}{4}(1+\xi)(1-\eta)(\xi-\eta-1)$$
$$N_3 = \frac{1}{4}(1+\xi)(1+\eta)(\xi+\eta-1)$$
$$N_4 = \frac{1}{4}(1-\xi)(1+\eta)(-\xi+\eta-1)$$
$$N_5 = \frac{1}{2}(1-\xi^2)(1-\eta)$$
$$N_6 = \frac{1}{2}(1+\xi)(1-\eta^2)$$
$$N_7 = \frac{1}{2}(1-\xi^2)(1+\eta)$$
$$N_8 = \frac{1}{2}(1-\xi)(1-\eta^2)$$

# Finite element method

## TRIA element

surface co-ordinates

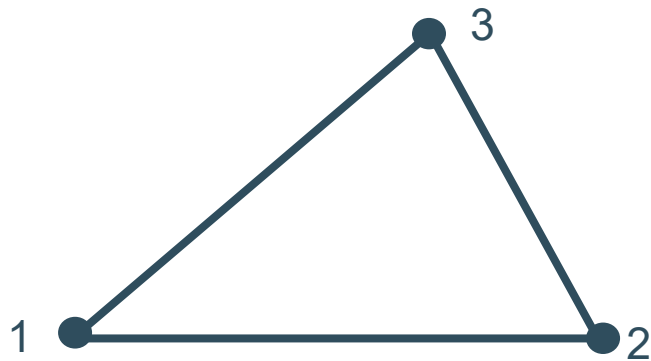
$$\begin{aligned}
 x &= L_1 \cdot x_1 + L_2 \cdot x_2 + L_3 \cdot x_3 \\
 y &= L_1 \cdot y_1 + L_2 \cdot y_2 + L_3 \cdot y_3 \\
 1 &= L_1 + L_2 + L_3
 \end{aligned}$$



# Finite element method

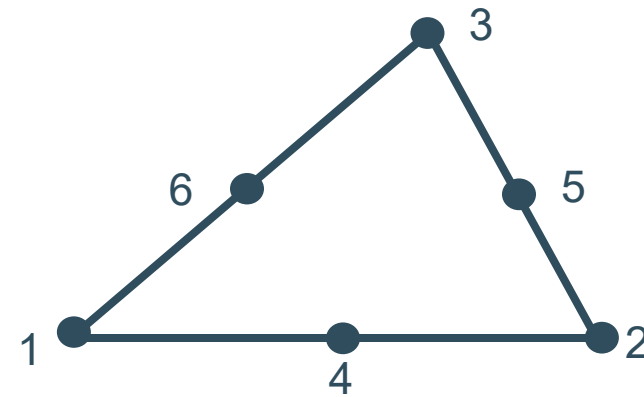
TRIA element – linear and quadratic

linear (p=1)



$$\begin{aligned}N_1 &= L_1 \\N_2 &= L_2 \\N_3 &= L_3\end{aligned}$$

quadratic (p=2)

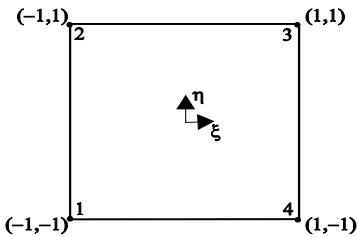


$$\begin{aligned}N_1 &= L_1 \cdot (2L_1 - 1) & N_4 &= 4L_1L_2 \\N_2 &= L_2 \cdot (2L_2 - 1) & N_5 &= 4L_2L_3 \\N_3 &= L_3 \cdot (2L_3 - 1) & N_6 &= 4L_1L_3\end{aligned}$$

# Finite element method

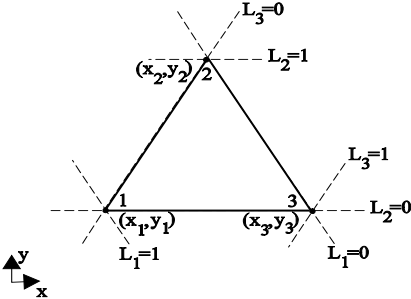
TRIA element – linear and quadratic

2D



(a)

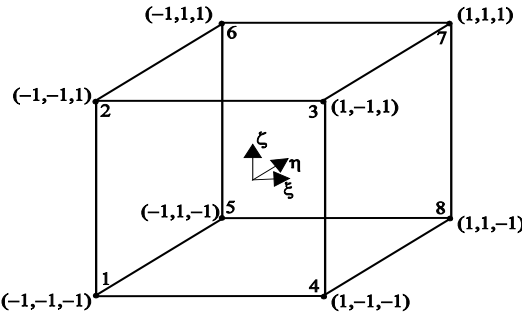
4-noded rectangular element



(b)

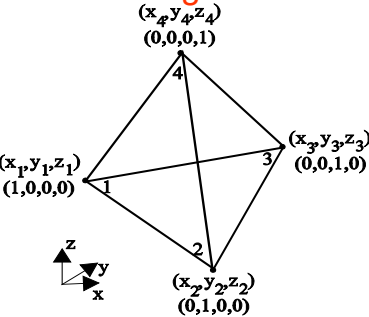
3-noded triangular element

3D



(c)

8-noded brick element



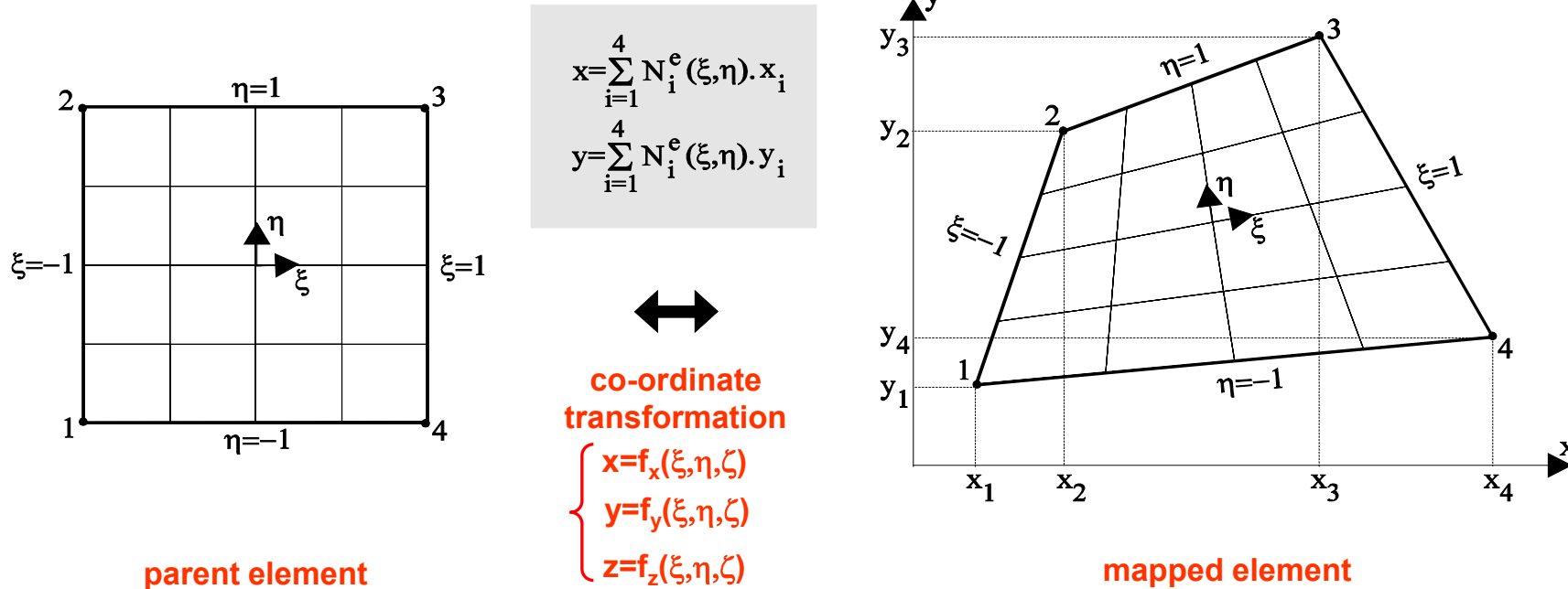
(d)

4-noded tetrahedral element



# Finite element method

## Isoparametric element



isoparametric elements: same shape functions for geometry and field variables

# Finite element method

## Isoparametric mapping

### numerical integration

$$\mathbf{K}^{(e)} = \int_{\Omega_e} \mathbf{B}^{(e)T} \mathbf{D} \mathbf{B}^{(e)} . d\Omega$$

numerical integration of mapped elements

$$\iint_{\Omega^{(e)}} \mathbf{f}(x, y) . dx . dy = \int_{-1}^{+1} \int_{-1}^{+1} \mathbf{f}(x(\xi, \eta), y(\xi, \eta)) . \det(\mathbf{J}) . d\xi . d\eta$$

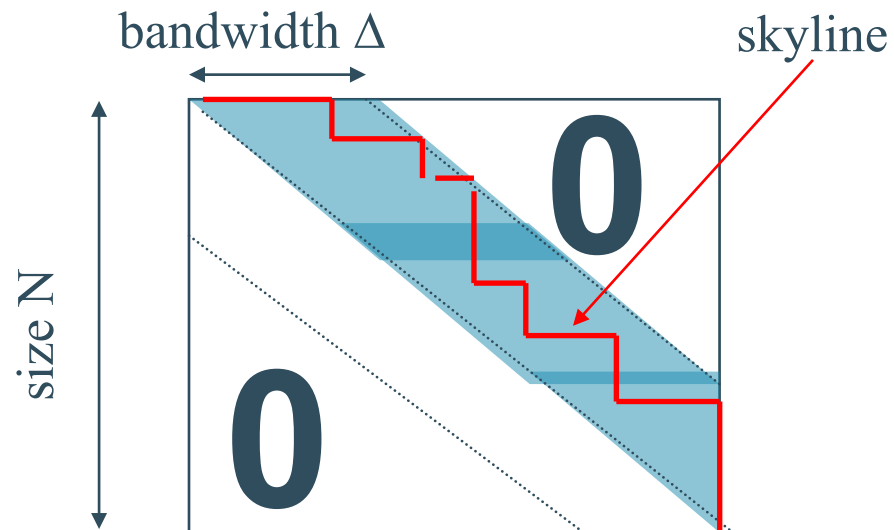
$\det(\mathbf{J})$  should not change sign ! ... restriction on mapping

$\det(\mathbf{J})$  can be position dependent (function of  $\xi$  and  $\eta$ )

# Finite element method

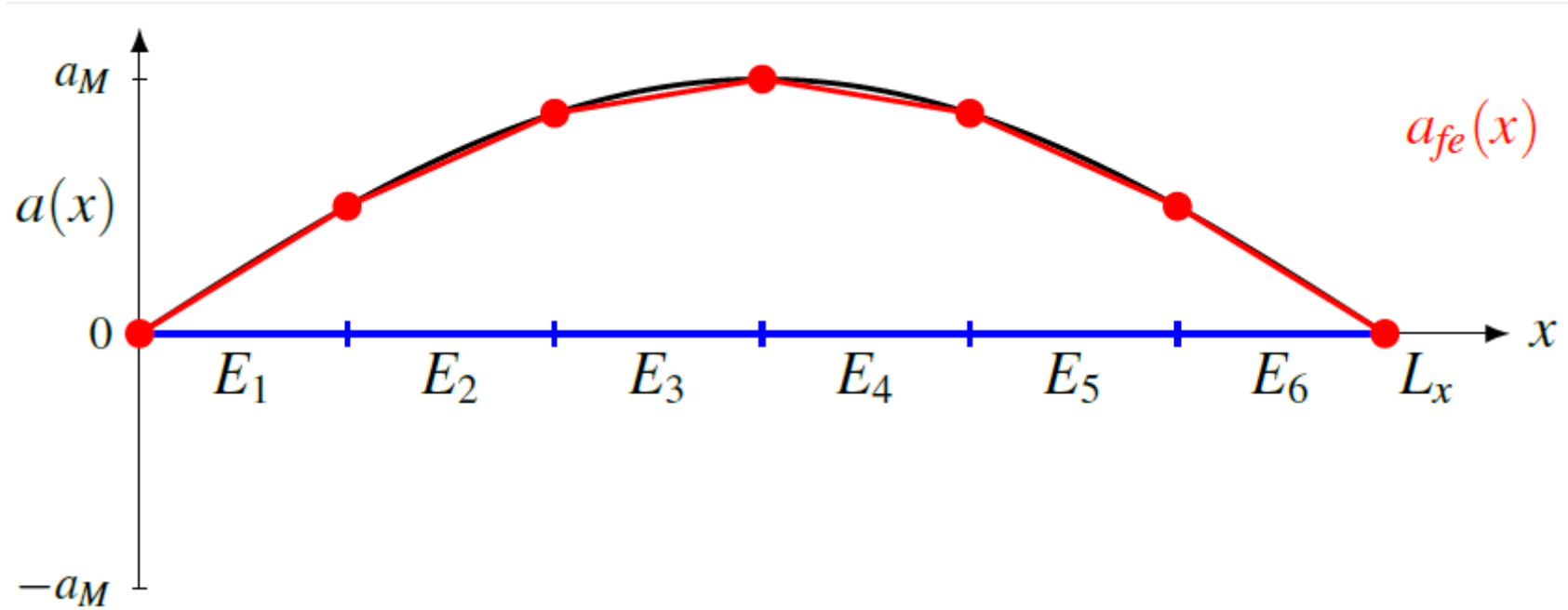
## *Properties of the resulting matrix*

- symmetrical
- sparsely populated
- banded structure
- coefficients obtained from simple numerical integrations
- positive diagonal elements



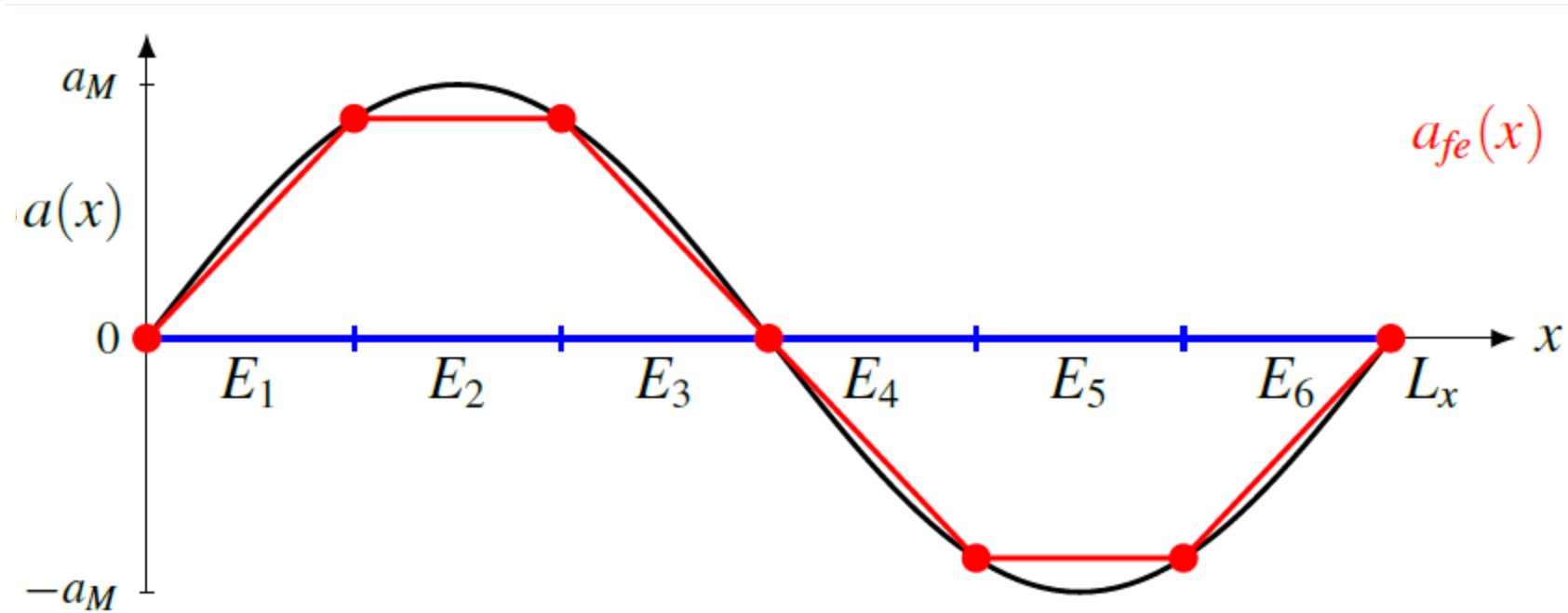
# Finite element method

## Interpolation error



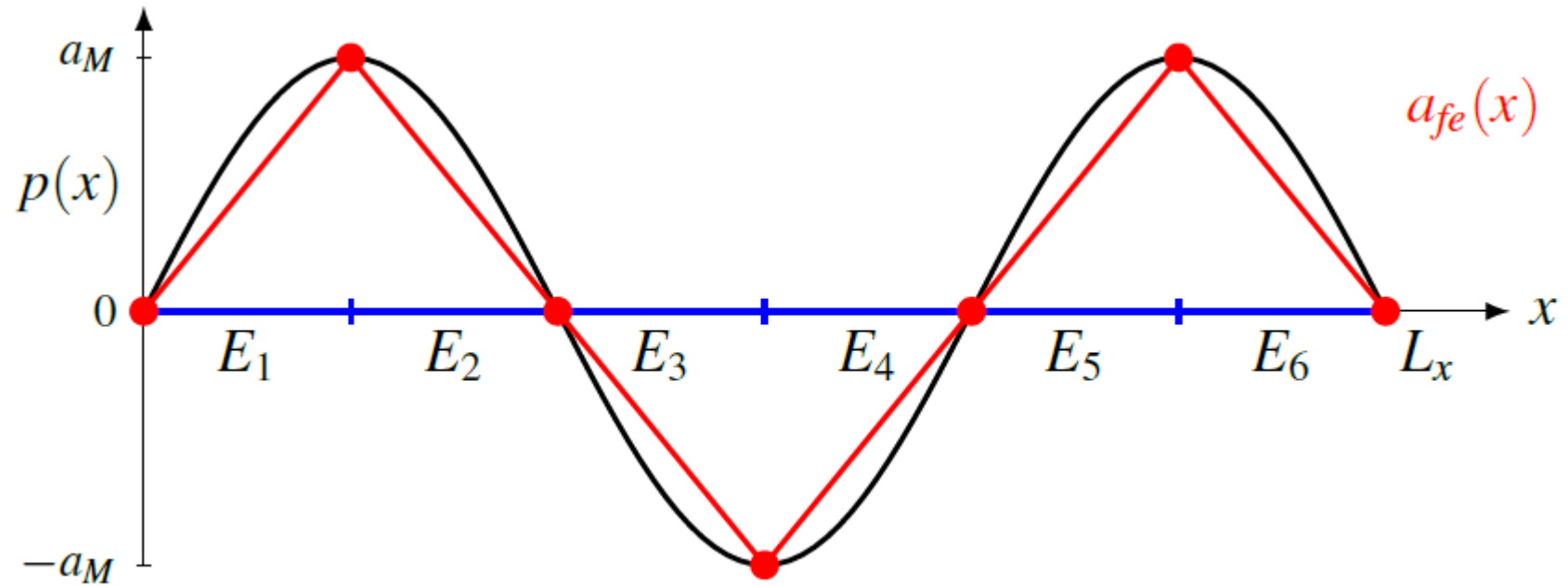
# Finite element method

## Interpolation error



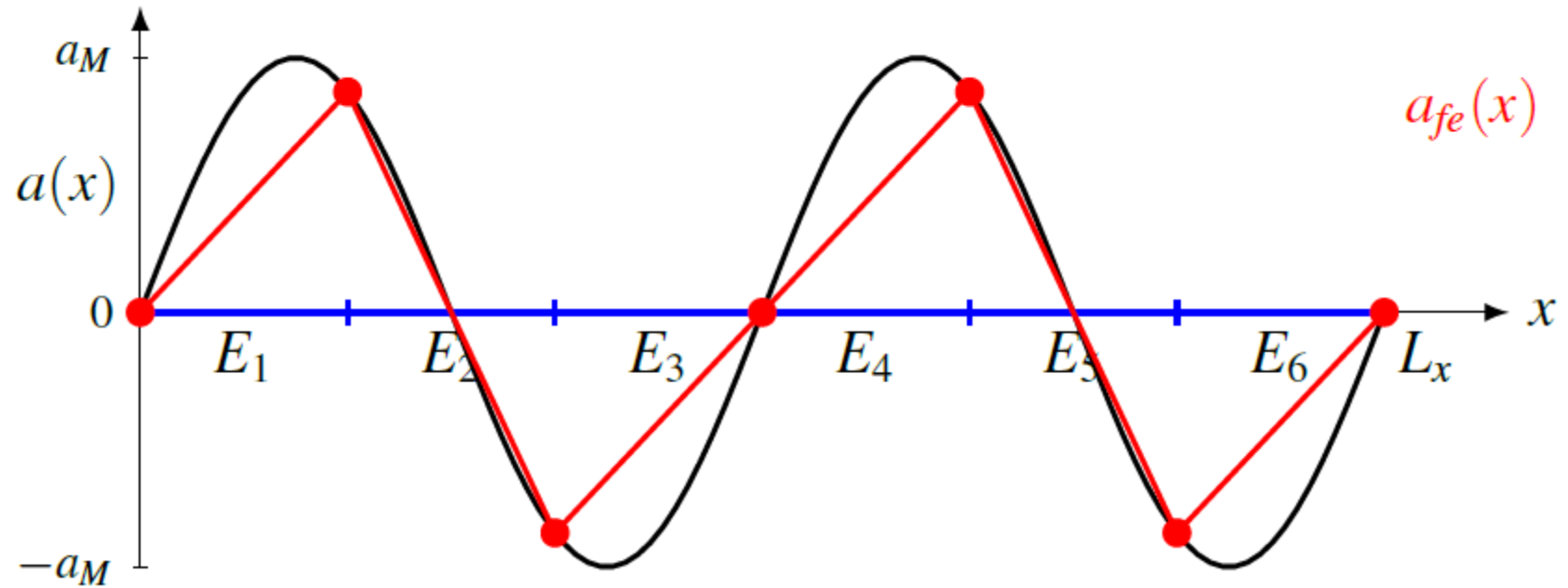
# Finite element method

## Interpolation error



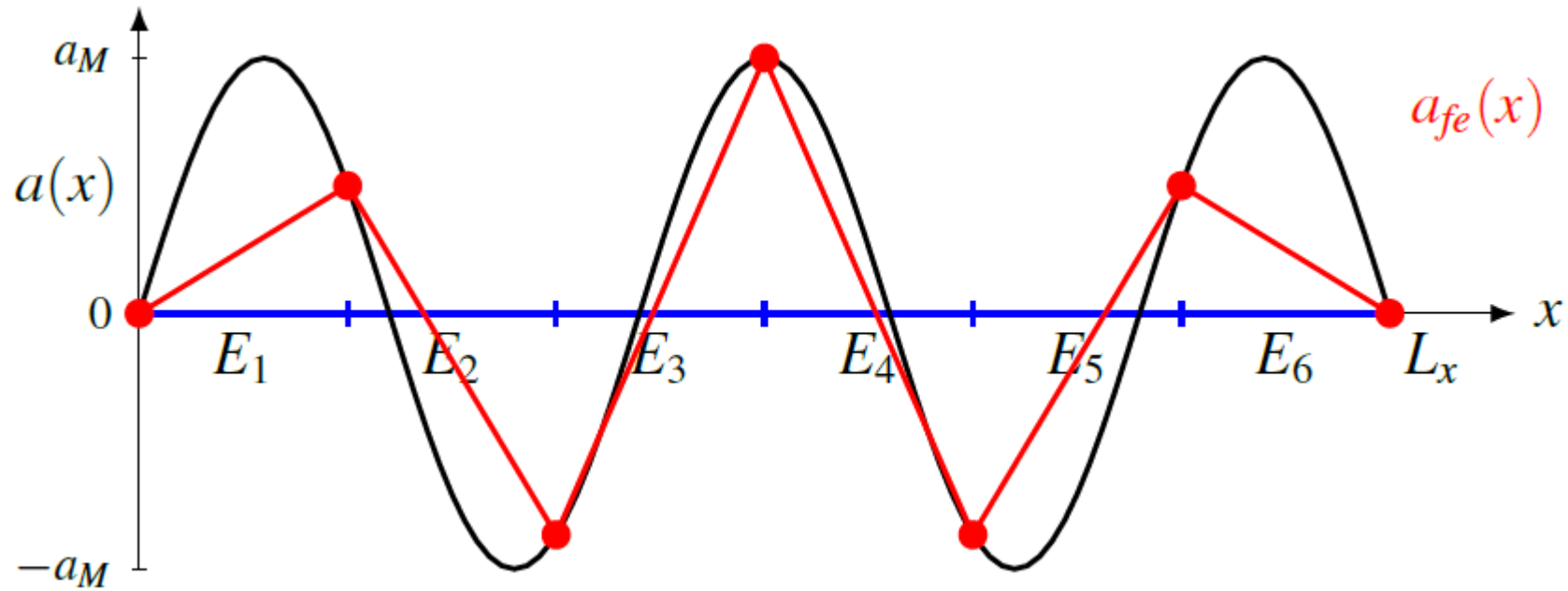
# Finite element method

## Interpolation error



# Finite element method

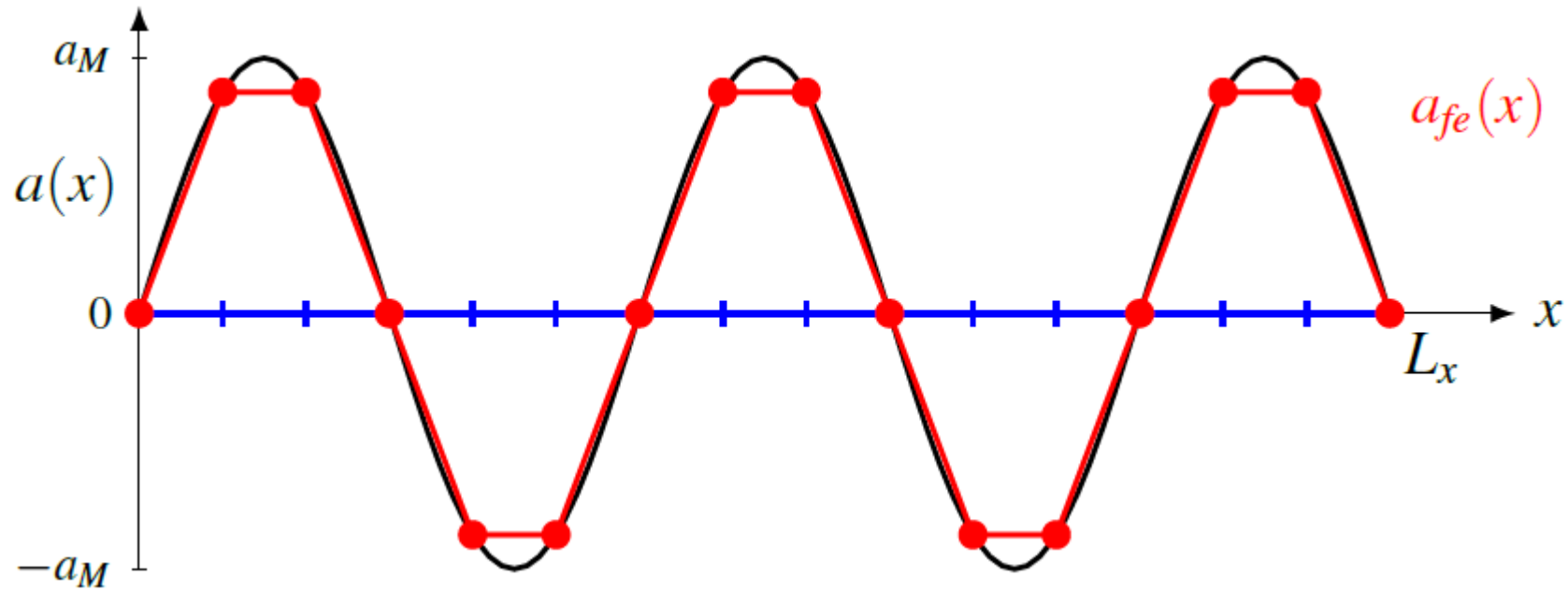
## Interpolation error





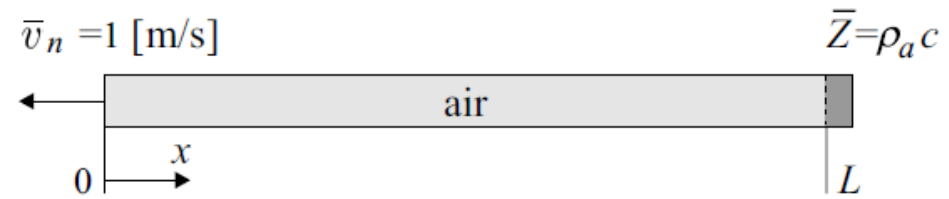
# Finite element method

Interpolation error



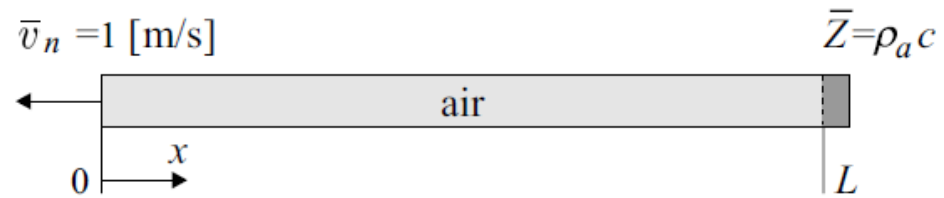
# Finite element method

*Interpolation and dispersion error*



# Finite element method

## Interpolation and dispersion error



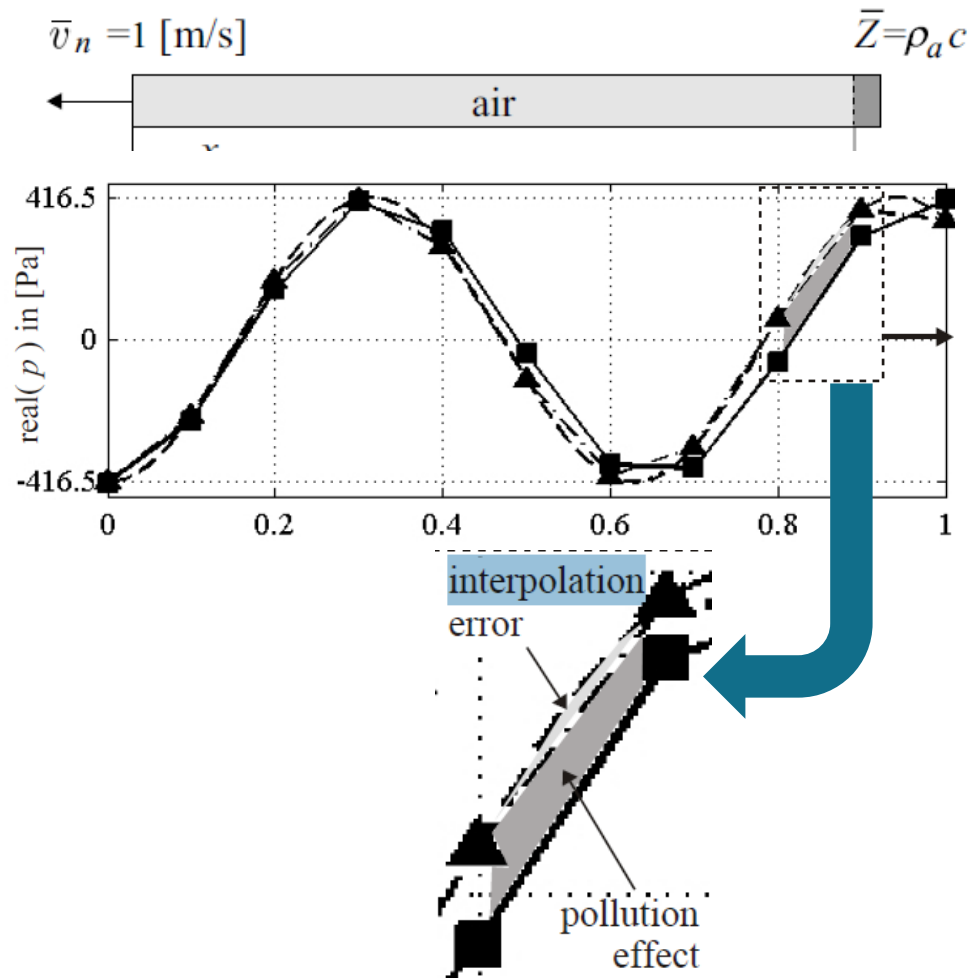
$$\phi \approx \sum_a N_a^e \cdot \phi_a$$

$N_a^e$

- locally defined within element (size  $h$ )
- simple (polynomial) functions (order  $p$ )
- restricted spatial variation
- no exact solutions !

# Finite element method

## Interpolation and dispersion error



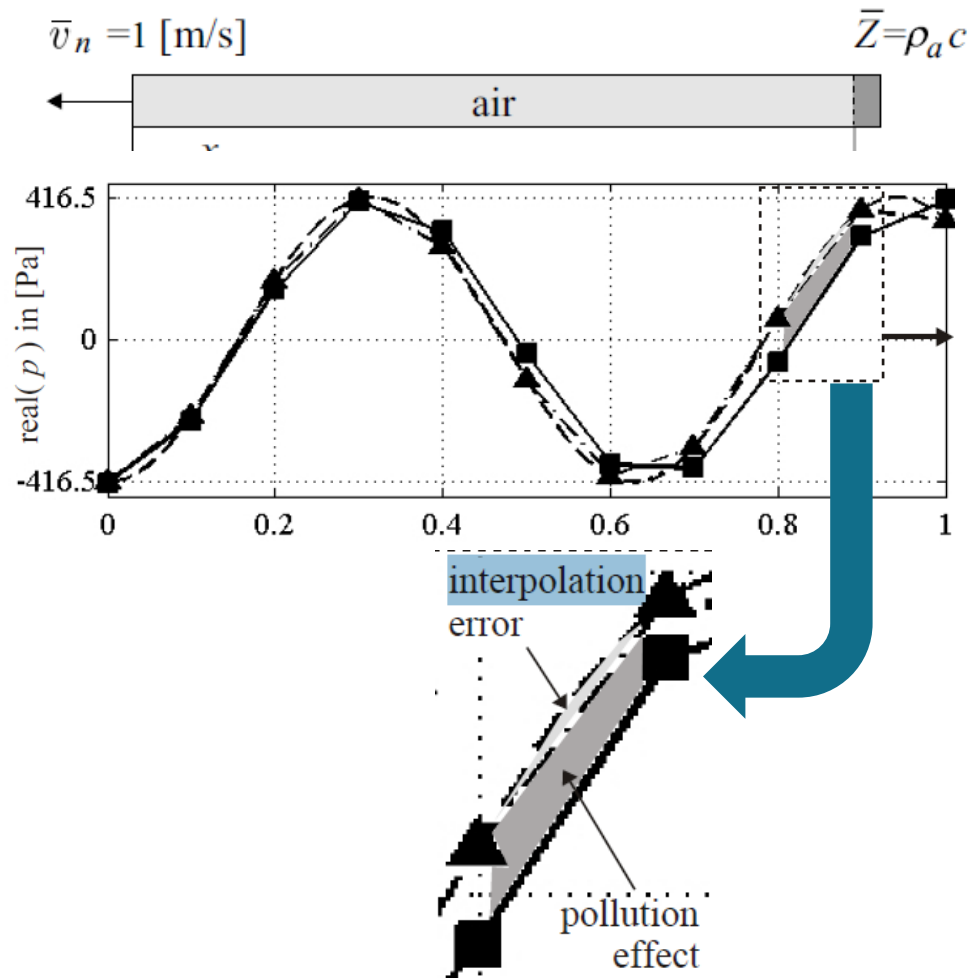
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# Finite element method

## Interpolation and dispersion error



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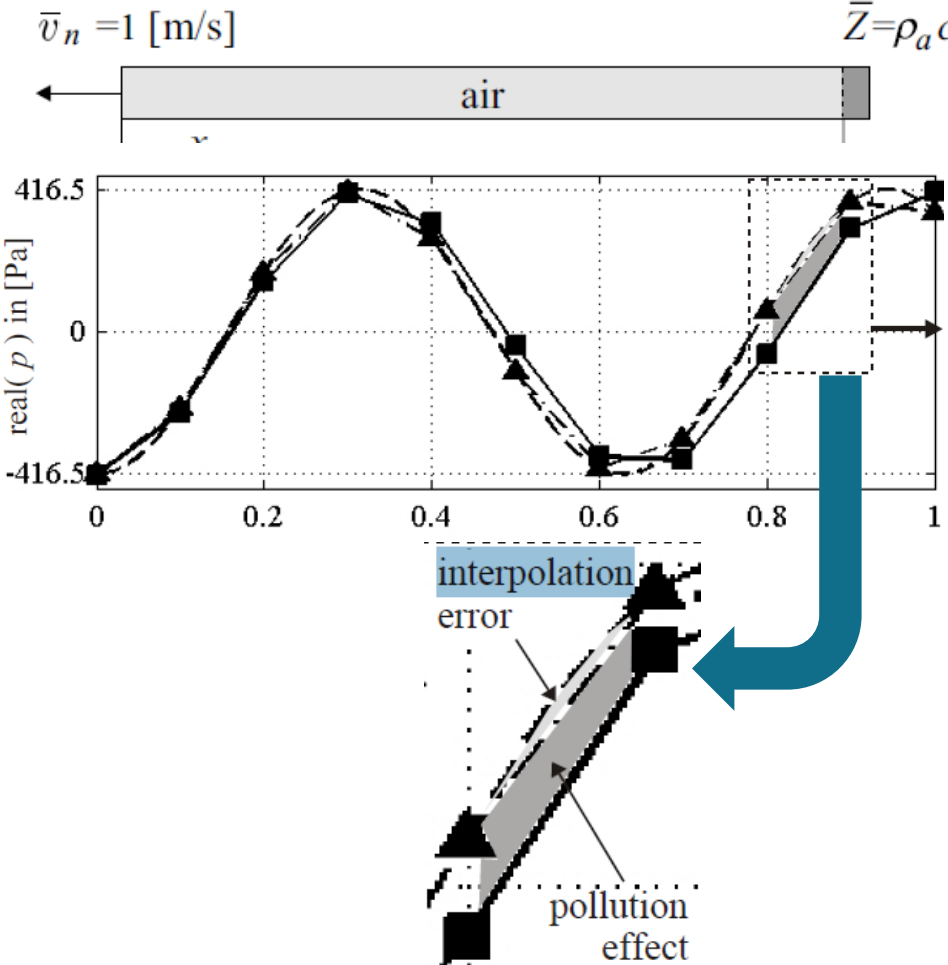
$$\varepsilon \leq C_1 \cdot \left(\frac{kh}{p}\right)^p + C_2 \cdot kL \left(\frac{kh}{p}\right)^{2p}$$

interpolation error

pollution error (dispersion)

# Finite element method

## Interpolation and dispersion error



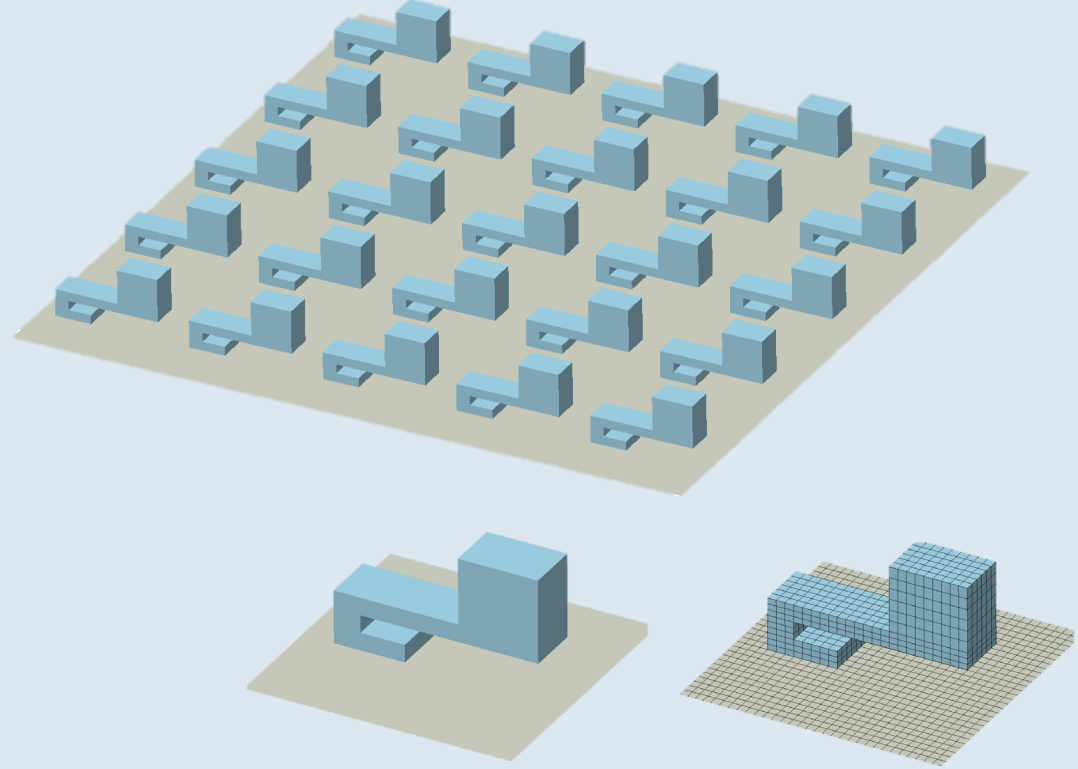
$$\phi \approx \sum_a N_a^e \cdot \phi_a$$

- locally defined within element (size  $h$ )  $N_a^e$
- simple (polynomial) functions (order  $p$ )
- restricted spatial variation
- no exact solutions !

$$\varepsilon \leq C_1 \underbrace{\left(\frac{kh}{p}\right)^p}_{\text{interpolation error}} + C_2 \cdot kL \underbrace{\left(\frac{kh}{p}\right)^{2p}}_{\text{pollution error (dispersion)}}$$

**practical frequency limitation !!**

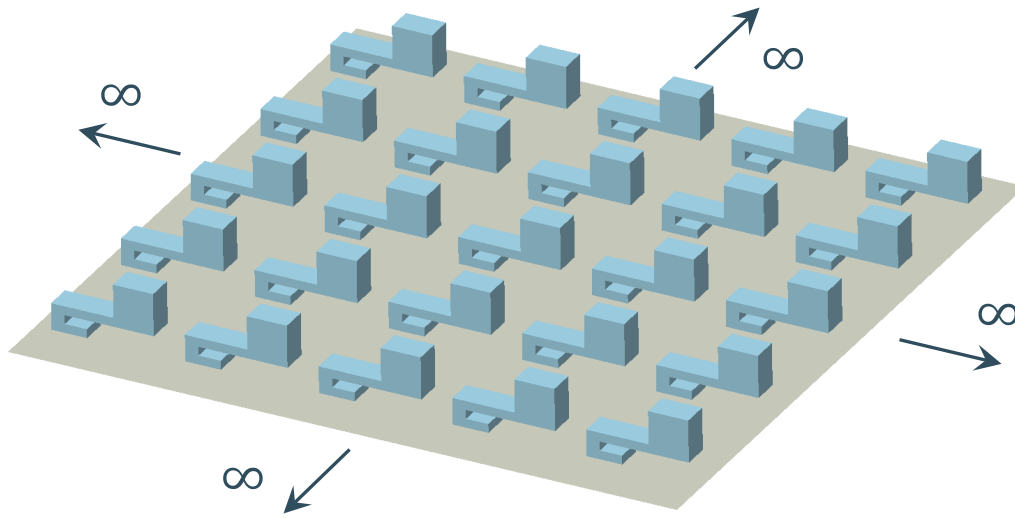
- keeping  $kh$  constant ... insufficient !



# Infinite periodic structure modelling

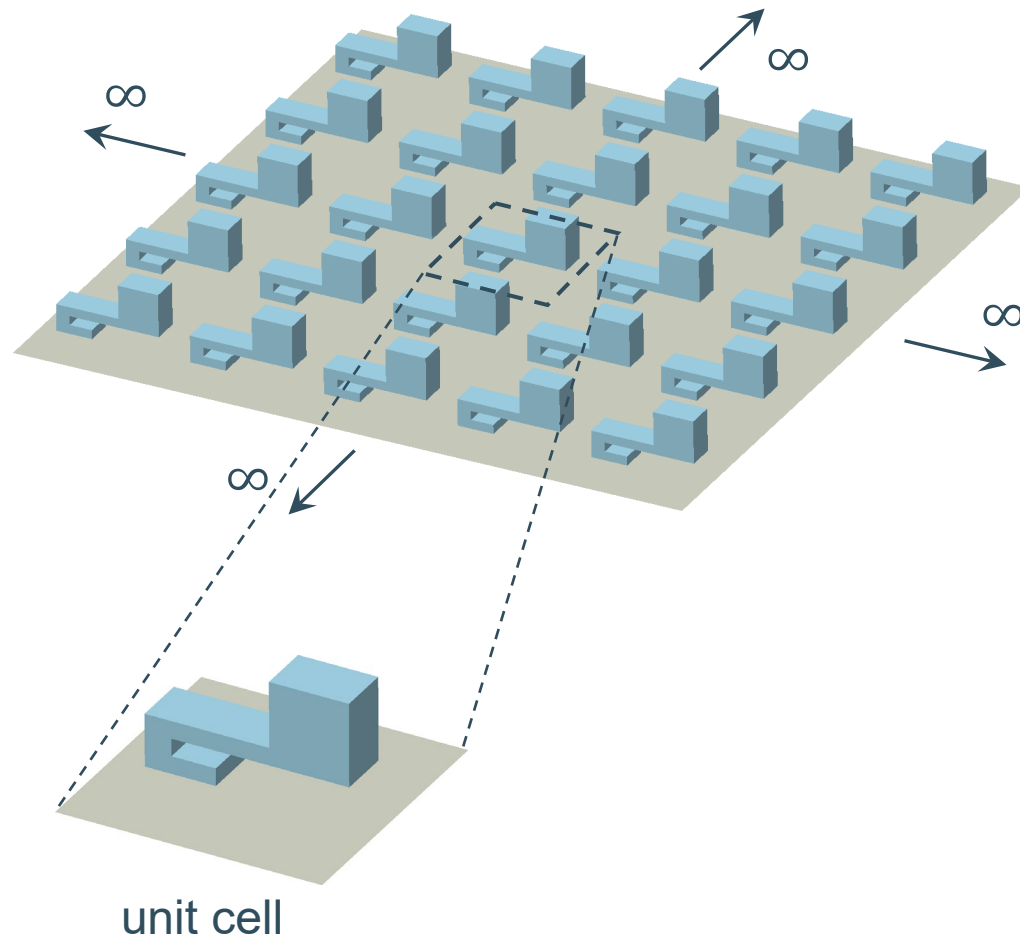
How can we efficiently model and analyse metamaterials using FEM?

# Infinite periodic structure modelling

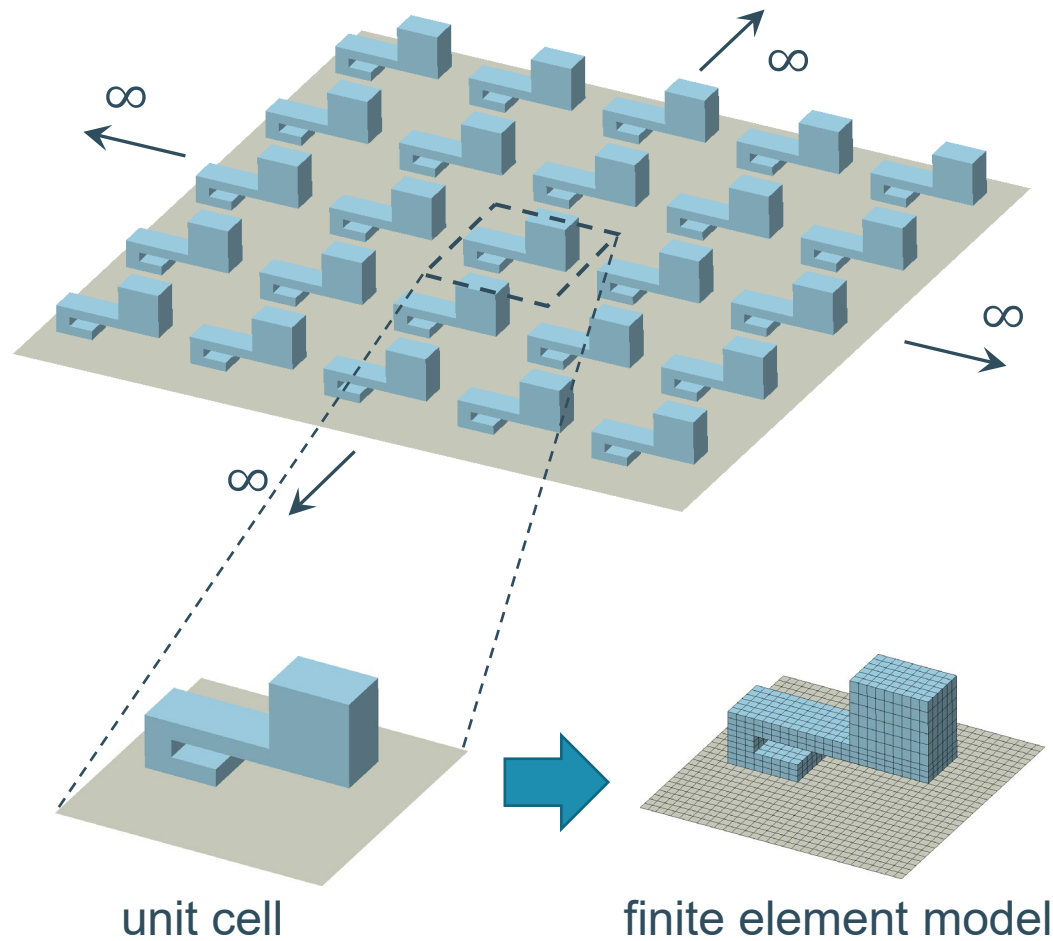




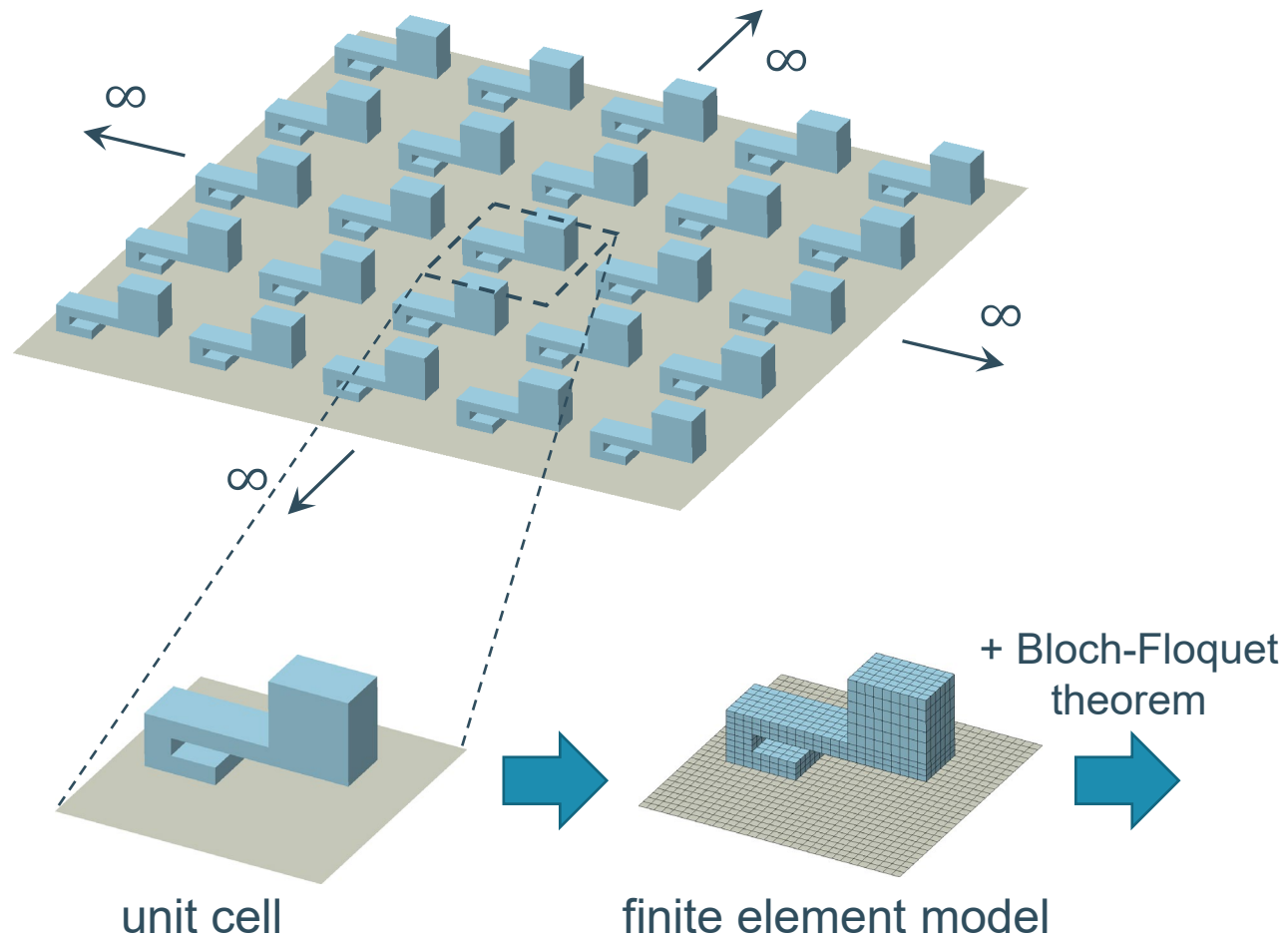
# Infinite periodic structure modelling



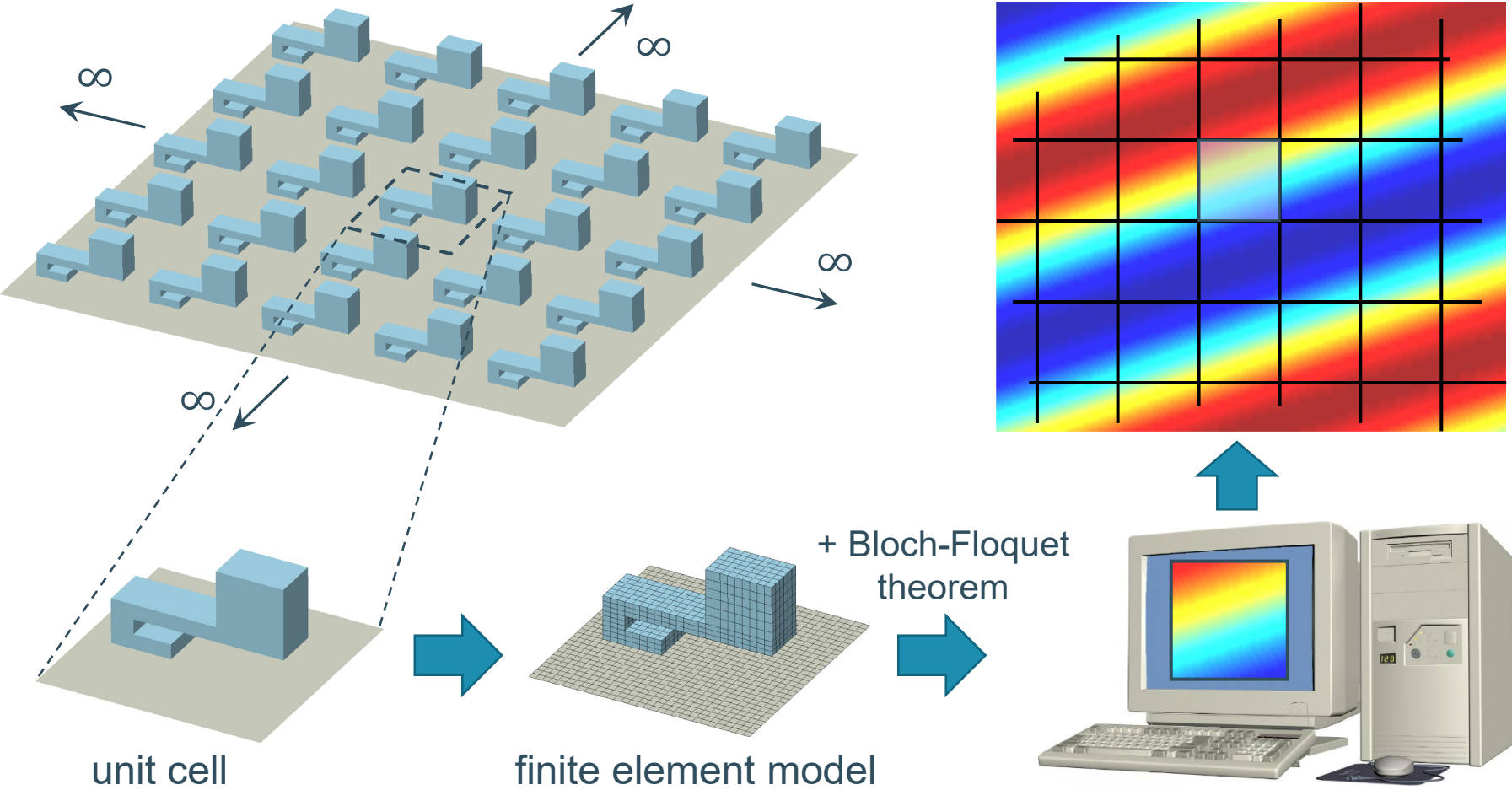
# Infinite periodic structure modelling



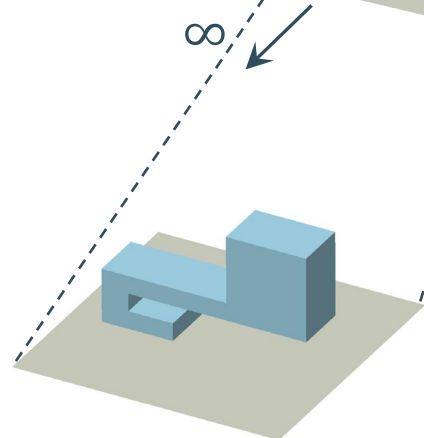
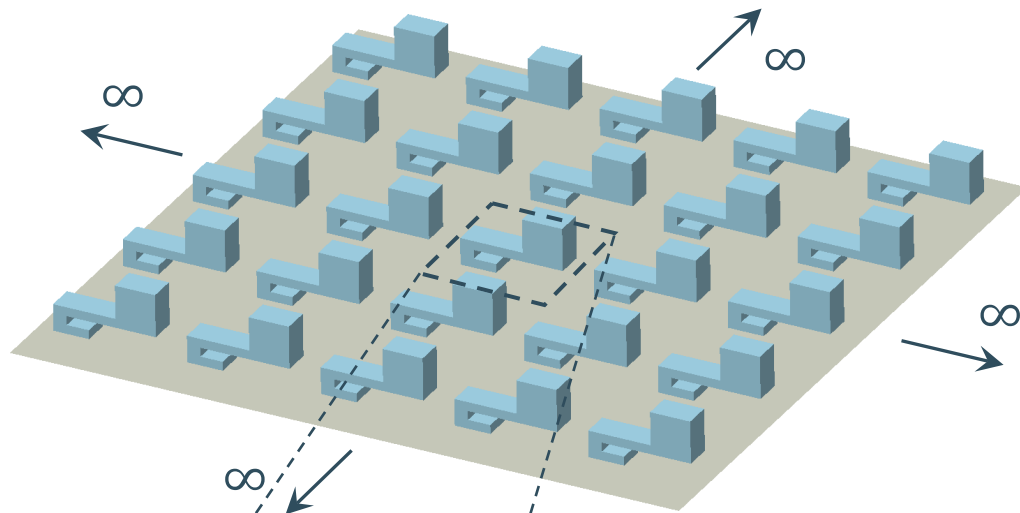
# Infinite periodic structure modelling



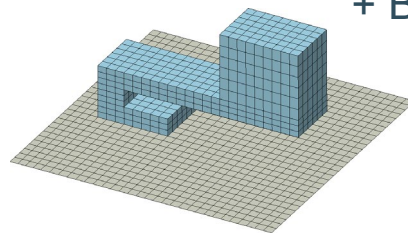
# Infinite periodic structure modelling



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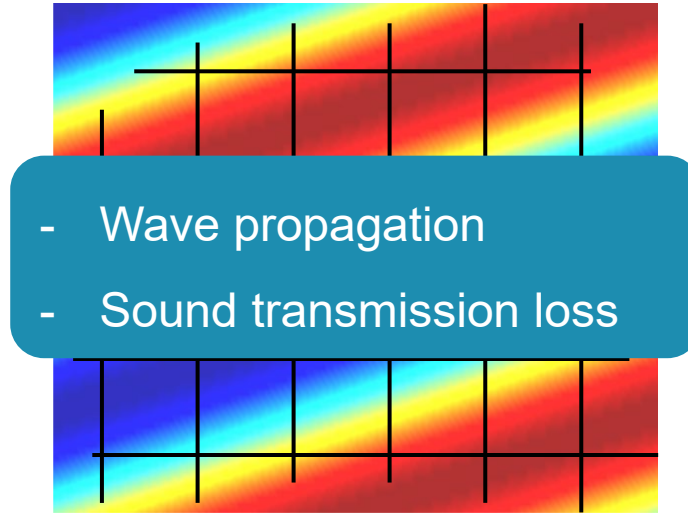


unit cell



finite element model

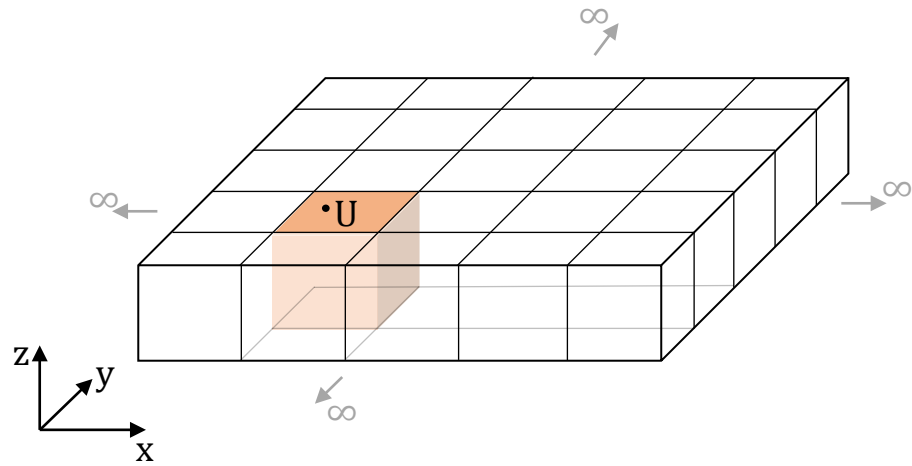
+ Bloch-Floquet theorem



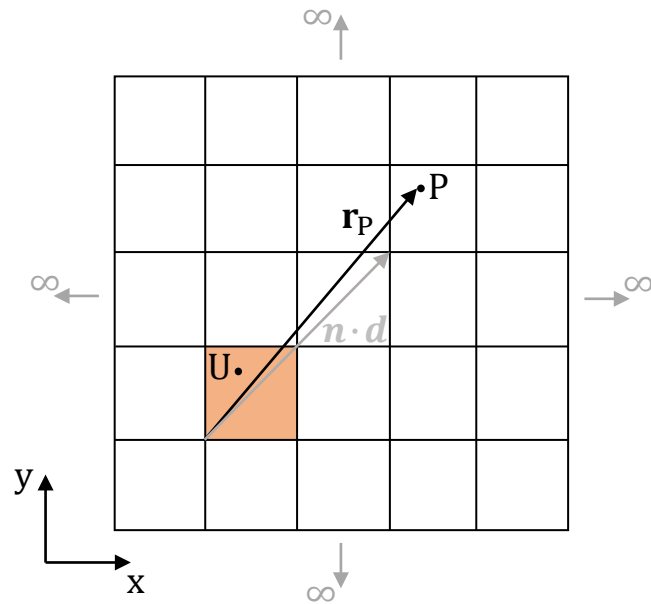
# Unit cell modeling

From unit cell model to dispersion eigenvalue problem

# In a nutshell

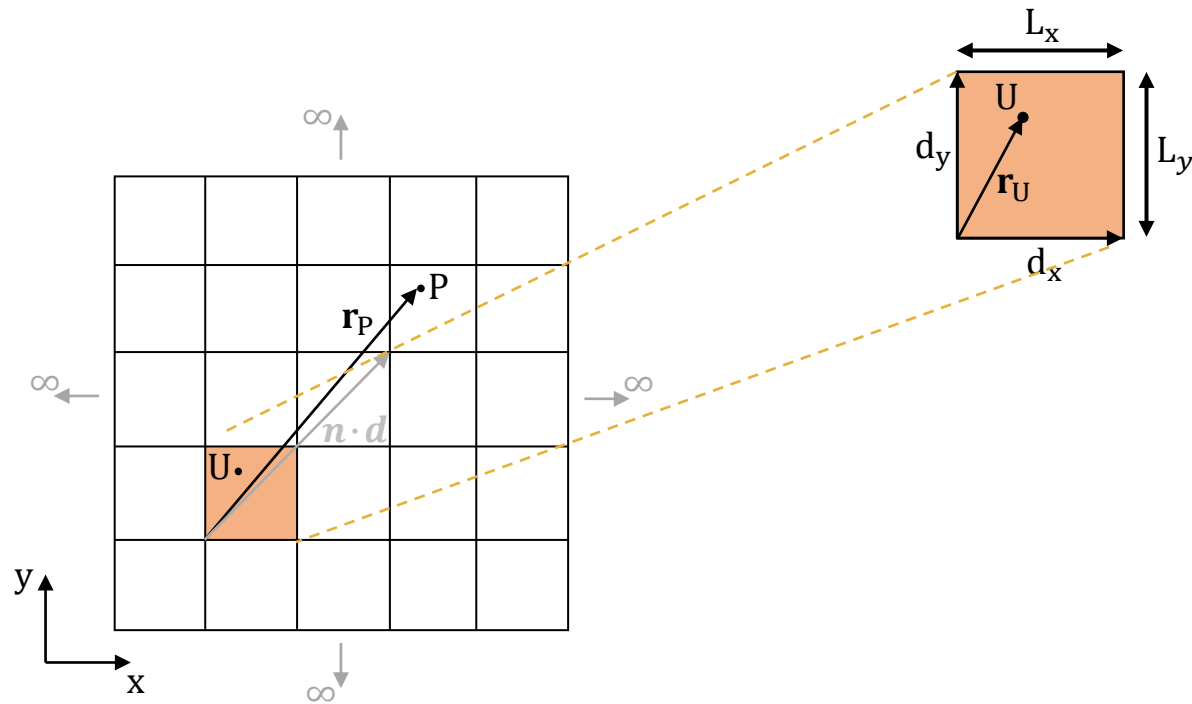


# In a nutshell



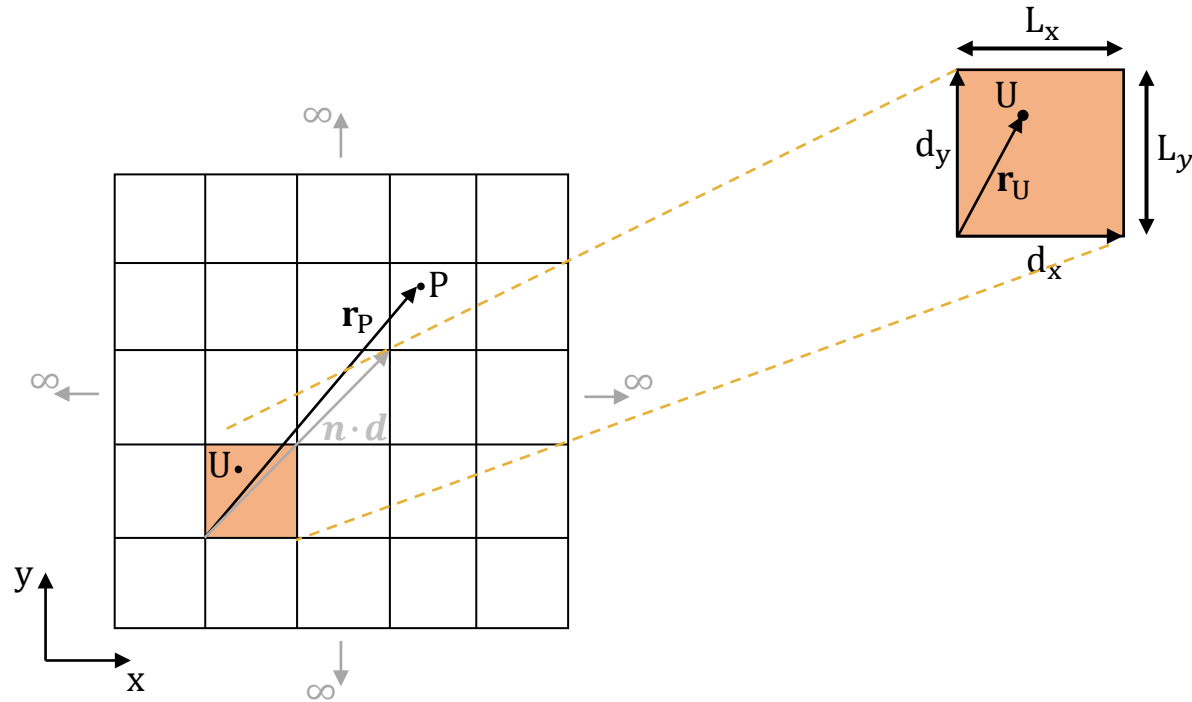


# In a nutshell



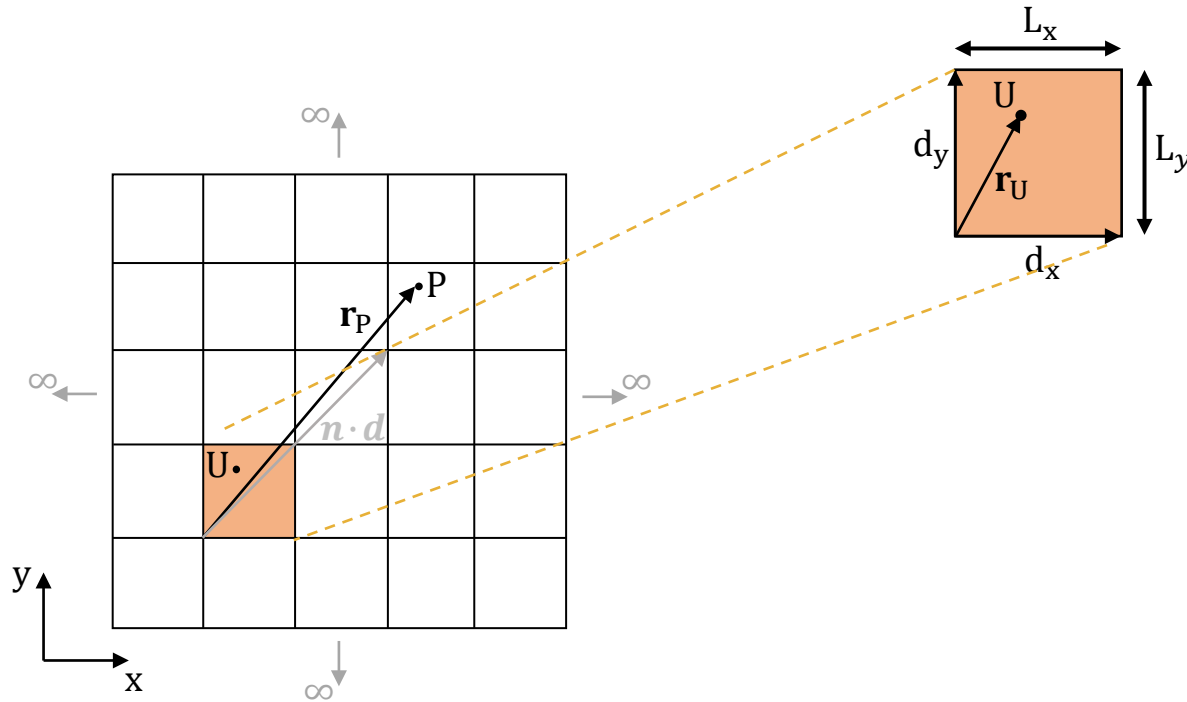
# In a nutshell

$$\mathbf{r}_P = \mathbf{r}_U + n_x \mathbf{d}_x + n_y \mathbf{d}_y$$



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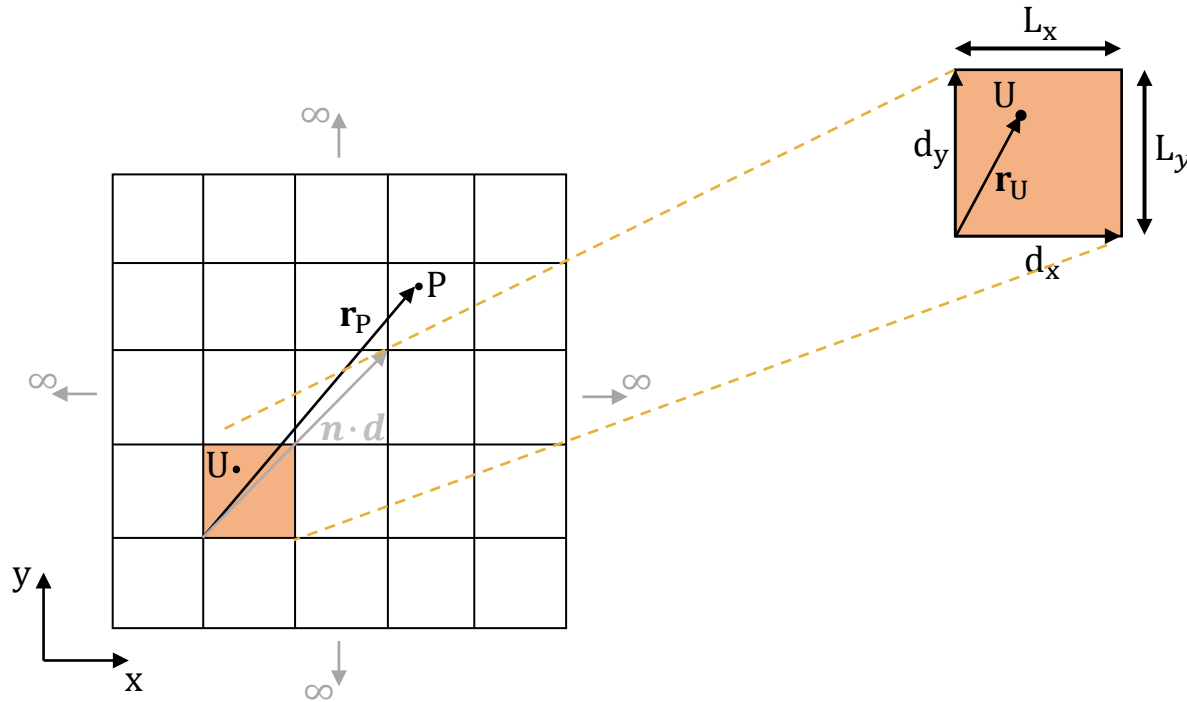


UC equations of motion (FEM)

$$(\mathbf{K} + j\omega\mathbf{C} - \omega^2\mathbf{M})\mathbf{q} = \mathbf{f}$$

# In a nutshell

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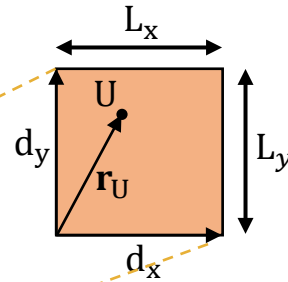
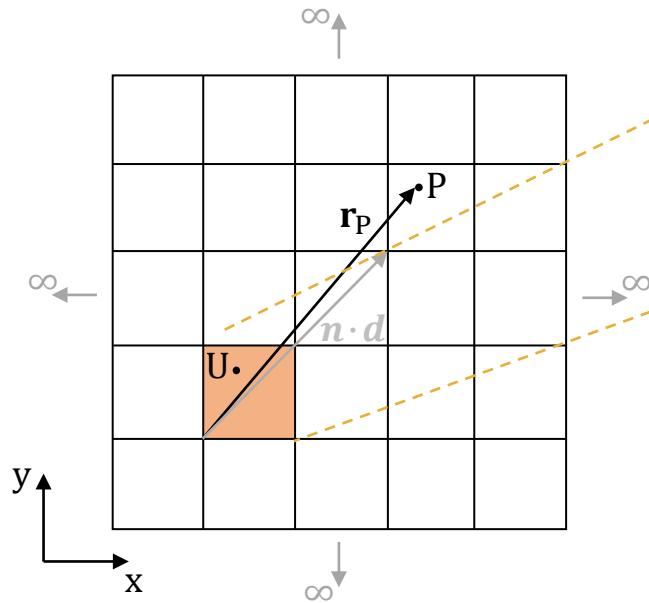
Bloch-Floquet theorem

$$\mathbf{q}(\mathbf{r}_P) = \mathbf{q}(\mathbf{r}_U) e^{j(n_x \mu_x + n_y \mu_y)}$$

$$(\mu_x, \mu_y) = (\mathbf{k} \cdot \mathbf{d}_x, \mathbf{k} \cdot \mathbf{d}_y)$$

# In a nutshell

$$\mathbf{r}_P = \mathbf{r}_U + n_x \mathbf{d}_x + n_y \mathbf{d}_y$$



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$$\mathbf{A}(\omega, \mu_x, \mu_y)\tilde{\mathbf{q}} = \mathbf{0}$$

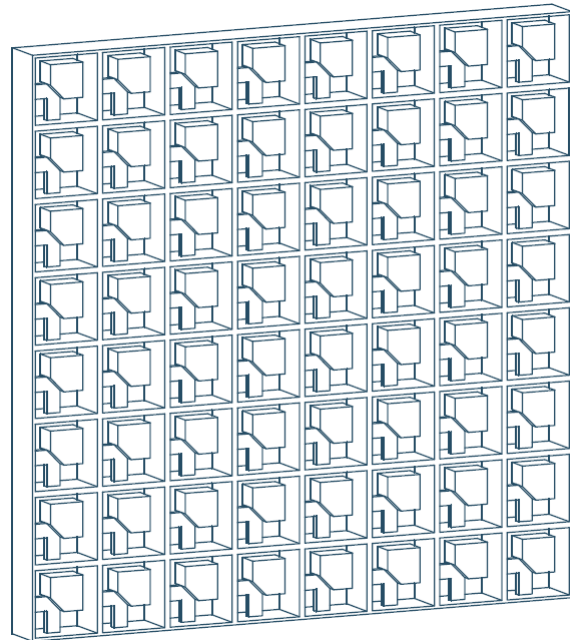
Dispersion eigenvalue problem → dispersion curves

# In more detail



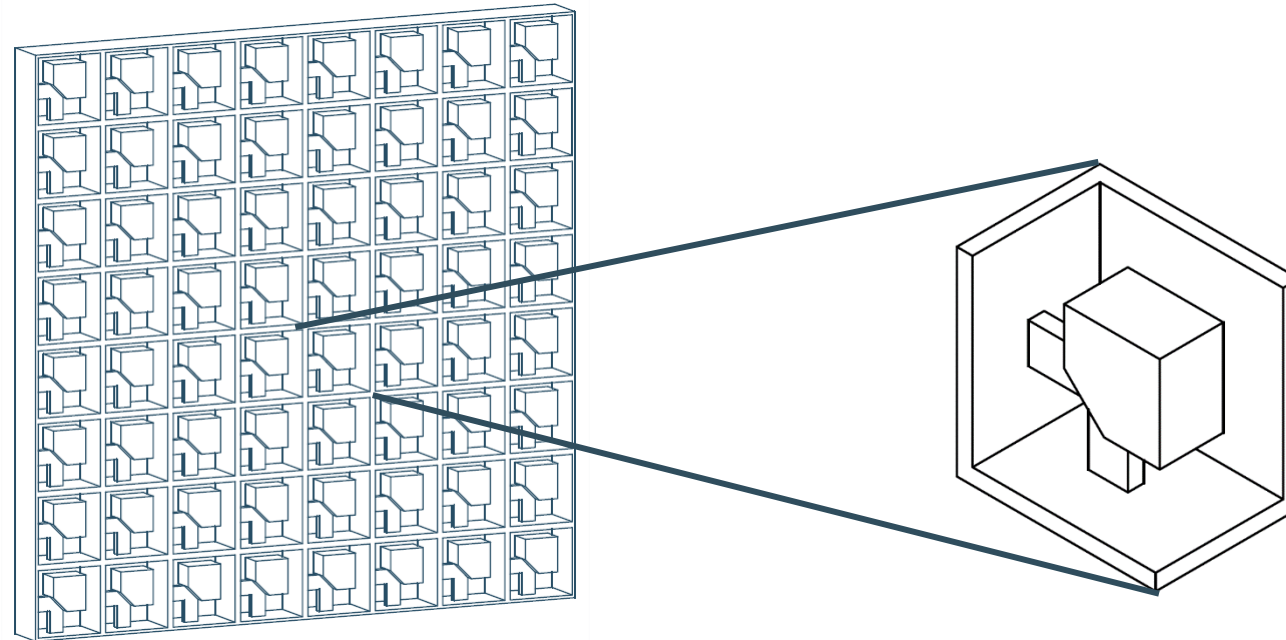
# In more detail

exploiting periodicity



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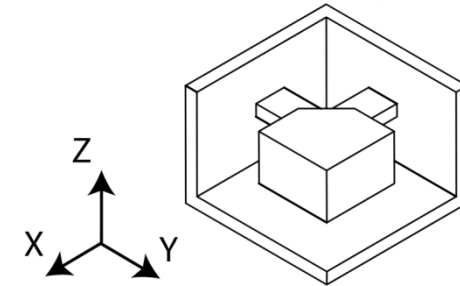
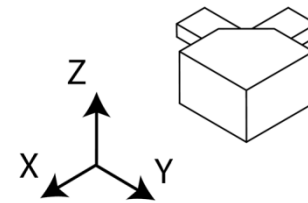
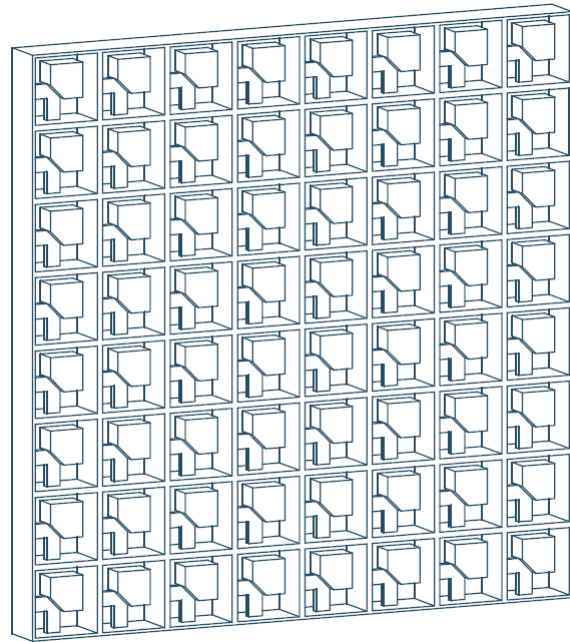
exploiting periodicity



by considering a **unit cell (UC)**

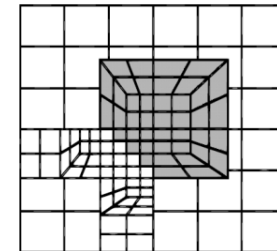
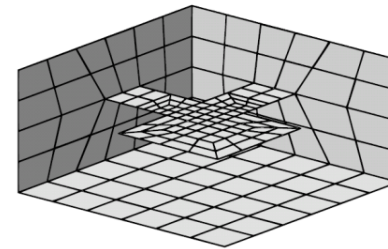
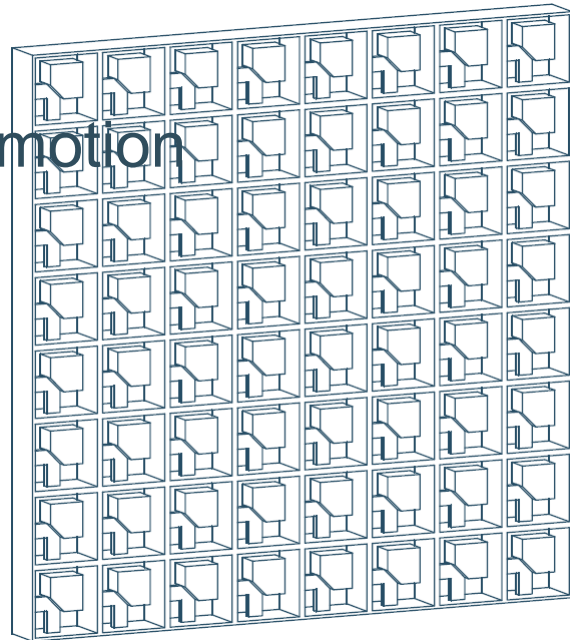


# Unit cell model



# Unit cell model

- Finite Element method
- Equations of motion

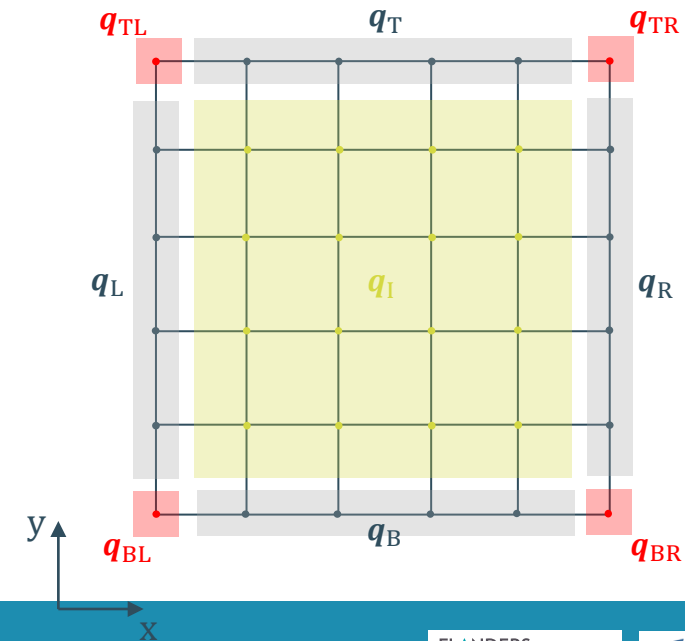
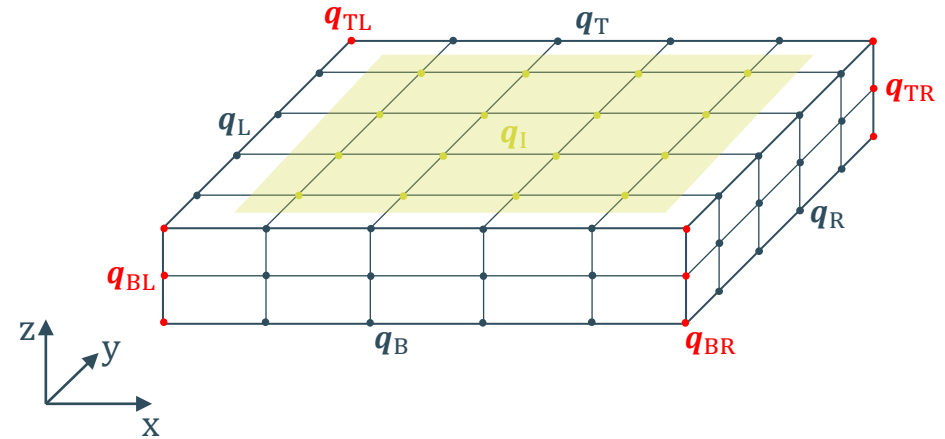


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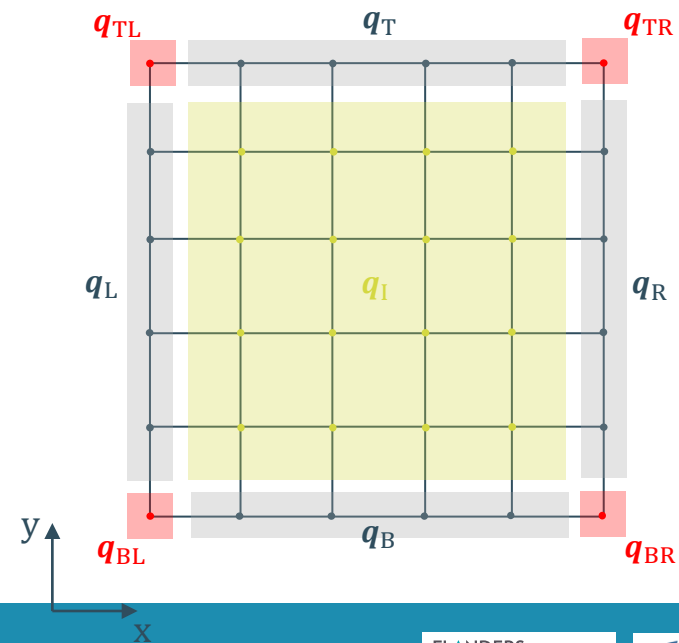
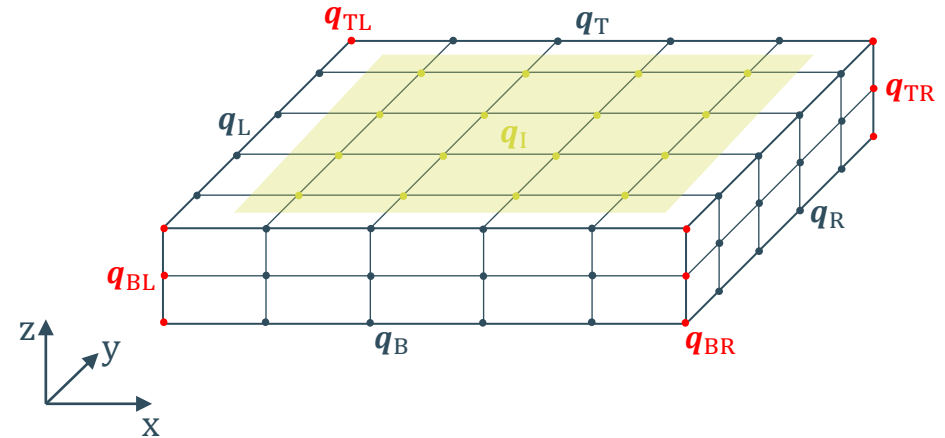


# Unit cell model

- Finite Element method
- Equations of motion

$$(\mathbf{K} + j\omega\mathbf{C} - \omega^2\mathbf{M})\mathbf{q} = \mathbf{f}$$

$$\mathbf{D}\mathbf{q} = \mathbf{f}$$



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- Finite Element method
- Equations of motion

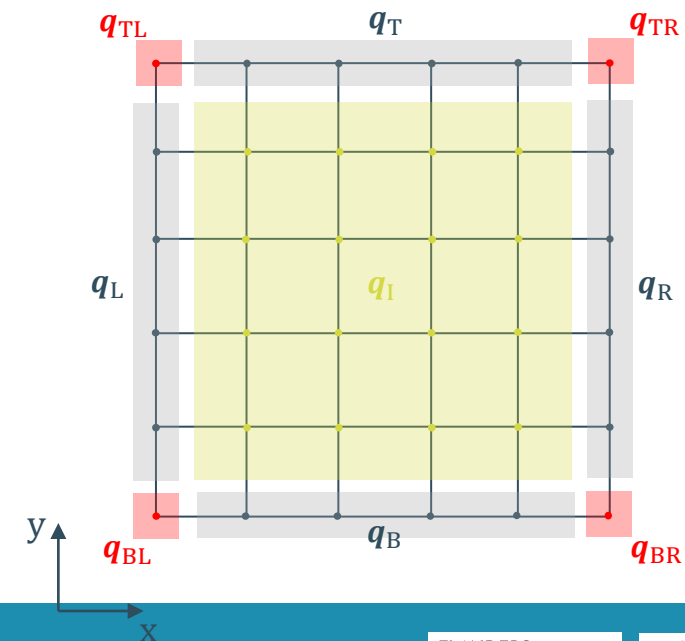
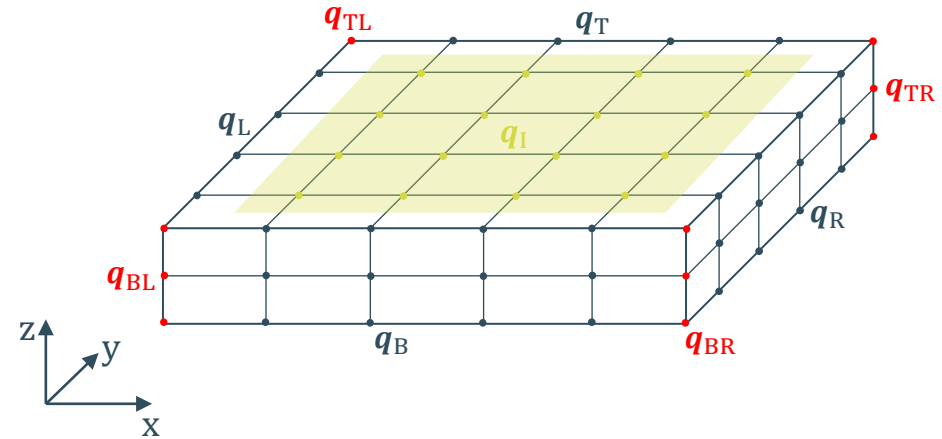
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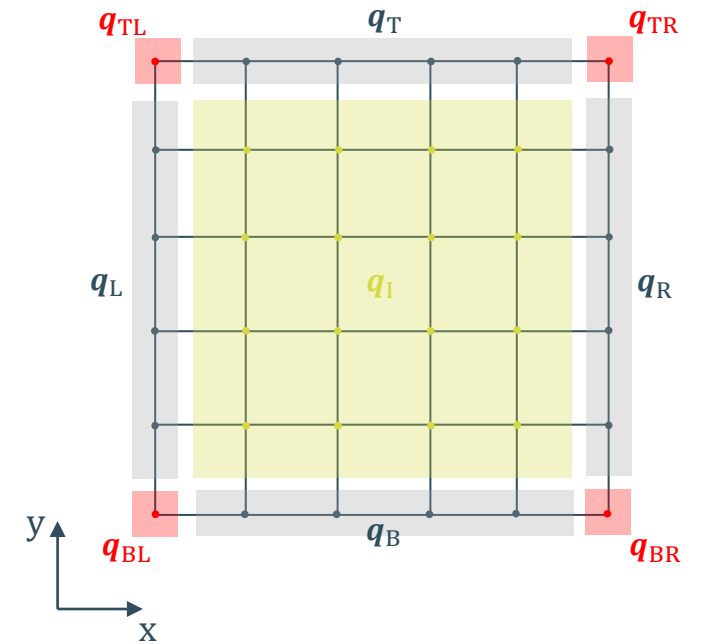
Note:

$$[\mathbf{K}^e] = \int_{\Omega^e} [\mathbf{B}^e]^T [\mathbf{E}] [\mathbf{B}^e] d\Omega$$

$$[\mathbf{M}^e] = \int_{\Omega^e} \rho [\mathbf{N}^e]^T [\mathbf{N}^e] d\Omega$$



# Displacement continuity



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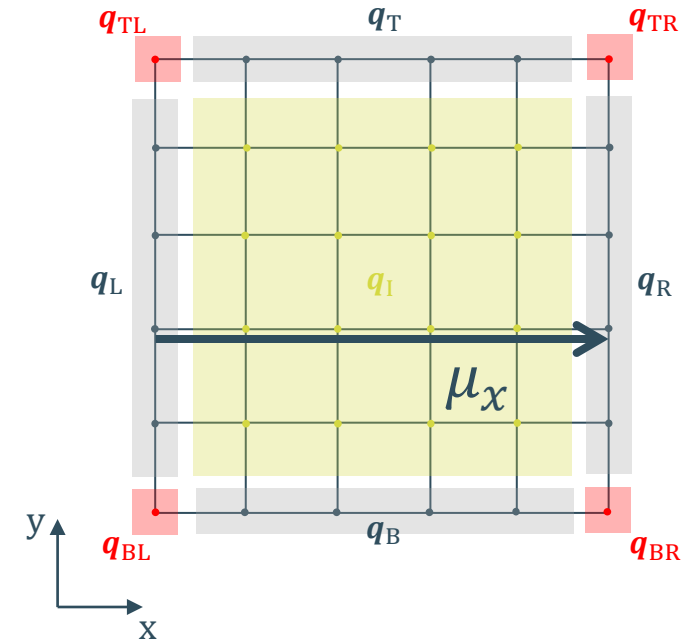
$$\mathbf{q}_R = e^{j\mu_x} \mathbf{q}_L = \lambda_x \mathbf{q}_L$$

$$\mathbf{q}_{BR} = e^{j\mu_x} \mathbf{q}_{BL} = \lambda_x \mathbf{q}_{BL}$$

$$\mathbf{q}_T = e^{j\mu_y} \mathbf{q}_B = \lambda_y \mathbf{q}_B$$

$$\mathbf{q}_{TL} = e^{j\mu_y} \mathbf{q}_{BL} = \lambda_y \mathbf{q}_{BL}$$

$$\mathbf{q}_{TR} = e^{j(\mu_x + \mu_y)} \mathbf{q}_{BL} = \lambda_x \lambda_y \mathbf{q}_{BL}$$





# Displacement continuity

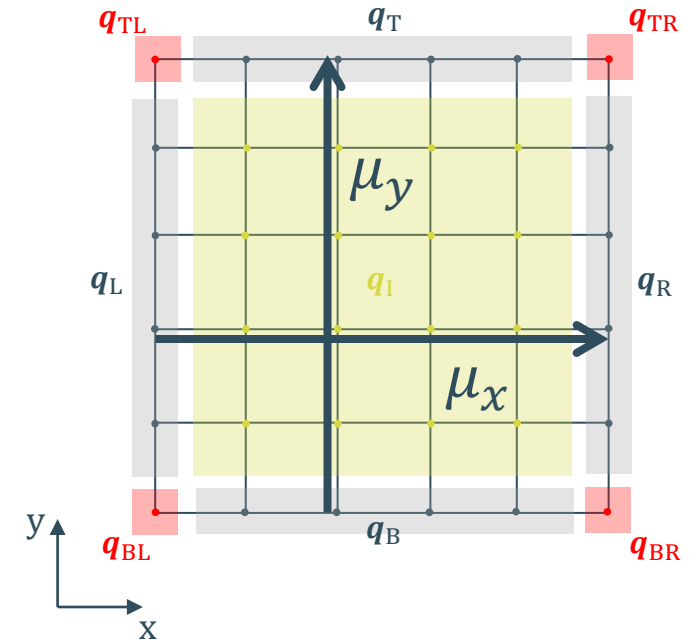
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# Displacement continuity

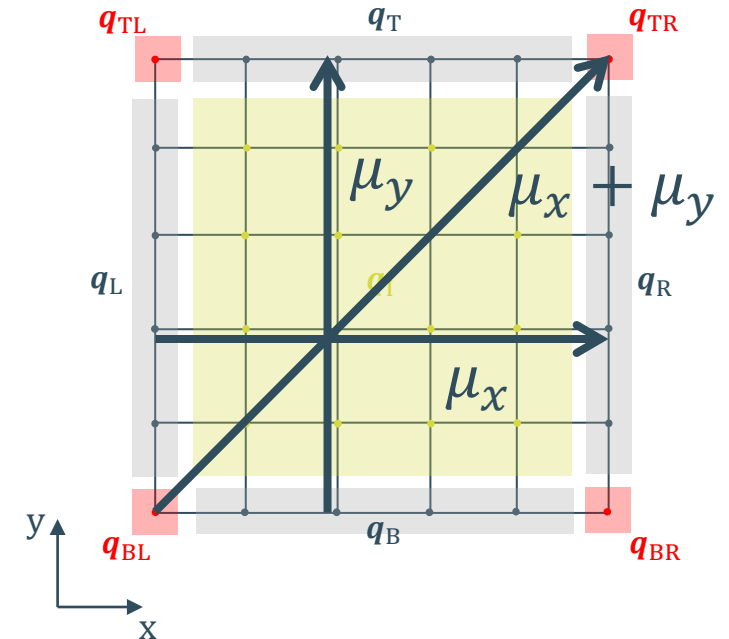
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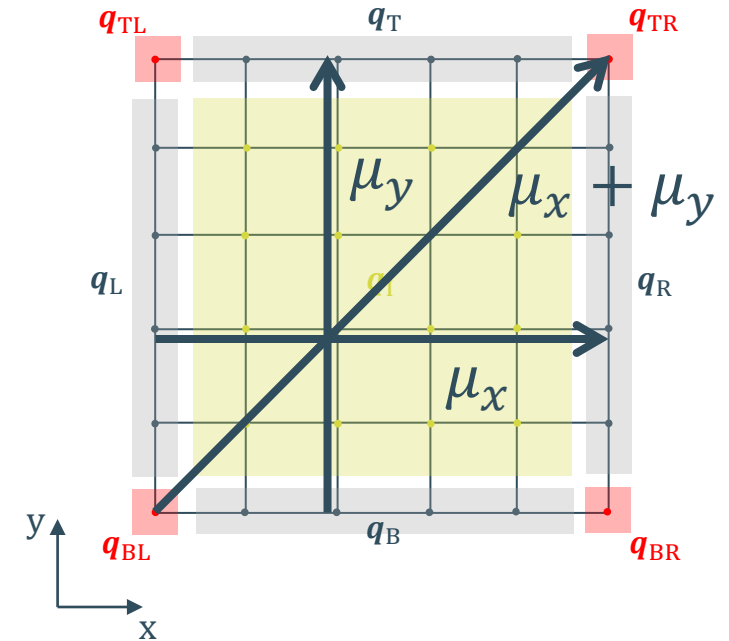
$$\mathbf{q}_{TL} = e^{j\mu_y} \mathbf{q}_{BL} = \lambda_y \mathbf{q}_{BL}$$

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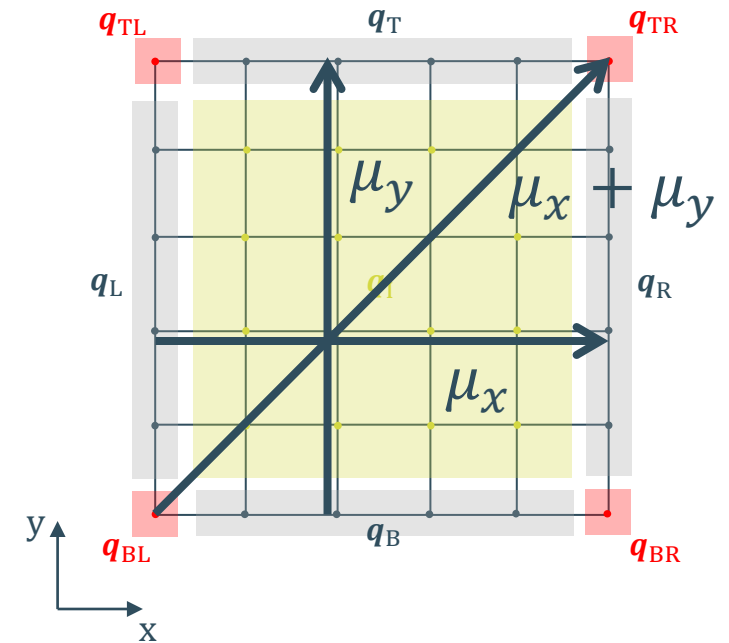
# Displacement continuity

$$\left. \begin{aligned}
 \mathbf{q}_R &= e^{j\mu_x} \mathbf{q}_L = \lambda_x \mathbf{q}_L \\
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 \mathbf{q}_T &= e^{j\mu_y} \mathbf{q}_B = \lambda_y \mathbf{q}_B \\
 \mathbf{q}_{TL} &= e^{j\mu_y} \mathbf{q}_{BL} = \lambda_y \mathbf{q}_{BL} \\
 \mathbf{q}_{TR} &= e^{j(\mu_x + \mu_y)} \mathbf{q}_{BL} = \lambda_x \lambda_y \mathbf{q}_{BL}
 \end{aligned} \right\} \mathbf{q} = R \begin{pmatrix} \mathbf{q}_{BL} \\ \mathbf{q}_L \\ \mathbf{q}_B \\ \mathbf{q}_I \end{pmatrix}$$

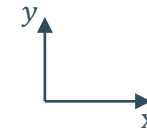
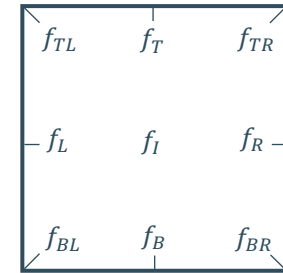


# Displacement continuity

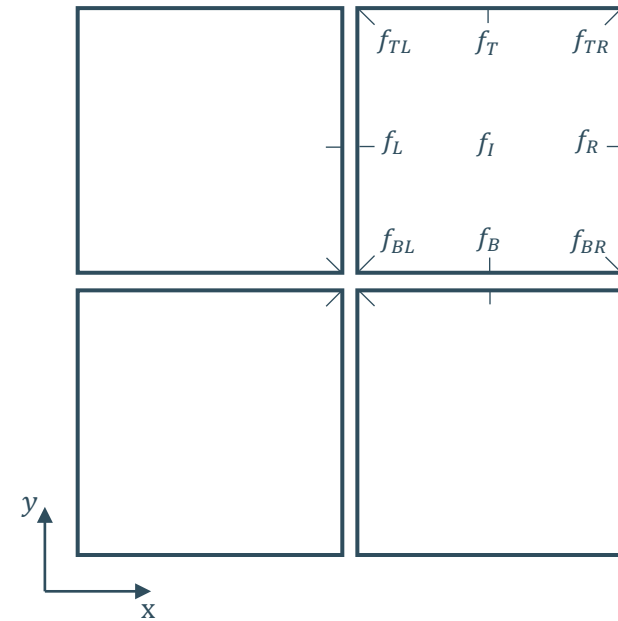
$$\mathbf{q} = \begin{bmatrix} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \lambda_x \mathbf{I} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{I} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \lambda_y \mathbf{I} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \lambda_x \mathbf{I} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \lambda_y \mathbf{I} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \lambda_x \lambda_y \mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{q}_I \\ \mathbf{q}_L \\ \mathbf{q}_B \\ \mathbf{q}_{BL} \end{bmatrix} = \mathbf{R} \tilde{\mathbf{q}}$$



# Force balance



# Force balance

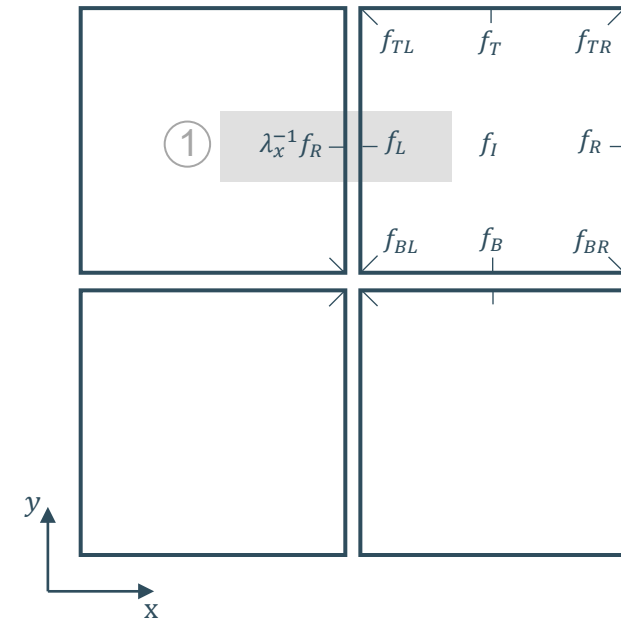


# Force balance

$$\mathbf{0} = \lambda_x^{-1} \mathbf{f}_R + \mathbf{f}_L$$

$$\mathbf{0} = \lambda_y^{-1} \mathbf{f}_T + \mathbf{f}_B$$

$$\mathbf{0} = \lambda_x^{-1} \lambda_y^{-1} \mathbf{f}_{TR} + \lambda_y^{-1} \mathbf{f}_{TL} + \lambda_x^{-1} \mathbf{f}_{BR} + \mathbf{f}_{BL}$$

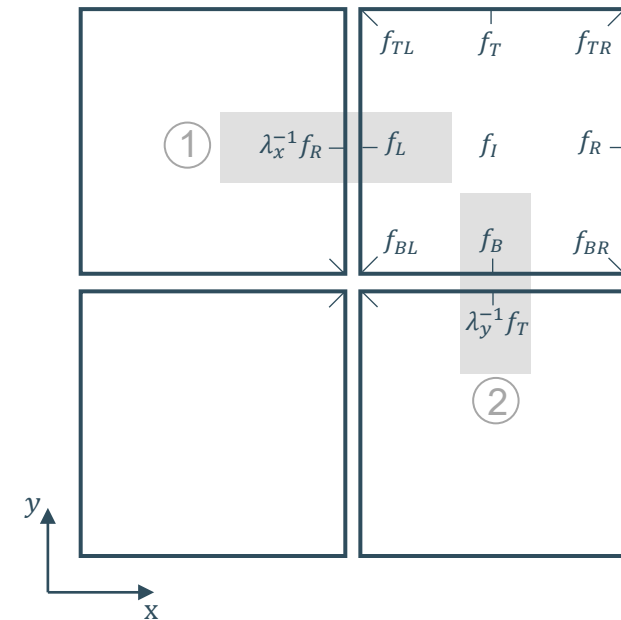


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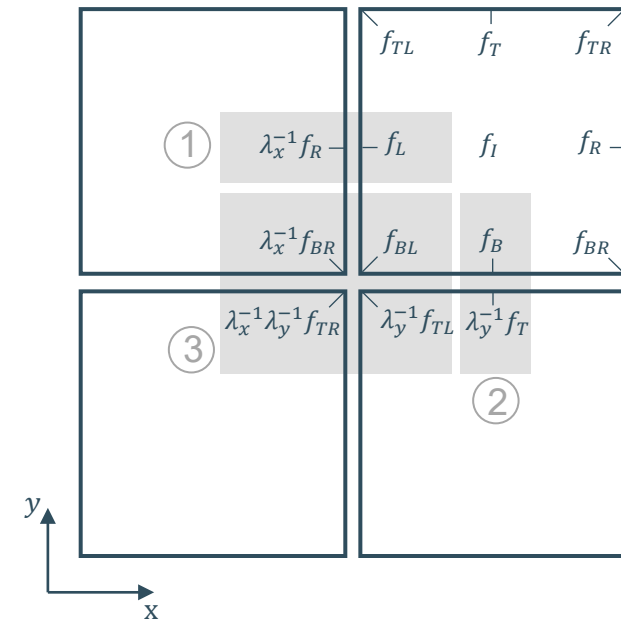


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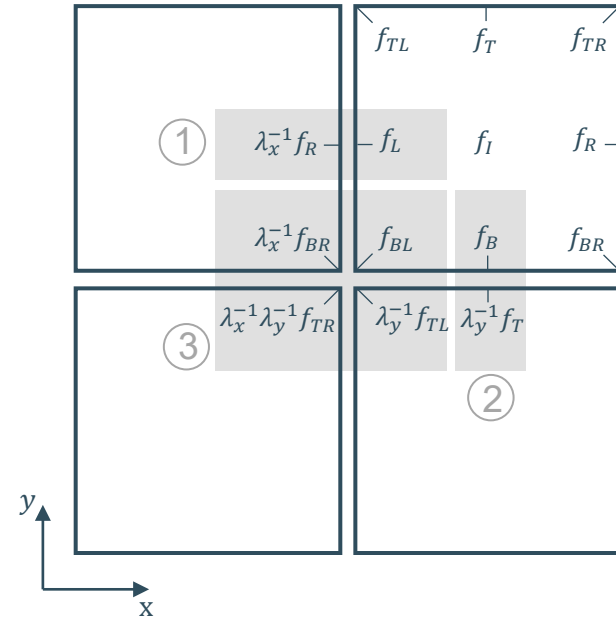
$$\mathbf{0} = \lambda_x^{-1} \lambda_y^{-1} \mathbf{f}_{TR} + \lambda_y^{-1} \mathbf{f}_{TL} + \lambda_x^{-1} \mathbf{f}_{BR} + \mathbf{f}_{BL}$$



$$\begin{bmatrix} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} & \lambda_x^{-1} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_y^{-1} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_x^{-1} \mathbf{I} & \lambda_y^{-1} \mathbf{I} & \lambda_x^{-1} \lambda_y^{-1} \mathbf{I} \end{bmatrix}$$

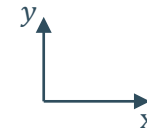
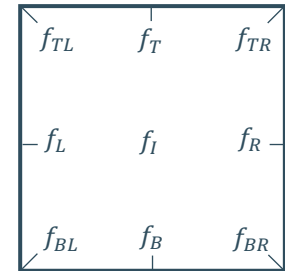
$$\begin{bmatrix} \mathbf{f}_I \\ \mathbf{f}_L \\ \mathbf{f}_R \\ \mathbf{f}_B \\ \mathbf{f}_T \\ \mathbf{f}_{BL} \\ \mathbf{f}_{BR} \\ \mathbf{f}_{TL} \\ \mathbf{f}_{TR} \end{bmatrix}$$

$$= \mathbf{R}' \mathbf{f} = \begin{bmatrix} \mathbf{f}_I \\ \mathbf{0} \end{bmatrix}$$

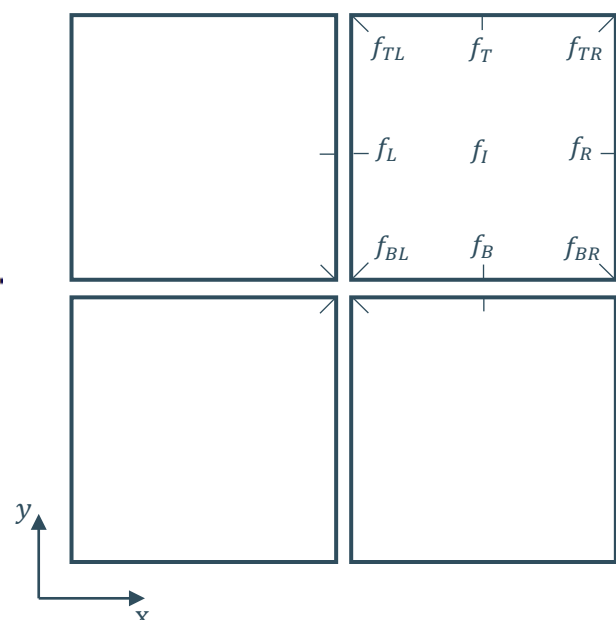


# Force balance

$$\begin{bmatrix} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} & \lambda_x^{-1}\mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_y^{-1}\mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_x^{-1}\mathbf{I} & \lambda_y^{-1}\mathbf{I} & \lambda_x^{-1}\lambda_y^{-1}\mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{f}_I \\ \mathbf{f}_L \\ \mathbf{f}_R \\ \mathbf{f}_B \\ \mathbf{f}_T \\ \mathbf{f}_{BL} \\ \mathbf{f}_{BR} \\ \mathbf{f}_{TL} \\ \mathbf{f}_{TR} \end{bmatrix} = \mathbf{R}'\mathbf{f} = \begin{bmatrix} \mathbf{f}_I \\ \mathbf{0} \end{bmatrix}.$$

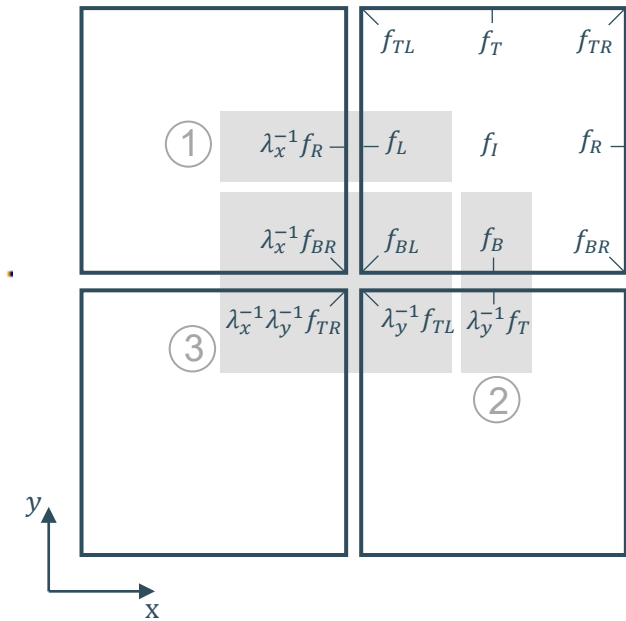


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$$\begin{bmatrix} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} & \lambda_x^{-1}\mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_y^{-1}\mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_x^{-1}\mathbf{I} & \lambda_y^{-1}\mathbf{I} & \lambda_x^{-1}\lambda_y^{-1}\mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{f}_I \\ \mathbf{f}_L \\ \mathbf{f}_R \\ \mathbf{f}_B \\ \mathbf{f}_T \\ \mathbf{f}_{BL} \\ \mathbf{f}_{BR} \\ \mathbf{f}_{TL} \\ \mathbf{f}_{TR} \end{bmatrix} = \mathbf{R}'\mathbf{f} = \begin{bmatrix} \mathbf{f}_I \\ \mathbf{0} \end{bmatrix} .$$


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$$\begin{bmatrix} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} & \lambda_x^{-1}\mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_y^{-1}\mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} & \lambda_x^{-1}\mathbf{I} & \lambda_y^{-1}\mathbf{I} & \lambda_x^{-1}\lambda_y^{-1}\mathbf{I} \end{bmatrix} \begin{bmatrix} \mathbf{f}_I \\ \mathbf{f}_L \\ \mathbf{f}_R \\ \mathbf{f}_B \\ \mathbf{f}_T \\ \mathbf{f}_{BL} \\ \mathbf{f}_{BR} \\ \mathbf{f}_{TL} \\ \mathbf{f}_{TR} \end{bmatrix} = \mathbf{R}'\mathbf{f} = \begin{bmatrix} \mathbf{f}_I \\ \mathbf{0} \end{bmatrix} .$$



# Dispersion EVP

- UC equations of motion

$$\mathbf{D}\mathbf{q} = \mathbf{f}$$

$$\rightarrow \mathbf{R}'\mathbf{D}\mathbf{R} \begin{Bmatrix} \mathbf{q}_{BL} \\ \mathbf{q}_L \\ \mathbf{q}_B \\ \mathbf{q}_I \end{Bmatrix} = \mathbf{R}'(-\omega^2\mathbf{M} + \mathbf{K})\mathbf{R} \begin{Bmatrix} \mathbf{q}_{BL} \\ \mathbf{q}_L \\ \mathbf{q}_B \\ \mathbf{q}_I \end{Bmatrix} = \mathbf{R}'\mathbf{f} = \mathbf{0}$$

$$\rightarrow \mathbf{A}(\omega, \mu_x, \mu_y) = \mathbf{0}$$

# Dispersion EVP

- UC equations of motion + Bloch-Floquet BCs

$$\mathbf{D}\mathbf{q} = \mathbf{f}$$

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- UC equations of motion + Bloch-Floquet BCs

$$\mathbf{D}\mathbf{q} = \mathbf{f}$$

$$\rightarrow \mathbf{R}'\mathbf{D}\mathbf{R} \begin{Bmatrix} \mathbf{q}_{BL} \\ \mathbf{q}_L \\ \mathbf{q}_B \\ \mathbf{q}_I \end{Bmatrix} = \mathbf{R}'(-\omega^2\mathbf{M} + \mathbf{K})\mathbf{R} \begin{Bmatrix} \mathbf{q}_{BL} \\ \mathbf{q}_L \\ \mathbf{q}_B \\ \mathbf{q}_I \end{Bmatrix} = \mathbf{R}'\mathbf{f} = \mathbf{0}$$

$$\rightarrow \mathbf{A}(\omega, \mu_x, \mu_y) = \mathbf{0}$$



# Solving the EVP

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$$\mathbf{A}(\omega, \mu_x, \mu_y) \tilde{\mathbf{q}} = \mathbf{0}$$

- Inverse approach:  $\omega(\mu)$   
Real  $\mu$ , free wave propagation
- Direct approach:  $\mu(\omega)$   
Real  $\omega$ , harmonic wave propagation
- Mixed approach:  $\mu_y(\omega, \mu_x)$ ,  $\mu(\omega, \alpha)$ ,  $\omega(\mu(\omega))$

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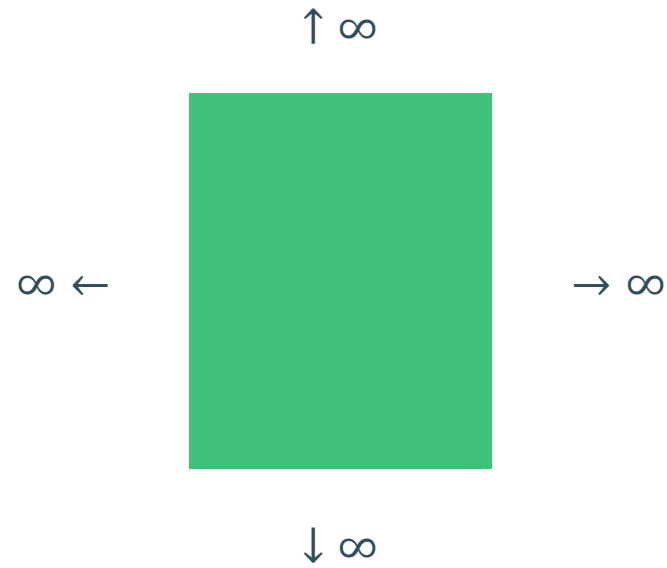
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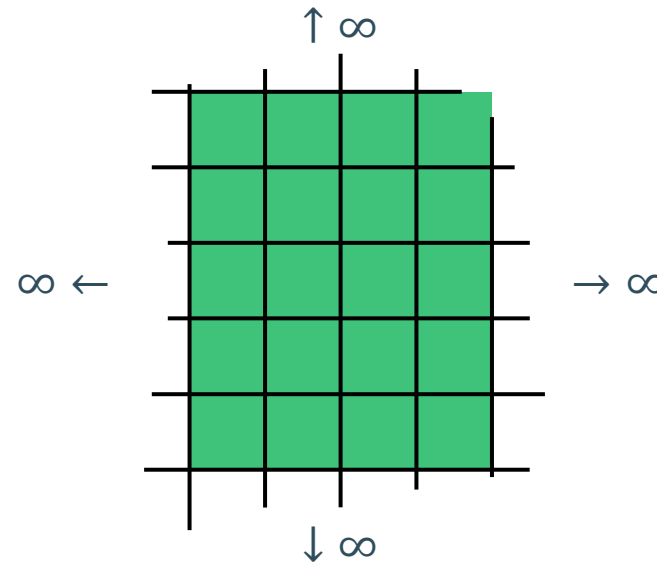
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→ Wave propagation in the  $\infty$  periodic structure

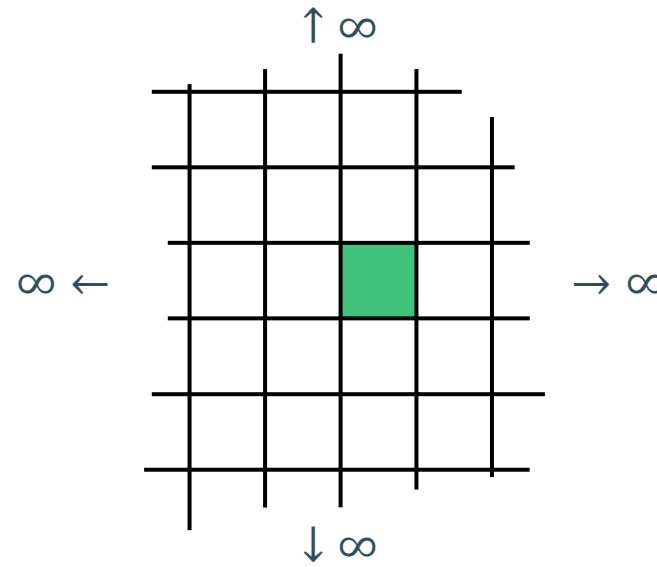
# Example: isotropic steel plate



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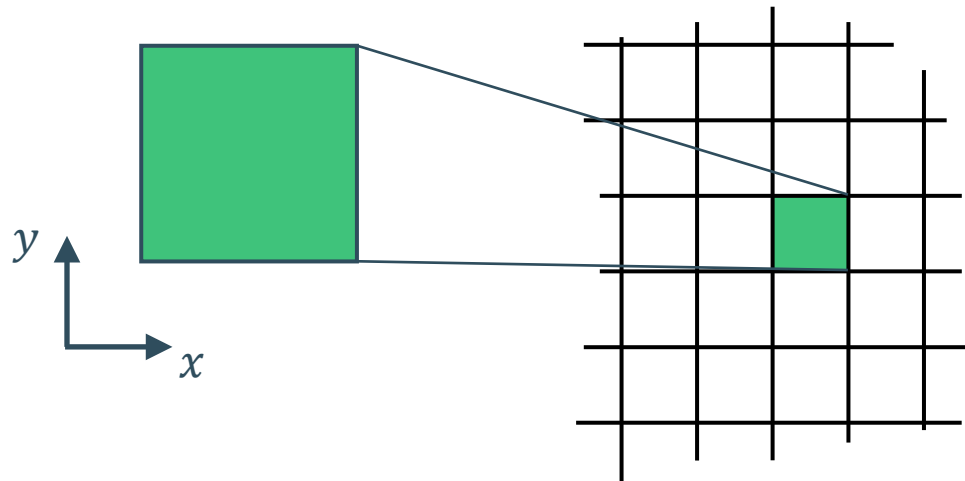


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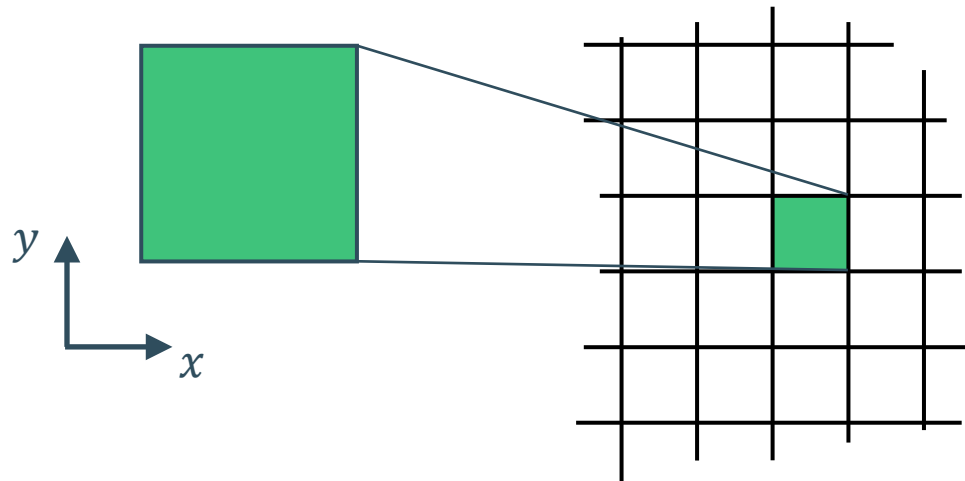




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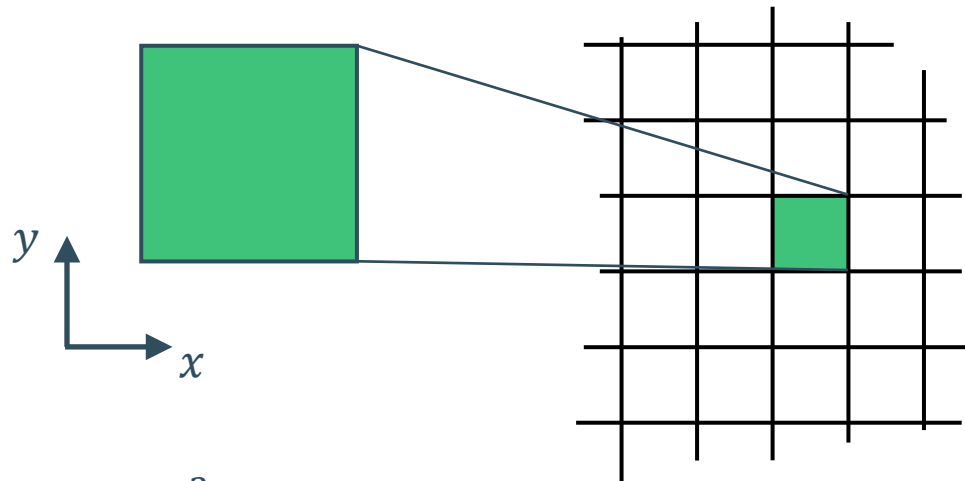
UC:

- $L_x = L_y = 0,05m$

Material properties

- $E = 210GPa$
- $\rho = 7800 \frac{kg}{m^3}$
- $\nu = 0,3$

# Example: isotropic steel plate



$$(\mathbf{K} - \omega^2 \mathbf{M})\mathbf{q} = \mathbf{f}$$

↓

$$[\tilde{\mathbf{K}} - \omega^2 \tilde{\mathbf{M}}]\tilde{\mathbf{q}} = \mathbf{0}$$

Solve EVP for real  $(\mu_x, \mu_y)$

UC:

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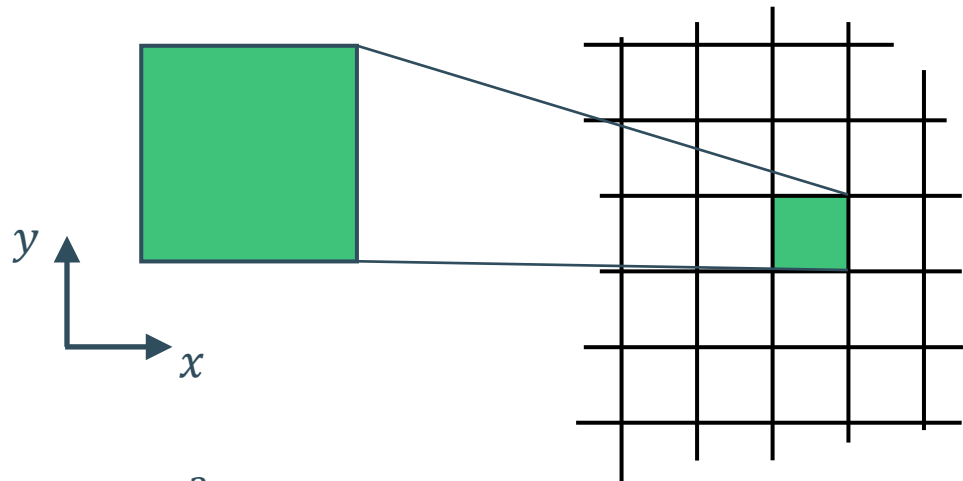
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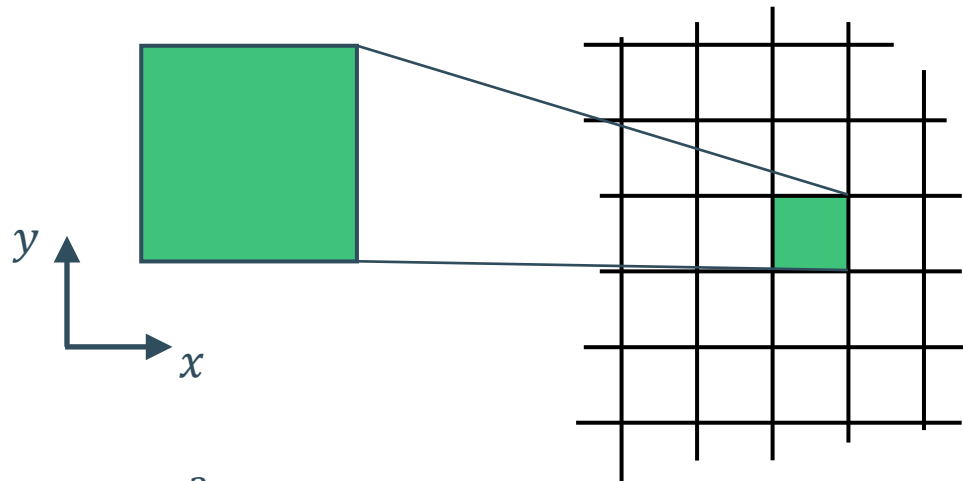
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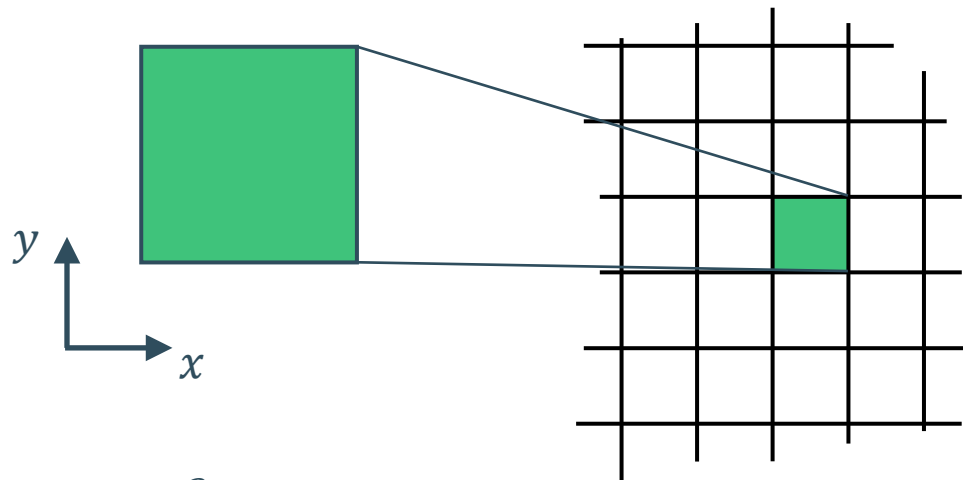
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Material properties

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- $\nu = 0,3$

Thin plate → Kirchhoff-Love theory  
Analytical expression known!  
Paraboloid in wave space:

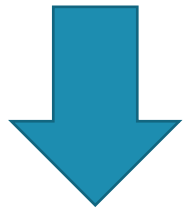
$$k^4 = \frac{12\omega^2\rho(1 - \nu^2)}{Eh^2}$$

# EVP to dispersion curves

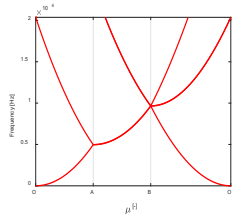
Dispersion  
eigenvalue problem

# EVP to dispersion curves

Dispersion  
eigenvalue problem

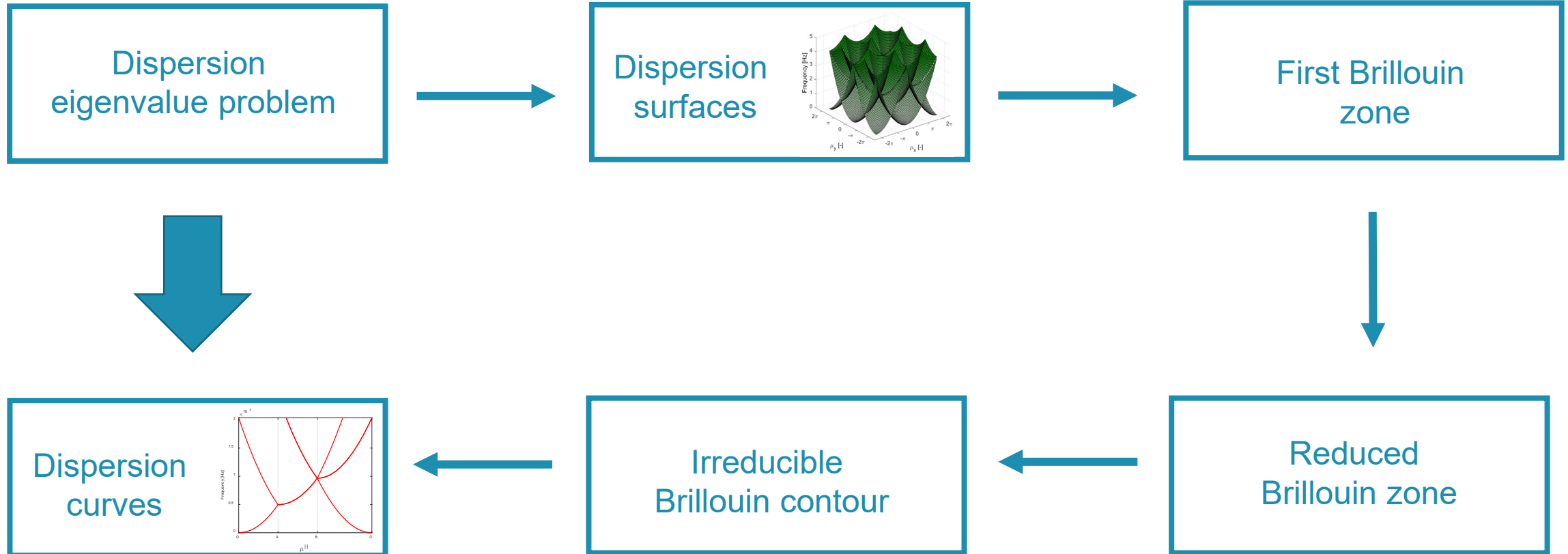


Dispersion  
curves



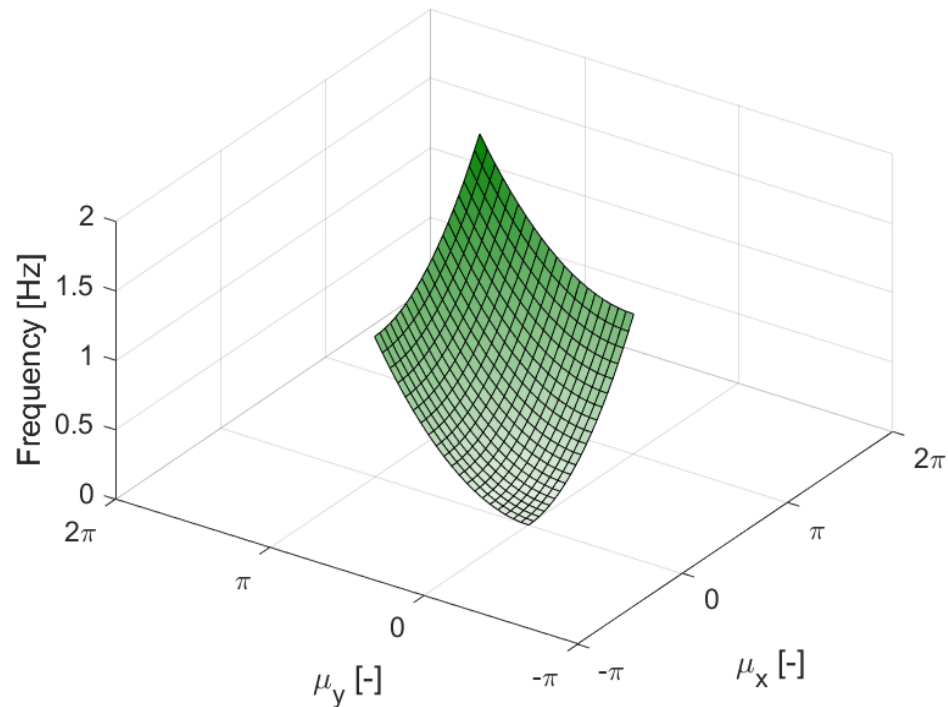


# EVP to dispersion curves



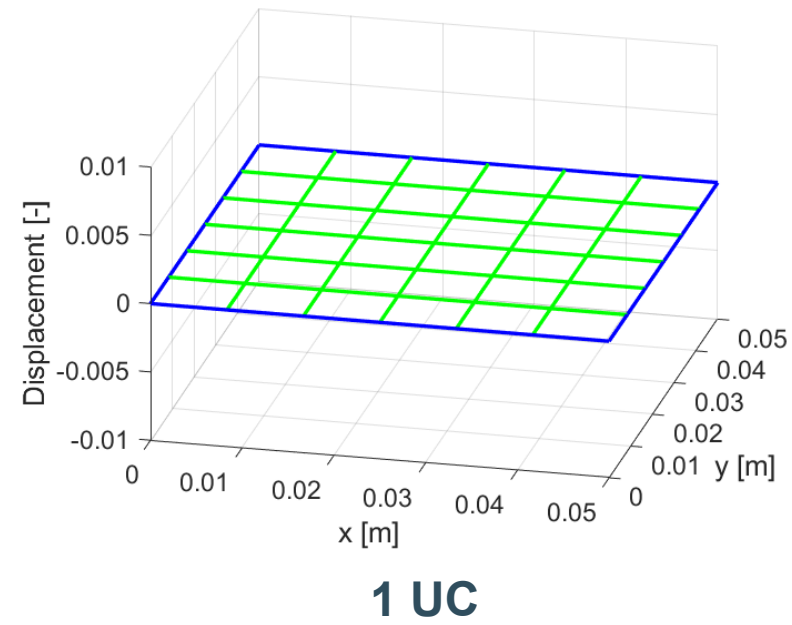
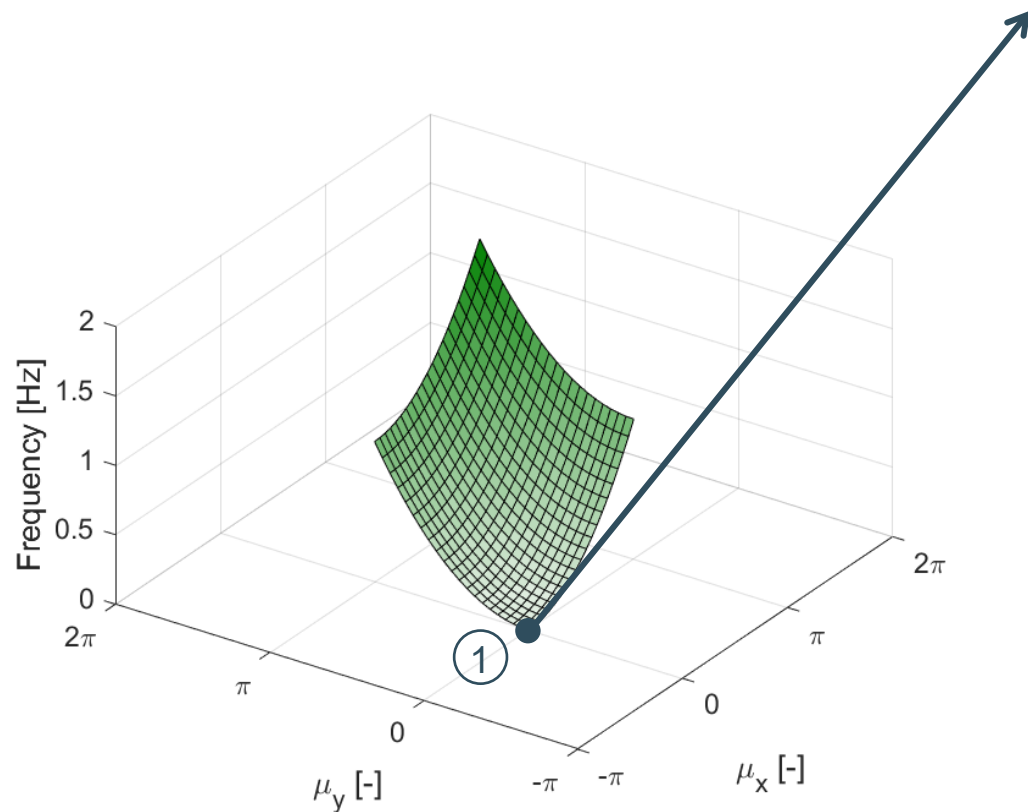
# Dispersion surfaces

- First bending dispersion surface
- Free wave propagation



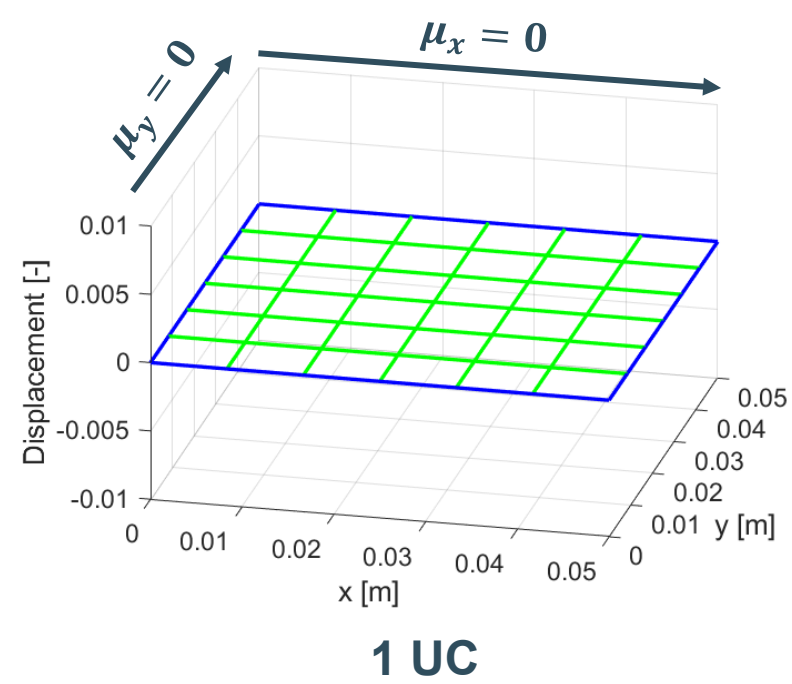
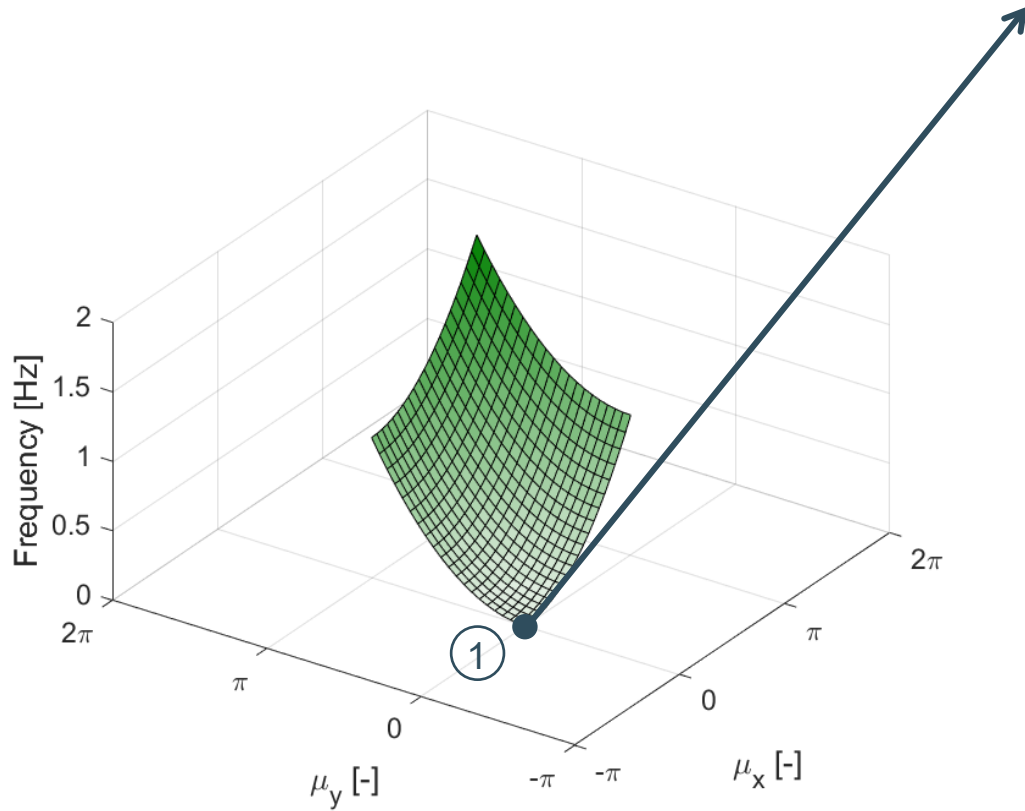
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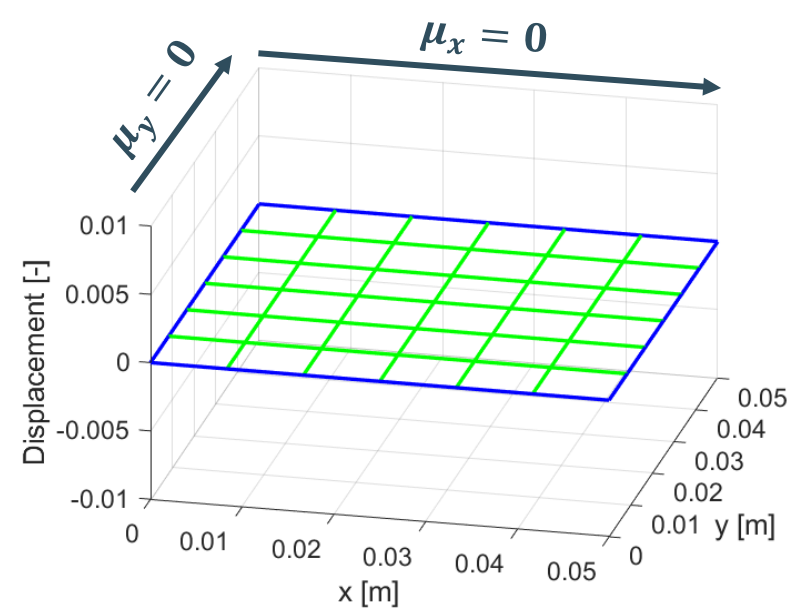
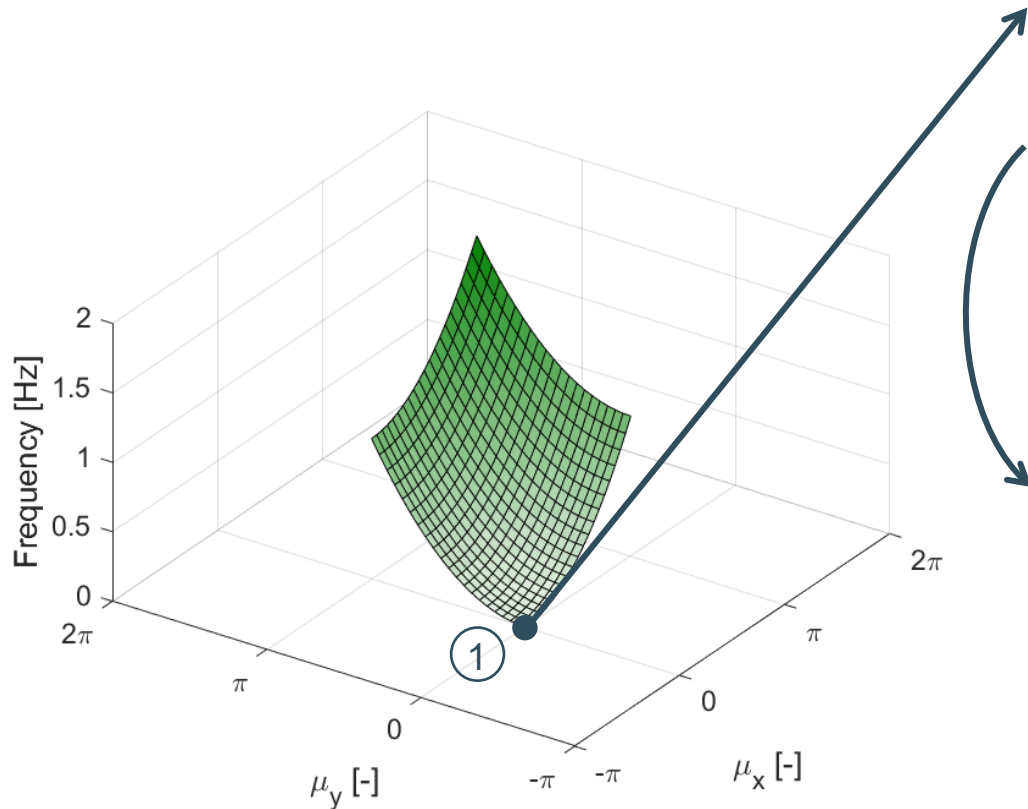
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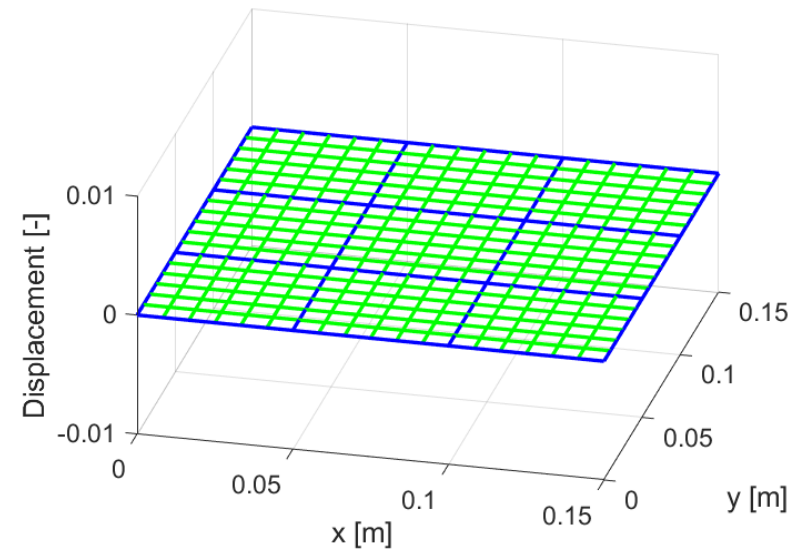


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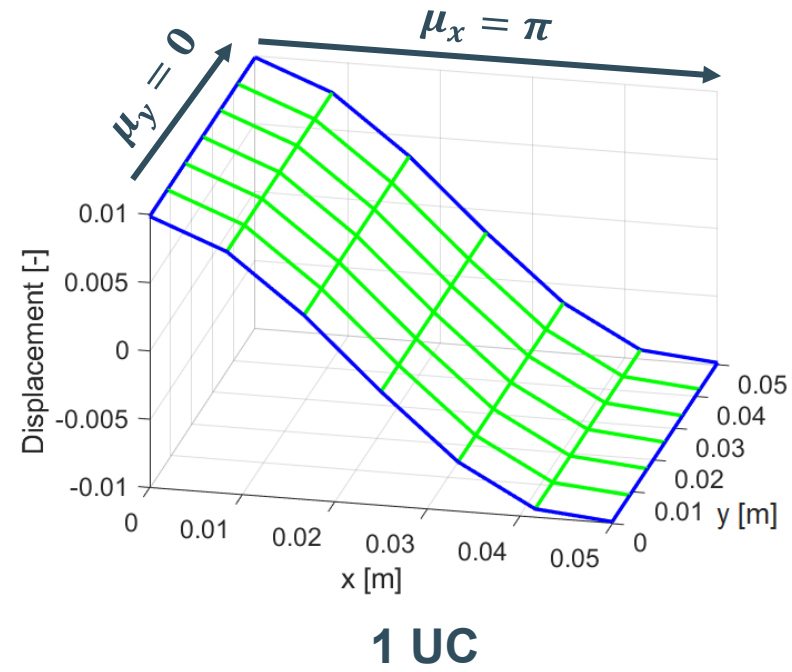
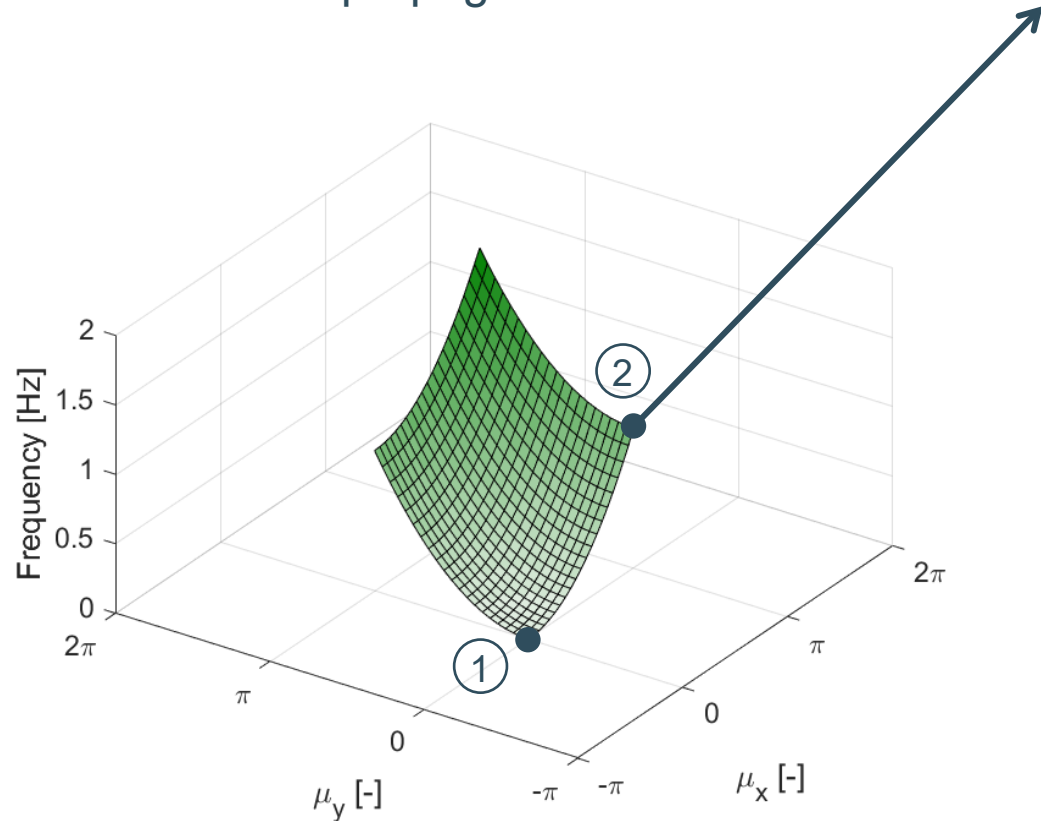
1 UC



3x3 UCs

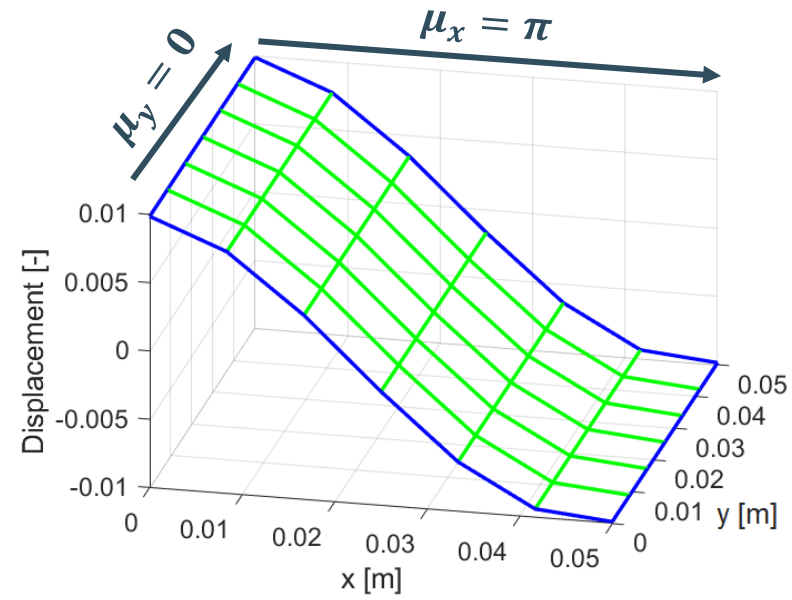
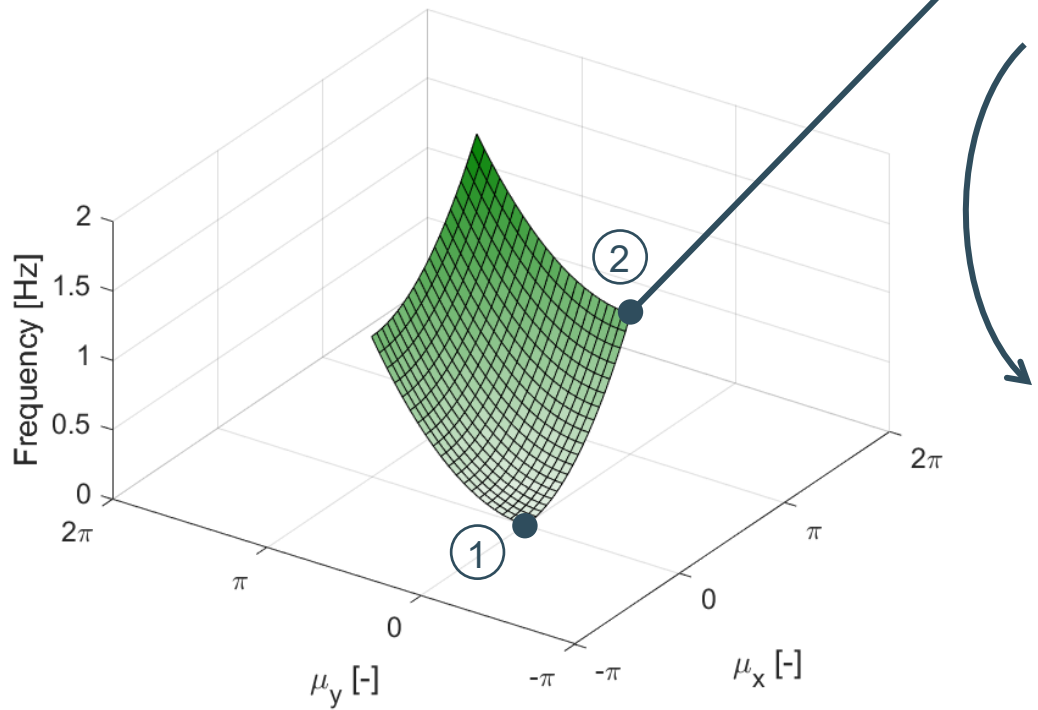
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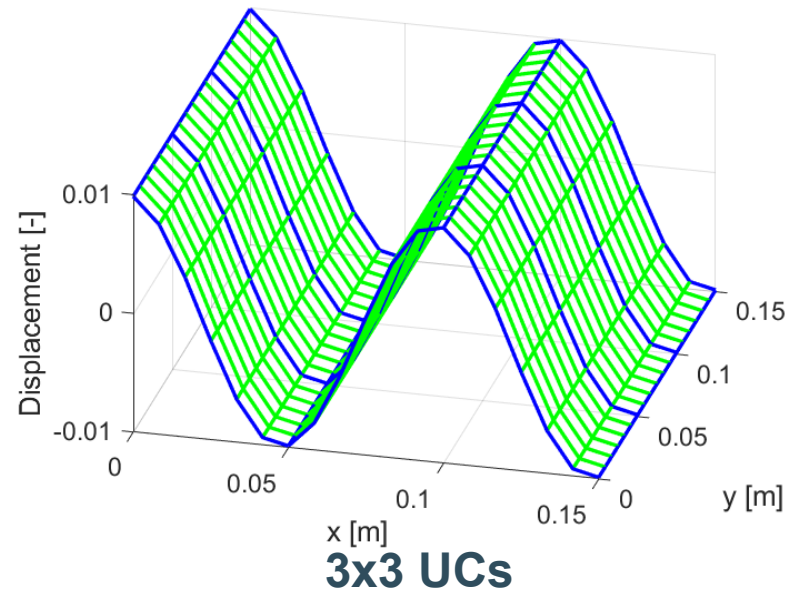


# Dispersion surfaces

- First bending dispersion surface
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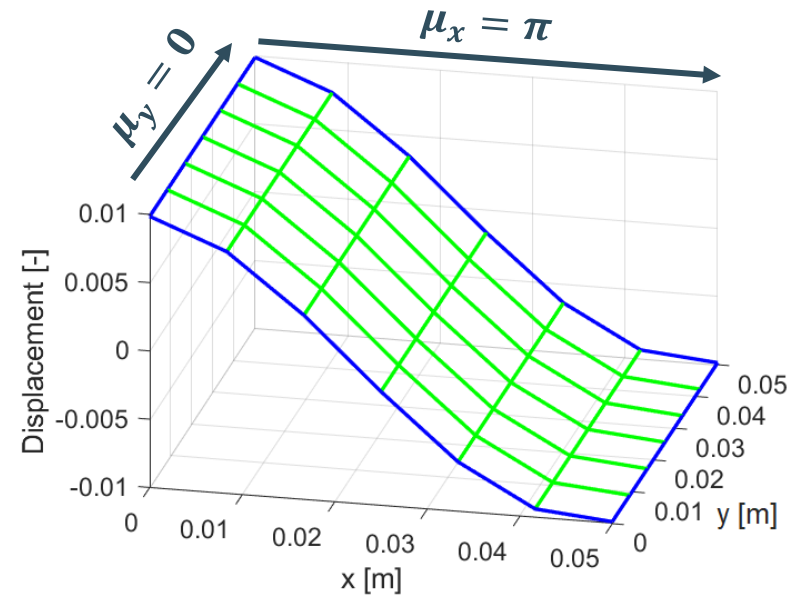
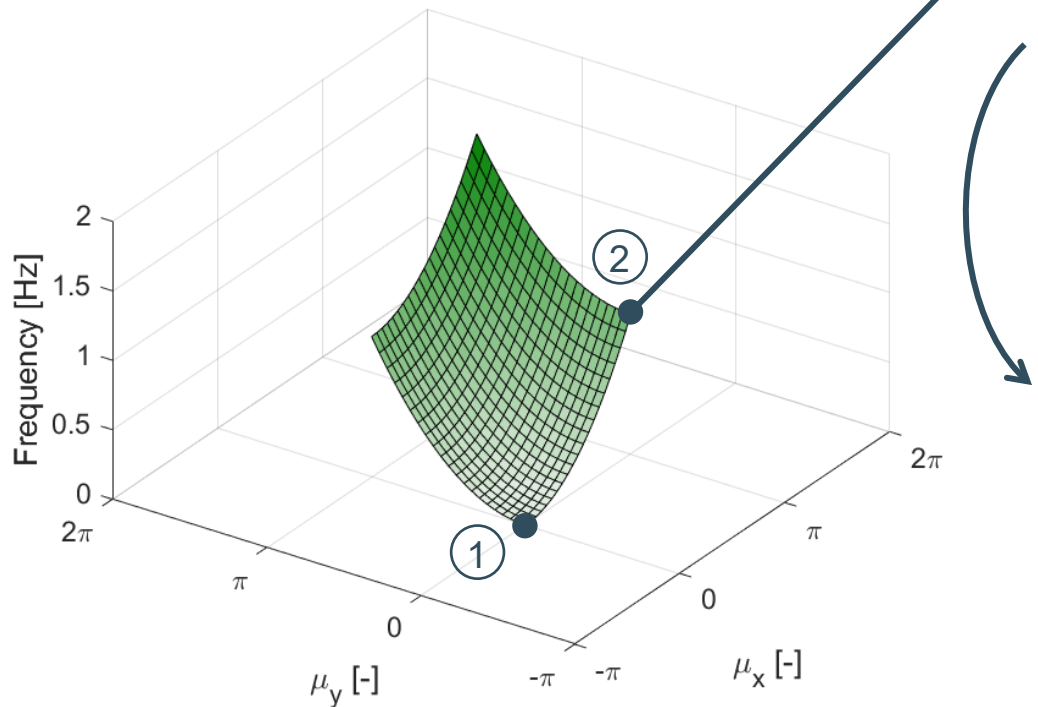
1 UC



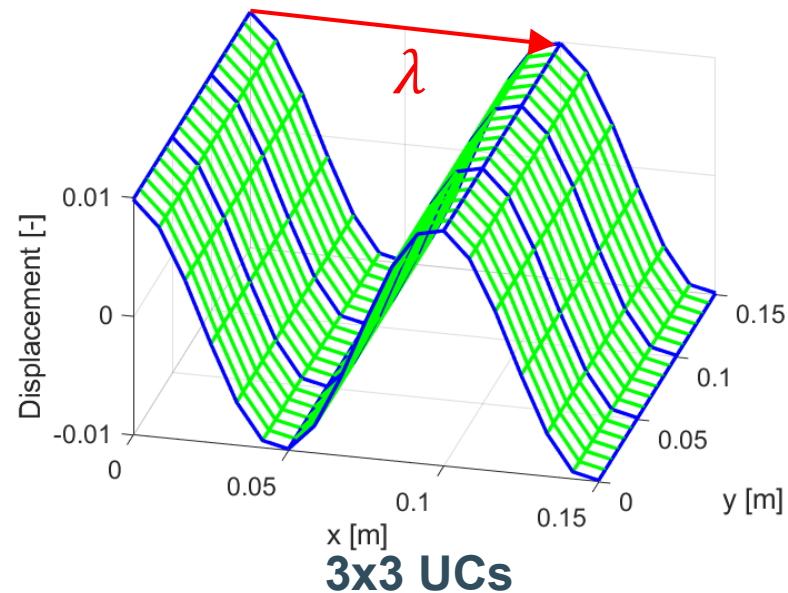
3x3 UCs

# Dispersion surfaces

- First bending dispersion surface
- Free wave propagation



1 UC



3x3 UCs

$$\mu_x = \pi \rightarrow \lambda = 2L_x$$

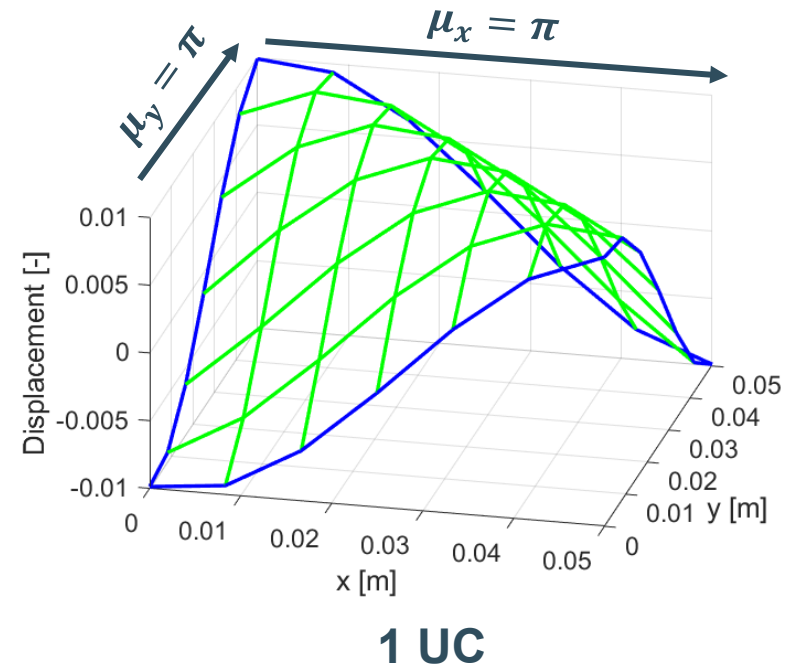
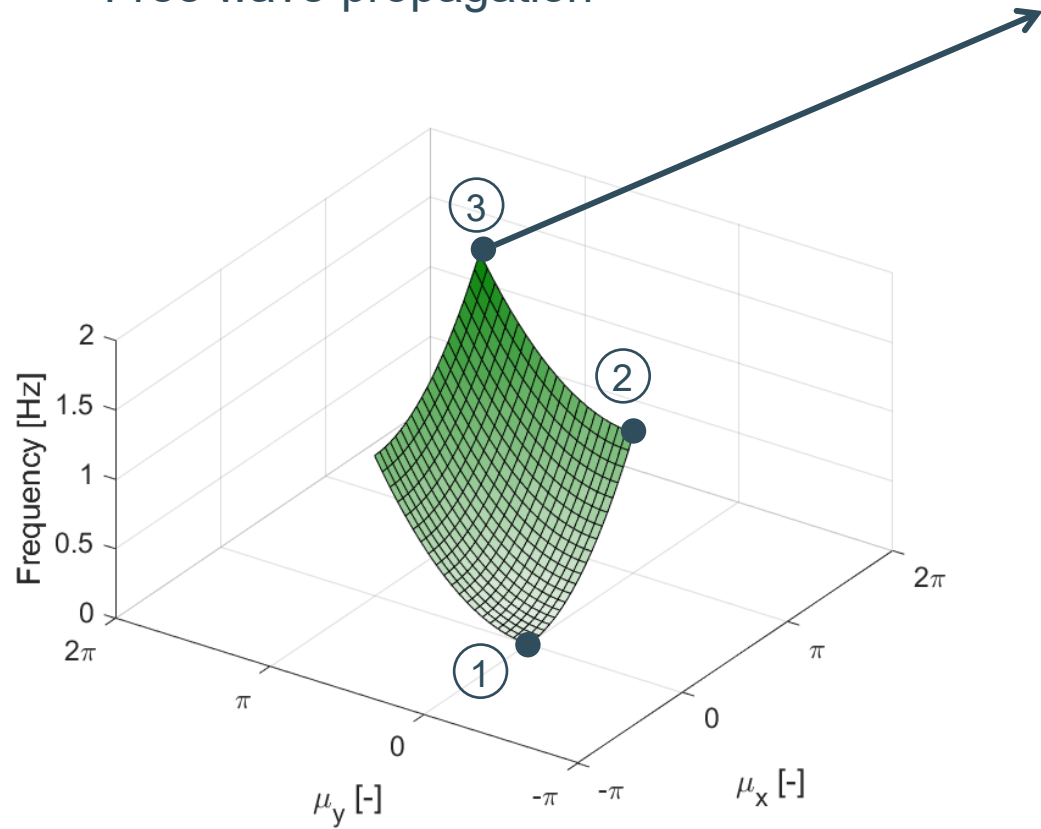
$$\omega(\pi/2, 0) = 2\pi f_{\lambda/2}$$

$$f_{\lambda/2} = \frac{\pi}{2L^2} \sqrt{\frac{t^2 E}{12(1 - \nu^2)\rho}}$$



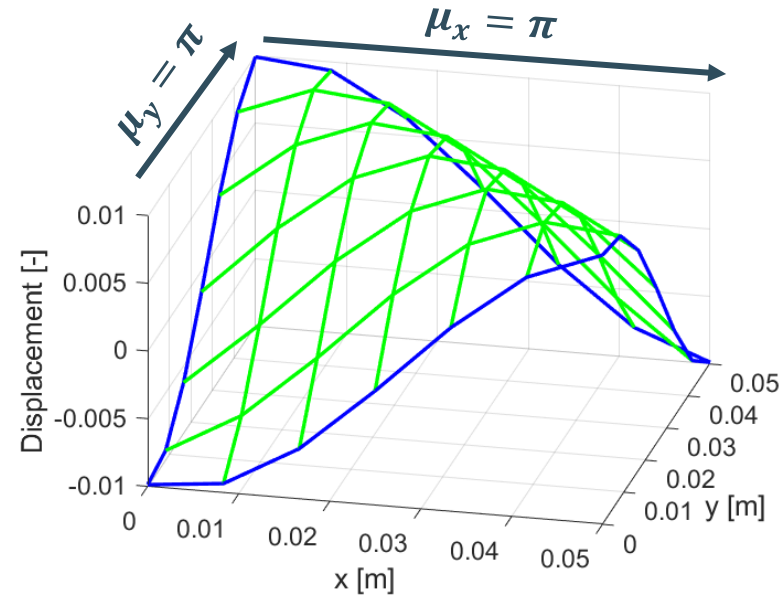
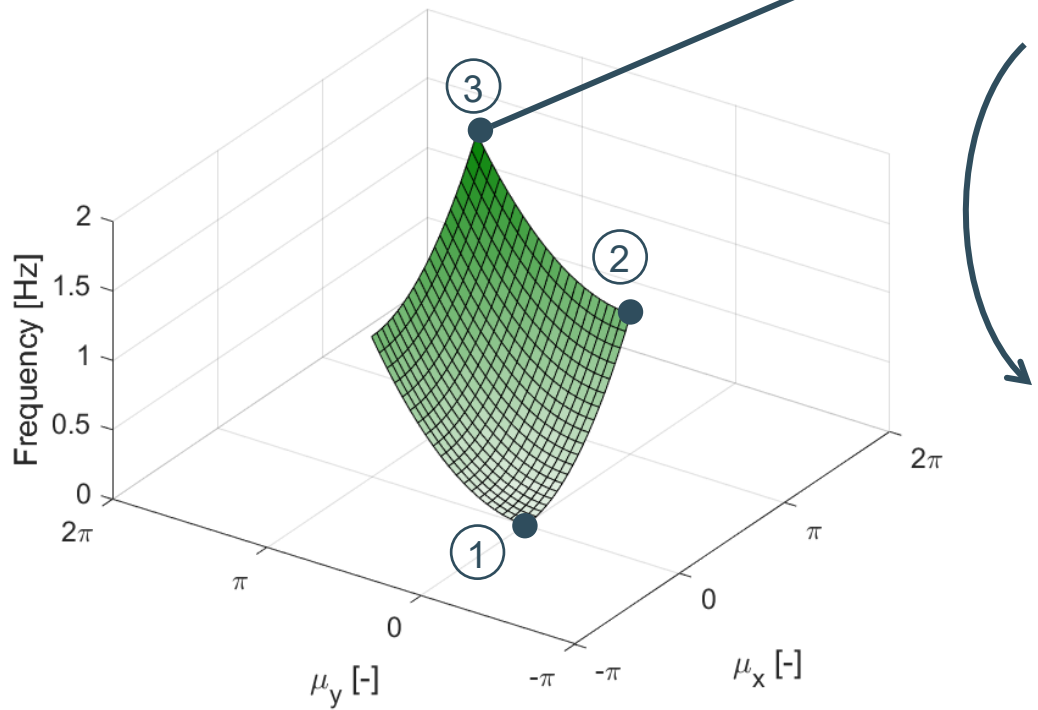
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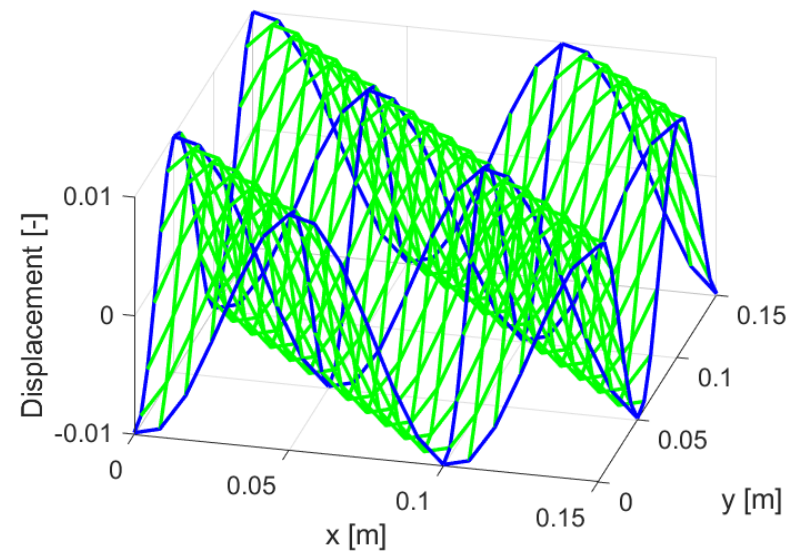


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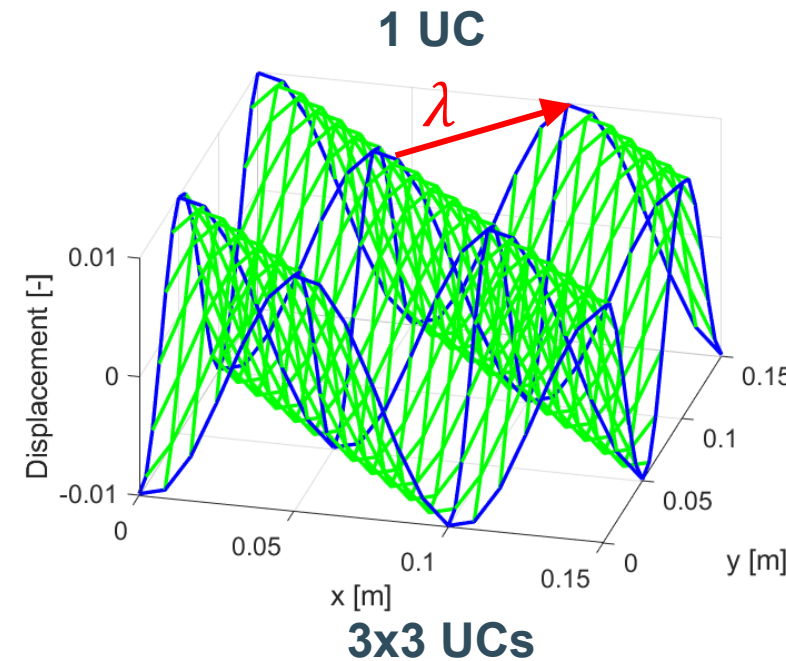
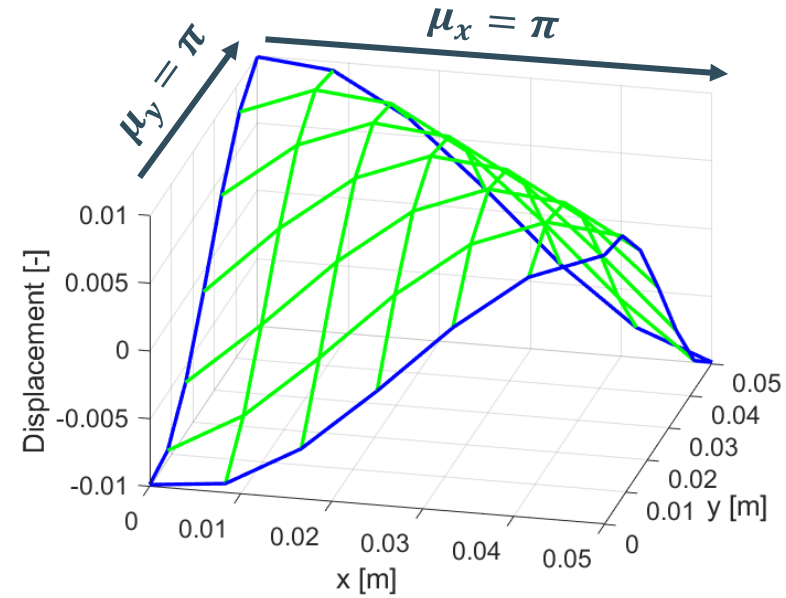
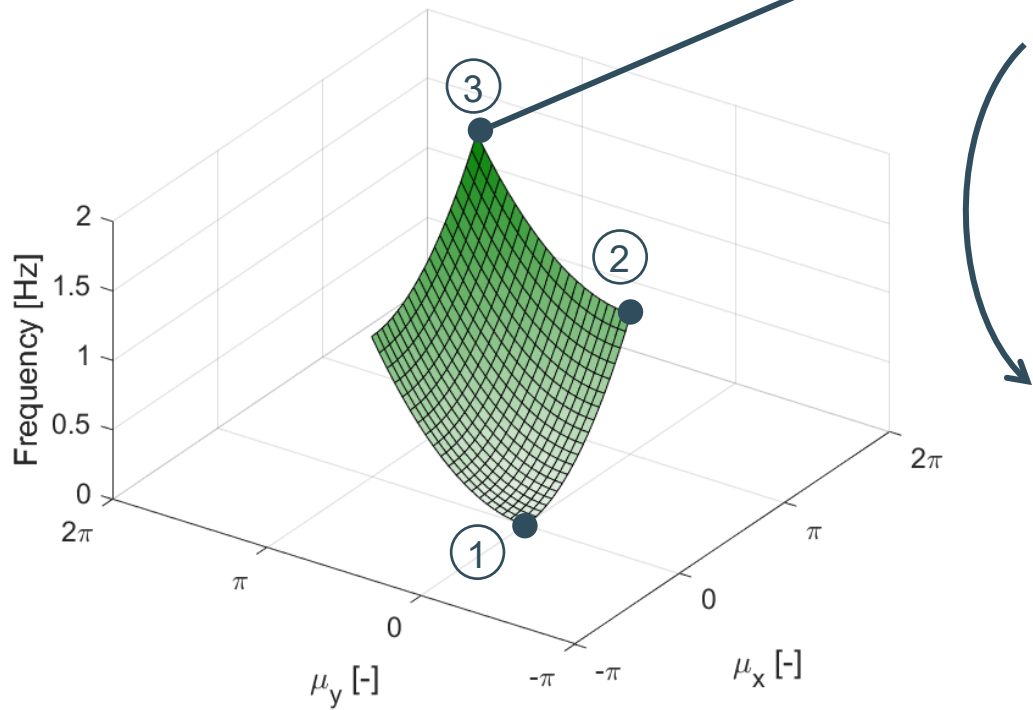
1 UC



3x3 UCs

# Dispersion surfaces

- First bending dispersion surface
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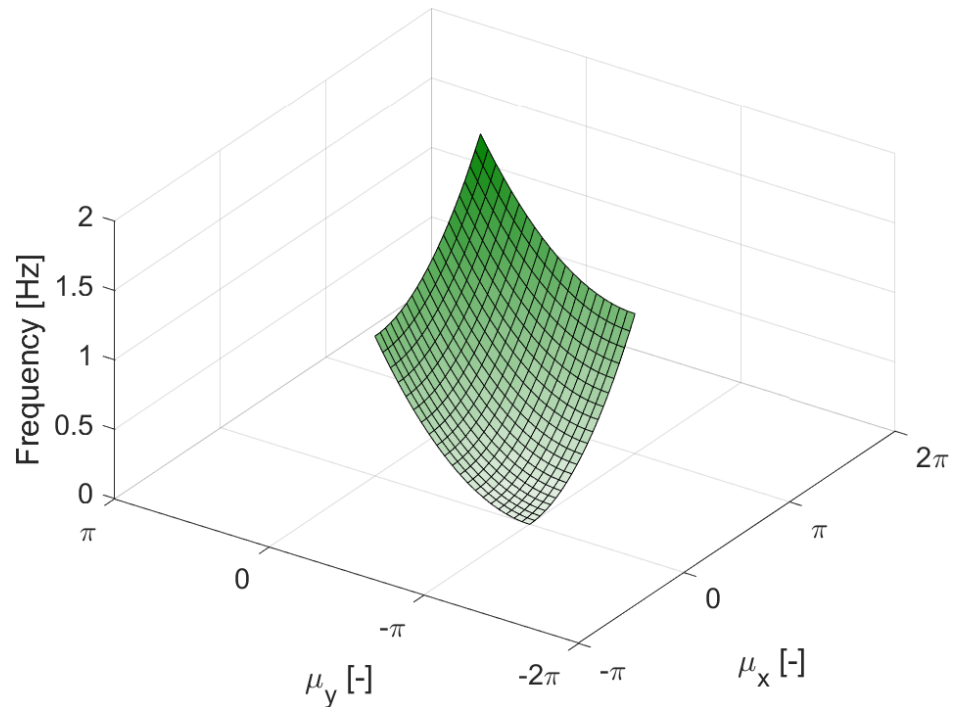


$$\mu_x + \mu_y = 2\pi \rightarrow \lambda = \sqrt{2}L_x$$

$$\omega(\pi, \pi) = 2\pi 2f_{\lambda/2}$$

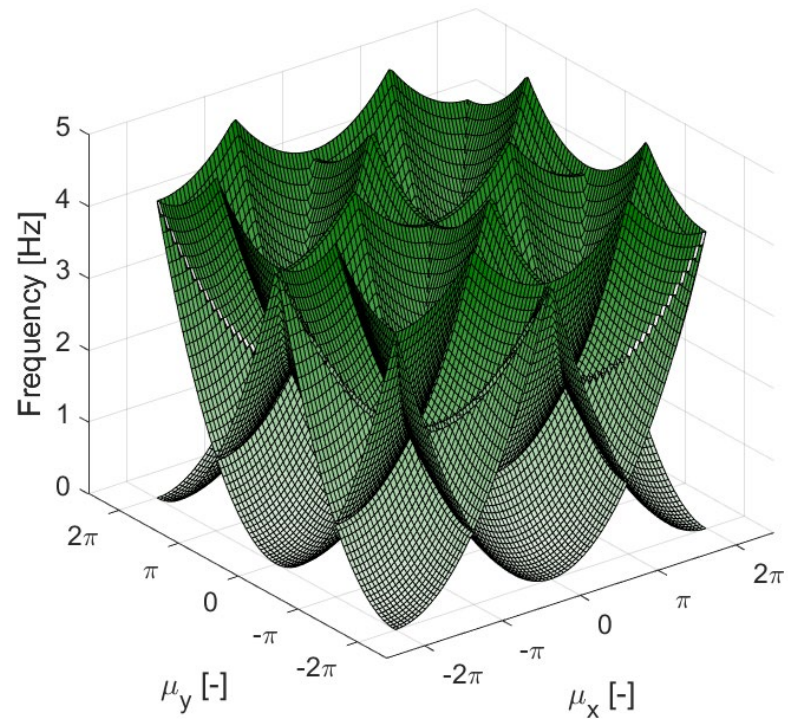
# Periodicity $x, y \rightarrow$ periodicity $\mu_x, \mu_y$

First Brillouin zone



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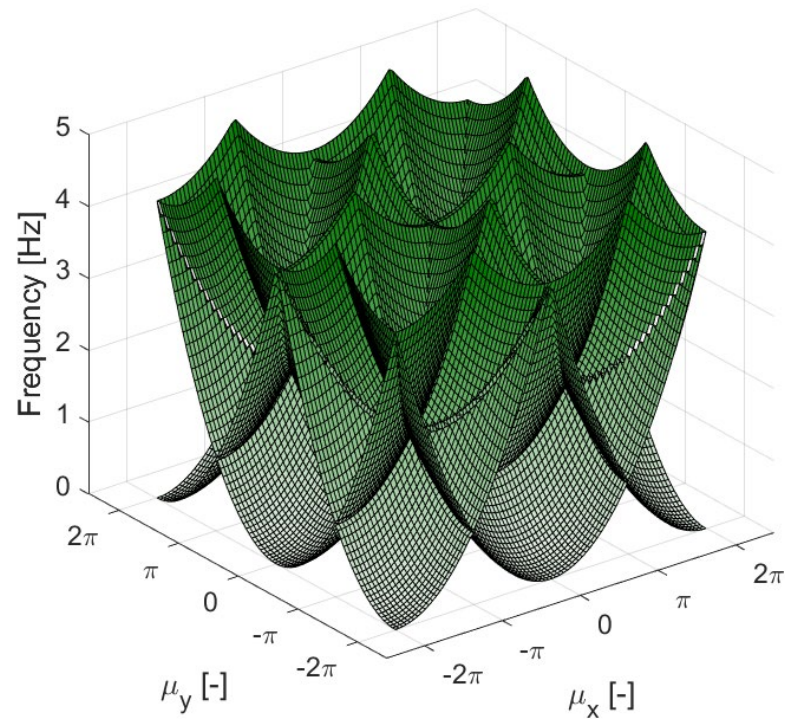
First Brillouin zone



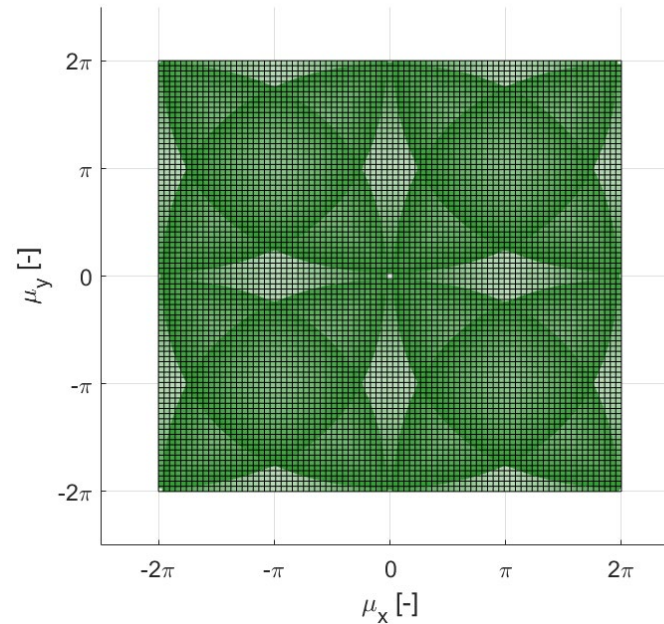
Dispersion surfaces

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First Brillouin zone



Dispersion surfaces



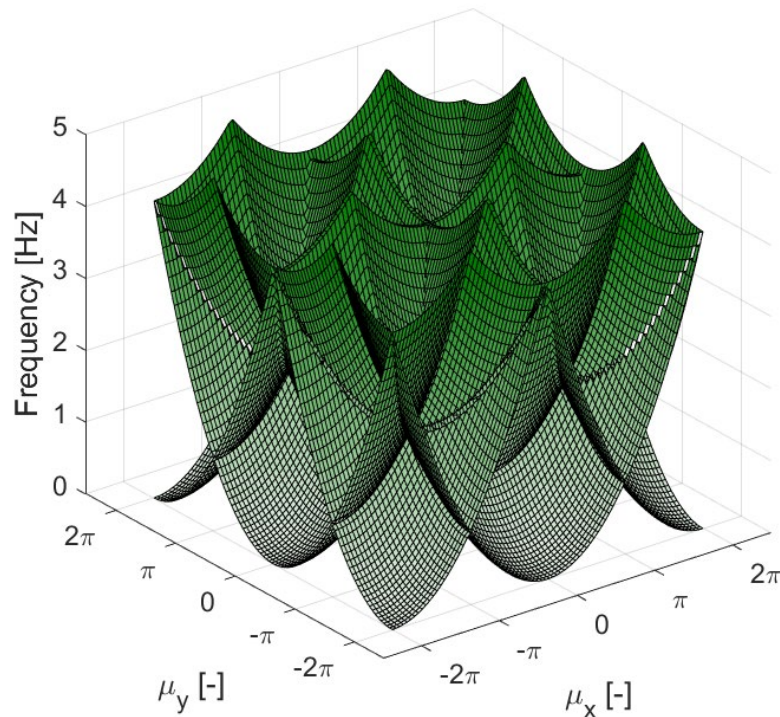
Top view

# Periodicity $x, y \rightarrow$ periodicity $\mu_x, \mu_y$

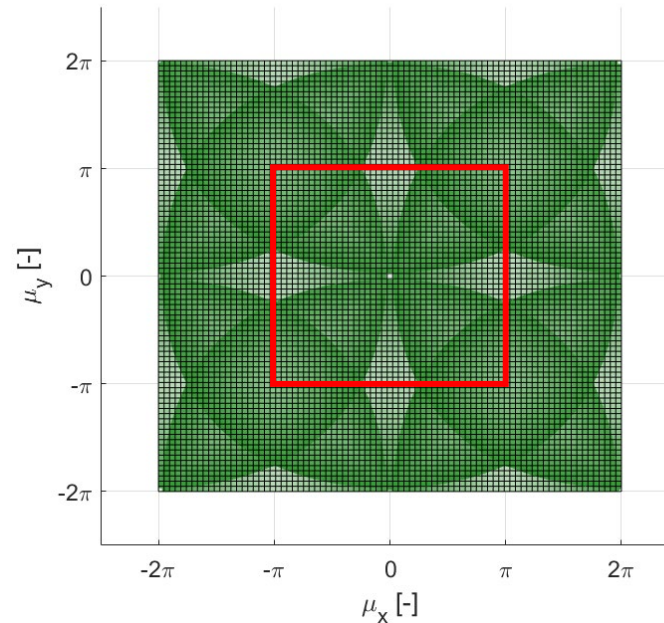
First Brillouin zone

Bloch's theorem  $\rightarrow e^{i\mu}$

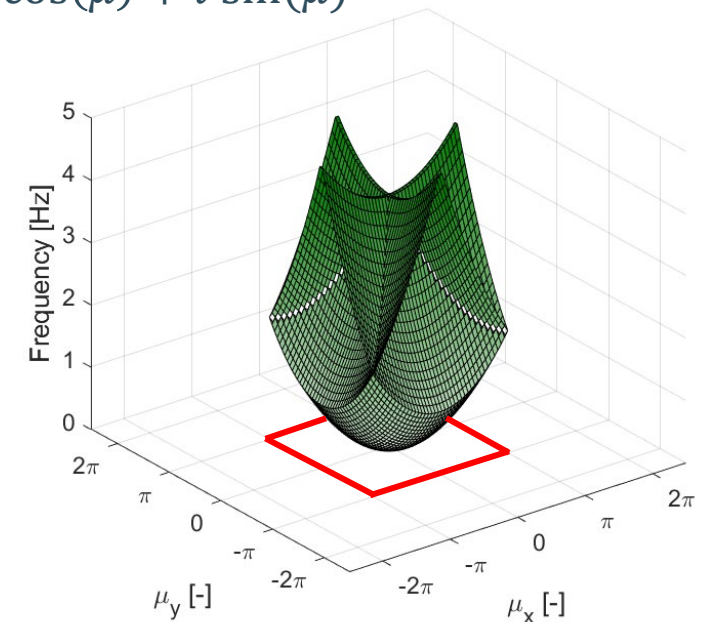
Euler's theorem  $\rightarrow \cos(\mu) + i \sin(\mu)$



Dispersion surfaces

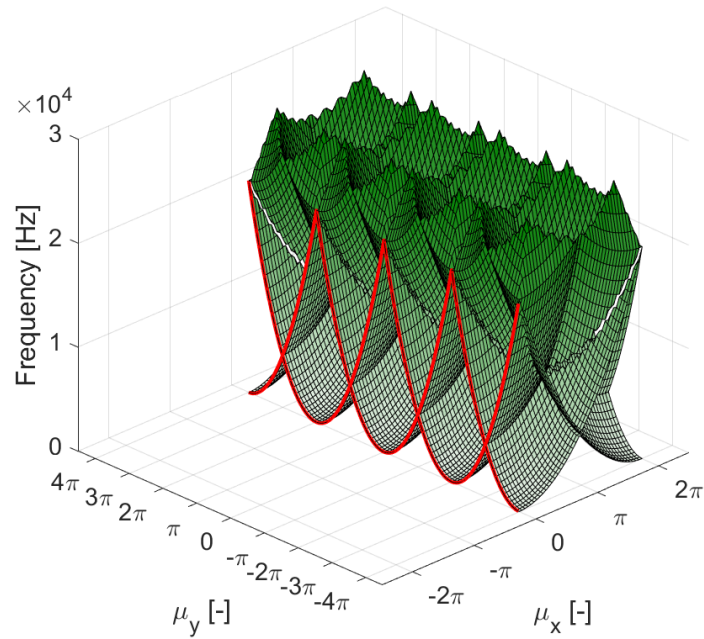


Top view



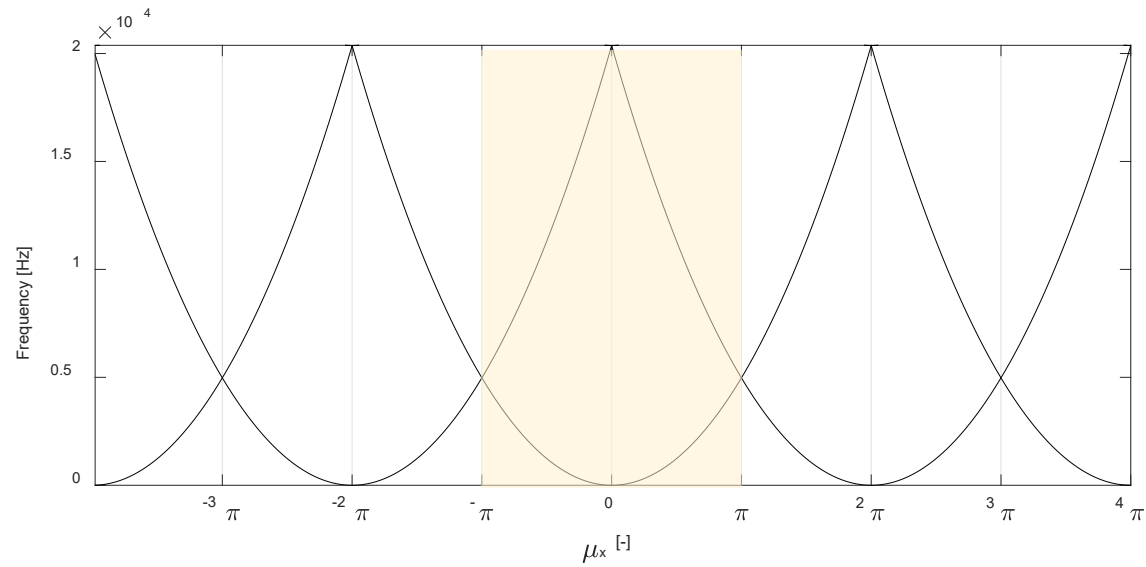
Dispersion surfaces  
on first Brillouin zone

# Periodicity wave domain

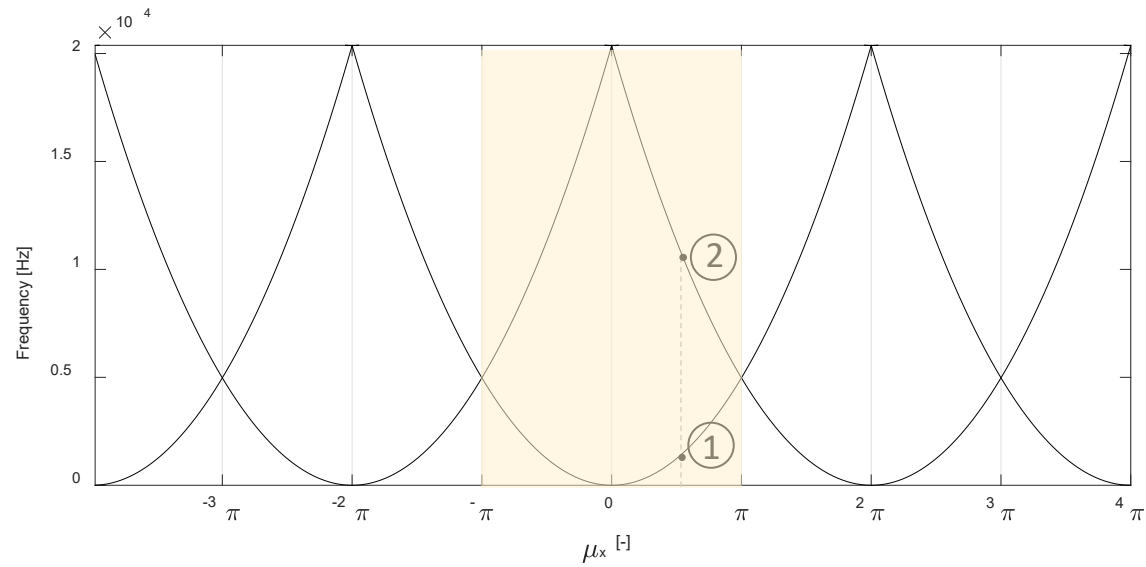




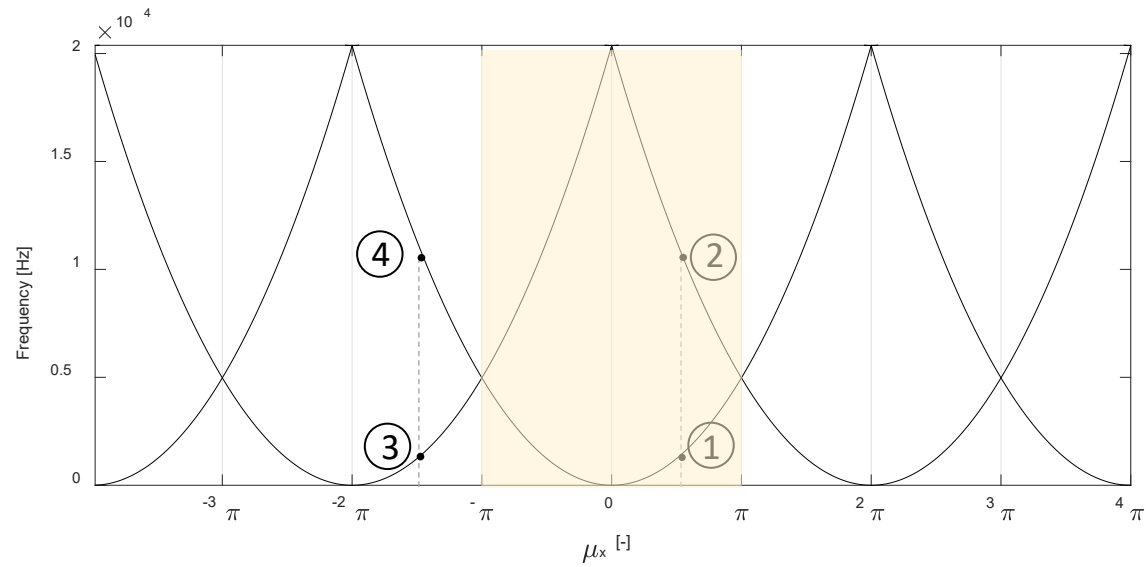
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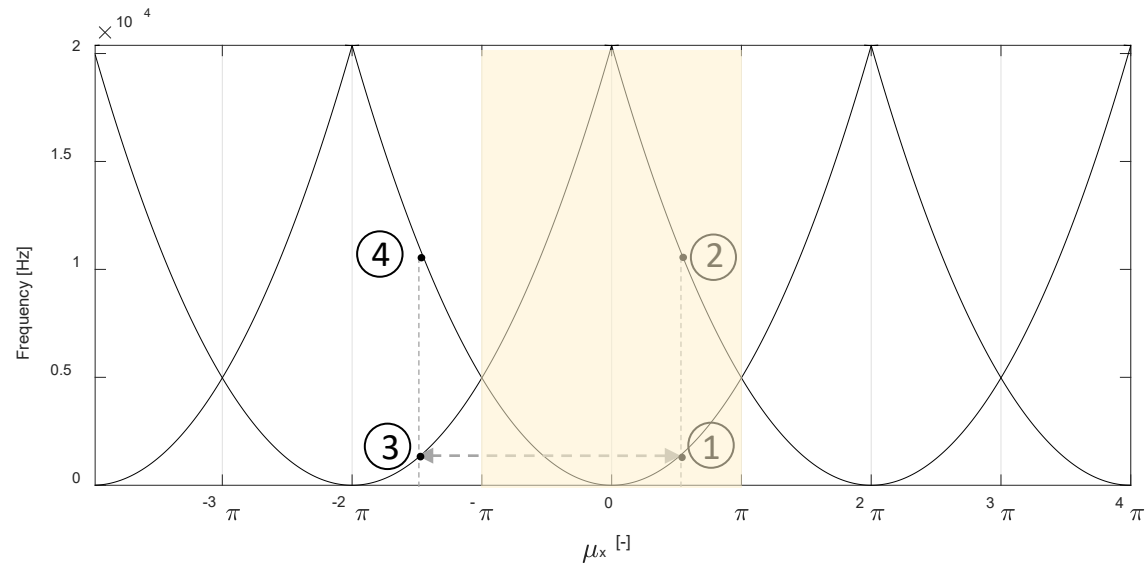
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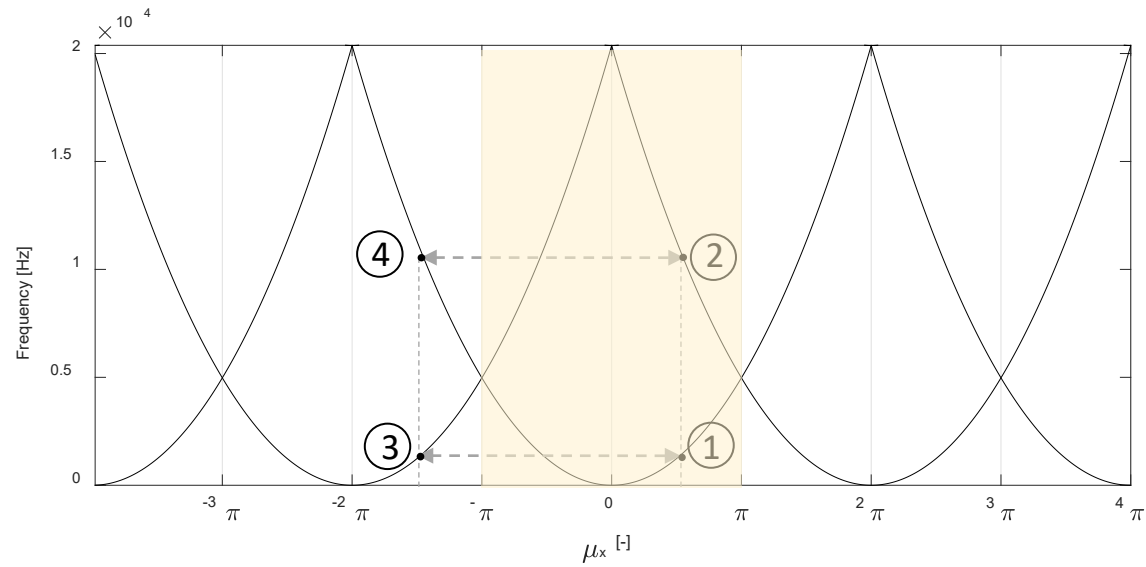
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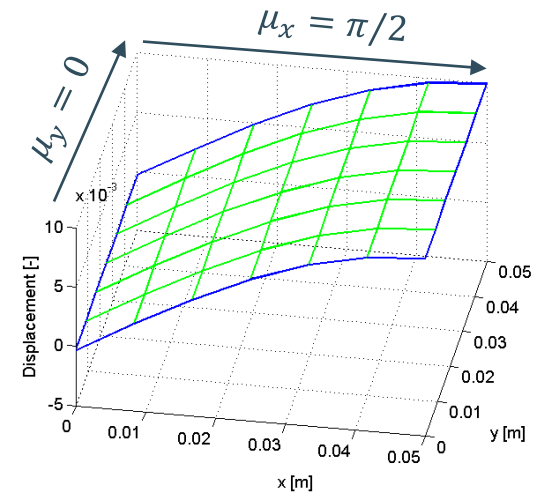
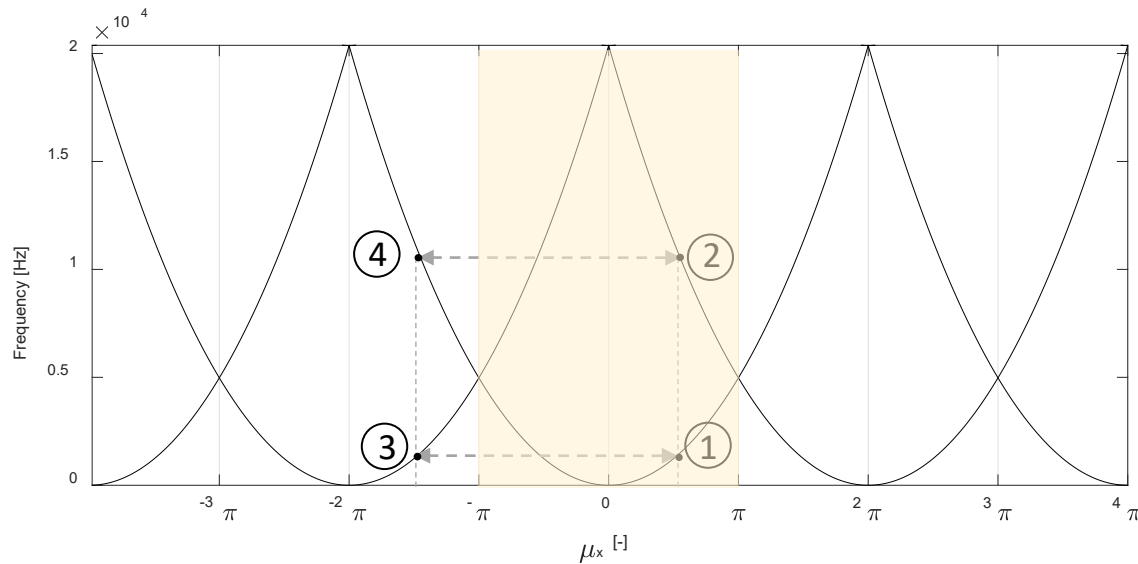
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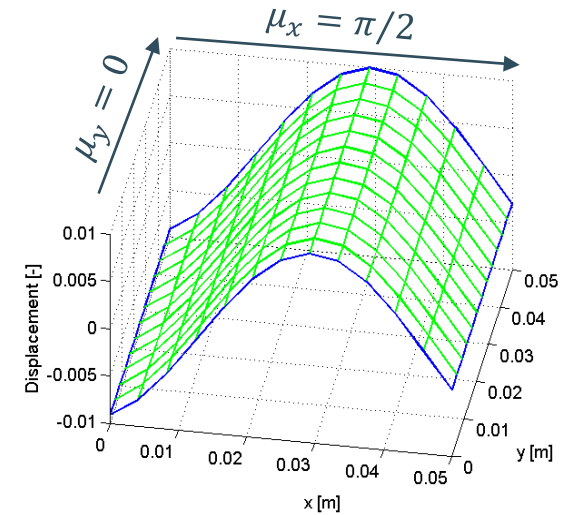
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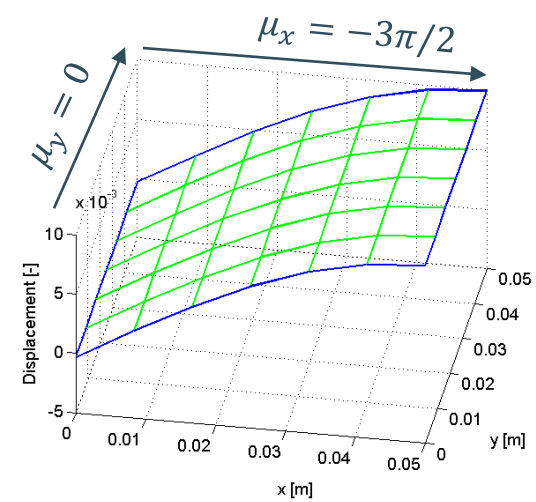
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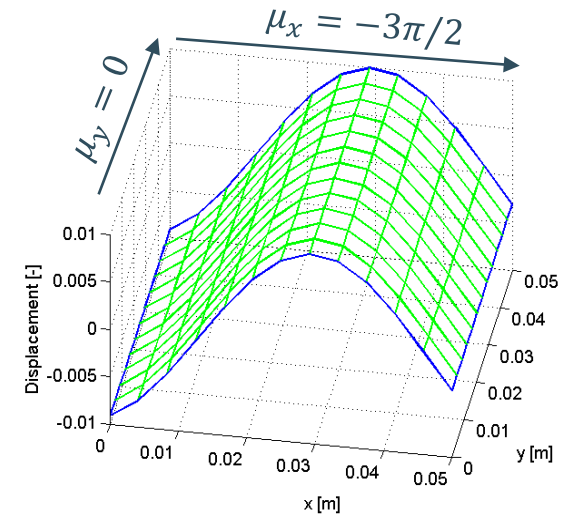
①



②

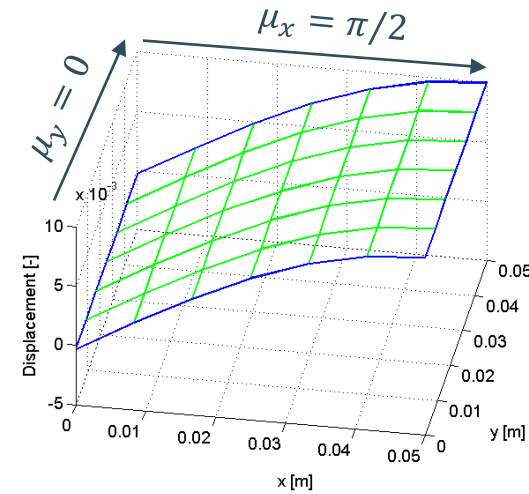
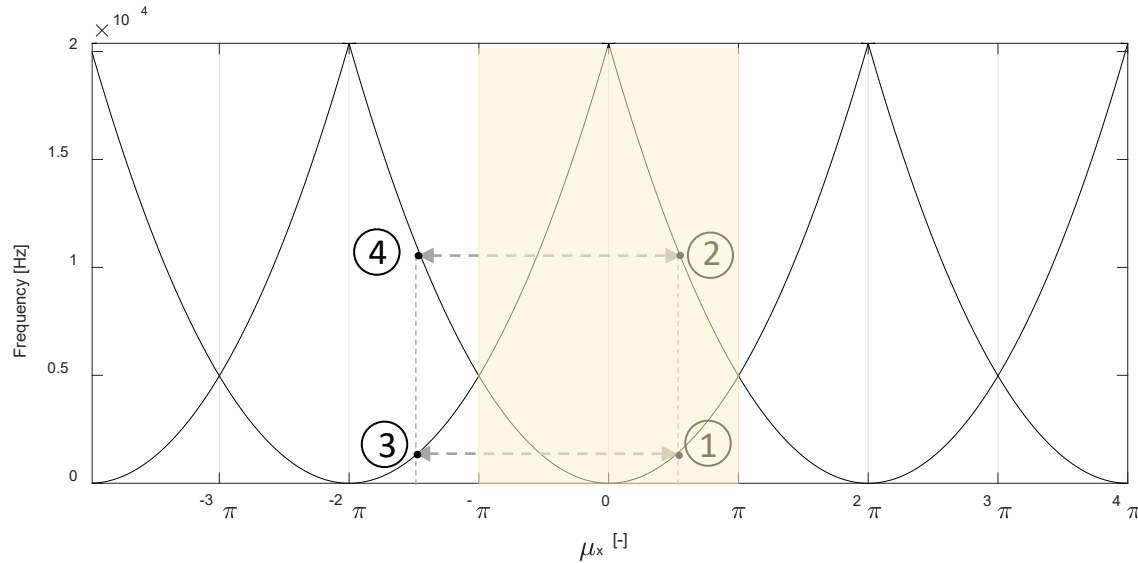


③

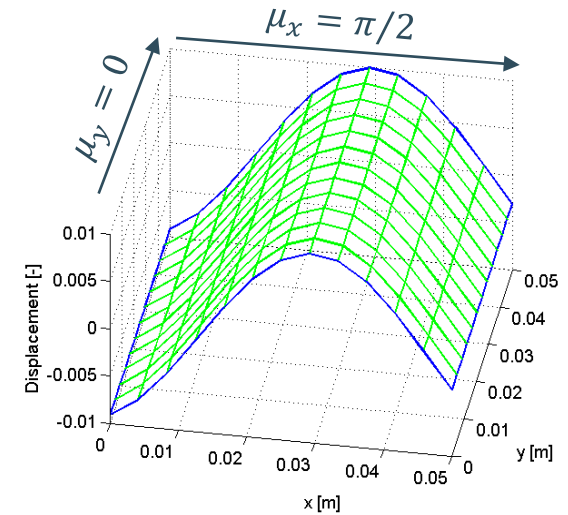


④

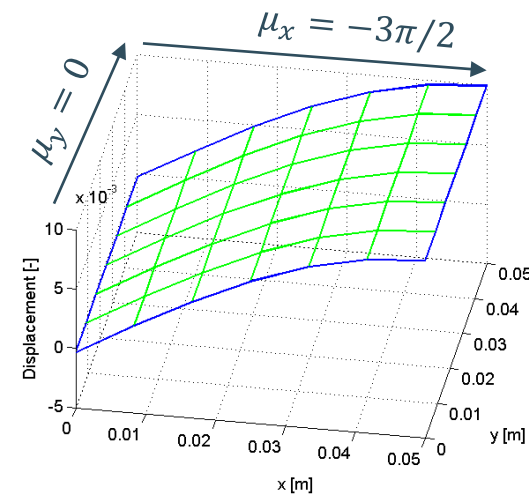
# Periodicity wave domain



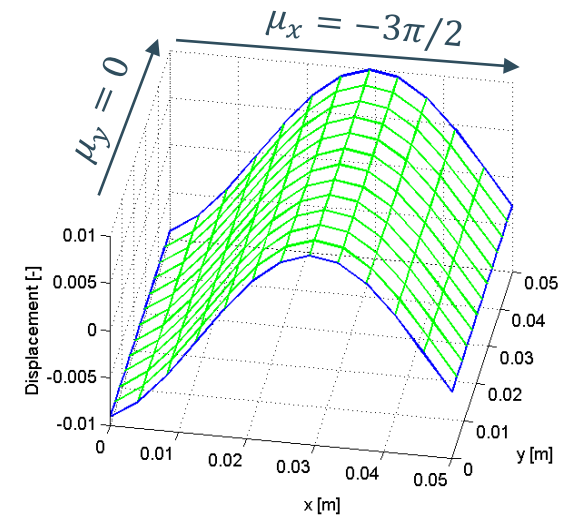
①



②



③



④

## Periodic zones in wave domain

- Brillouin zones
- First Brillouin zone  $\mu_x = -\pi \dots \pi, \mu_y = -\pi \dots \pi$  contains all info

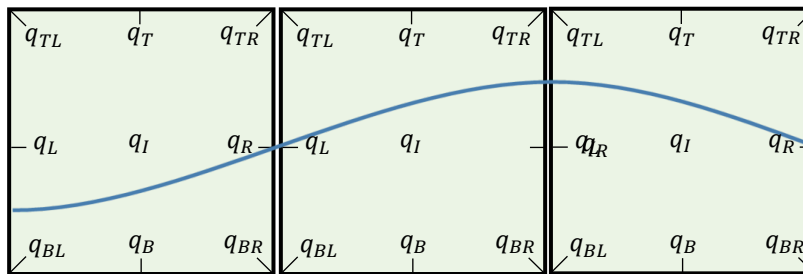
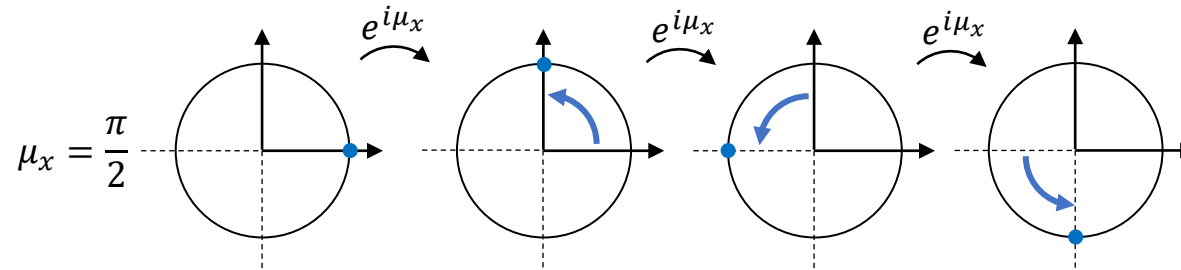
# Periodicity wave domain

Phase shift:  $\mu_y = \pi/2$   
→ left running wave



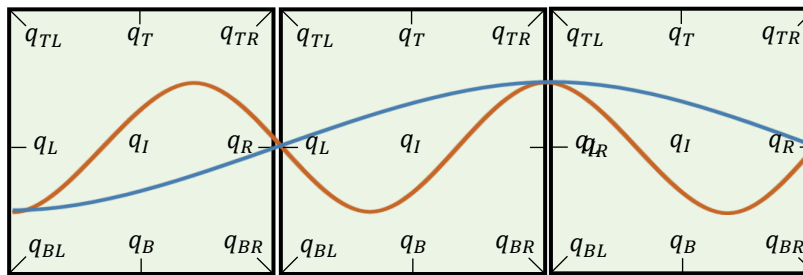
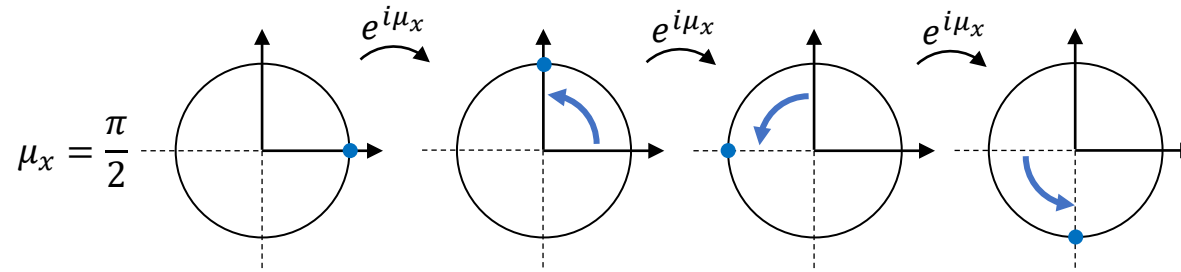
# Periodicity wave domain

Phase shift:  $\mu_y = \pi/2$   
 → left running wave

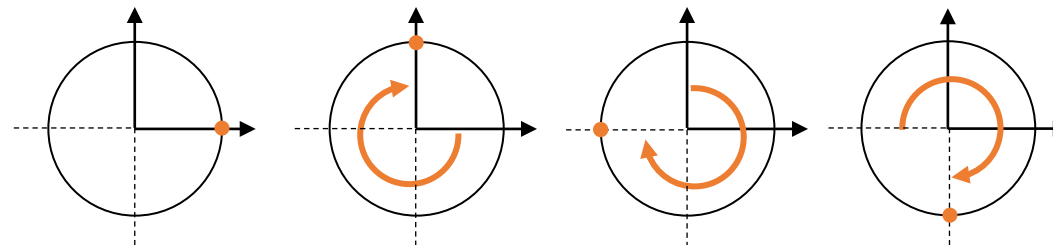


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Phase shift:  $\mu_y = \pi/2$   
 → left running wave

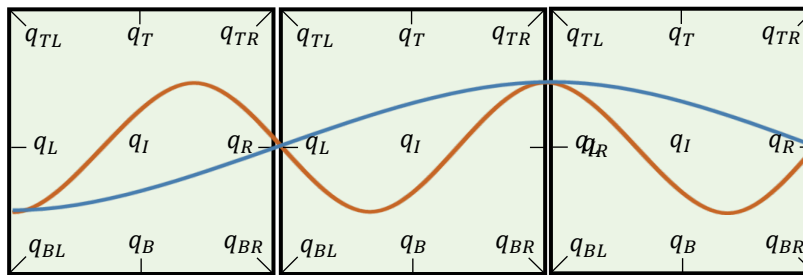
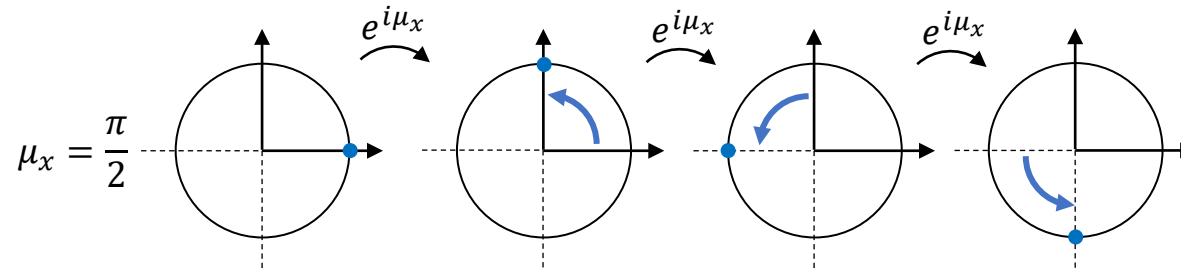


Phase shift:  $\mu_y = -3\pi/2$   
 → right running wave

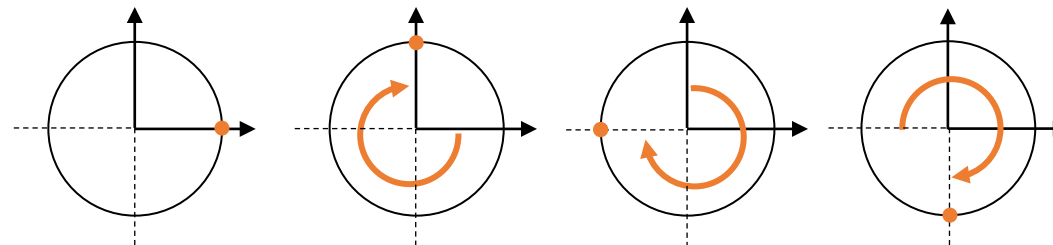


# Periodicity wave domain

Phase shift:  $\mu_y = \pi/2$   
 → left running wave



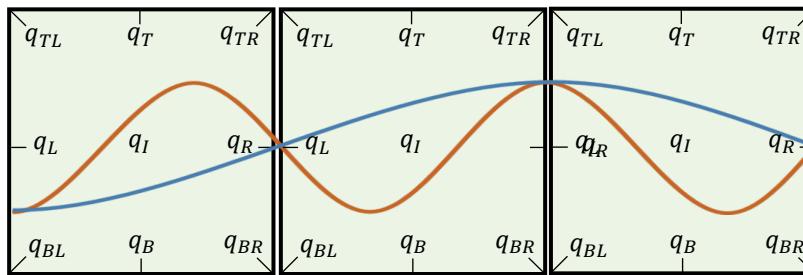
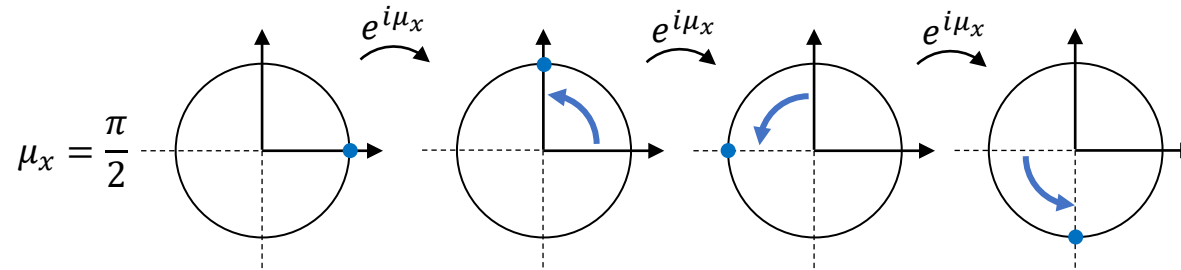
Phase shift:  $\mu_y = -3\pi/2$   
 → right running wave



$$\Rightarrow \omega(\epsilon_x, \epsilon_y) = \omega(\epsilon_x \pm 2\pi n, \epsilon_y \pm 2\pi m) \text{ with } n, m \in \mathbb{N}$$

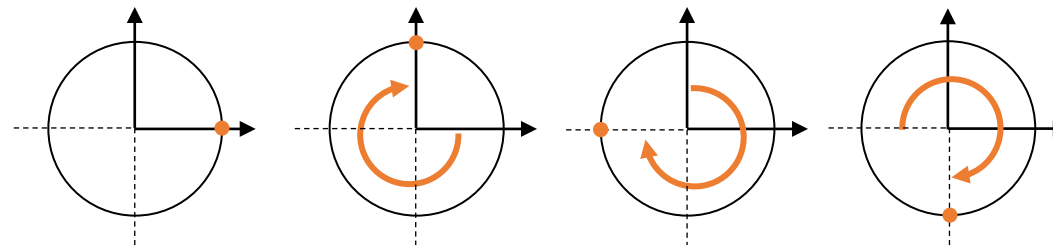
# Periodicity wave domain

Phase shift:  $\mu_y = \pi/2$   
 → left running wave



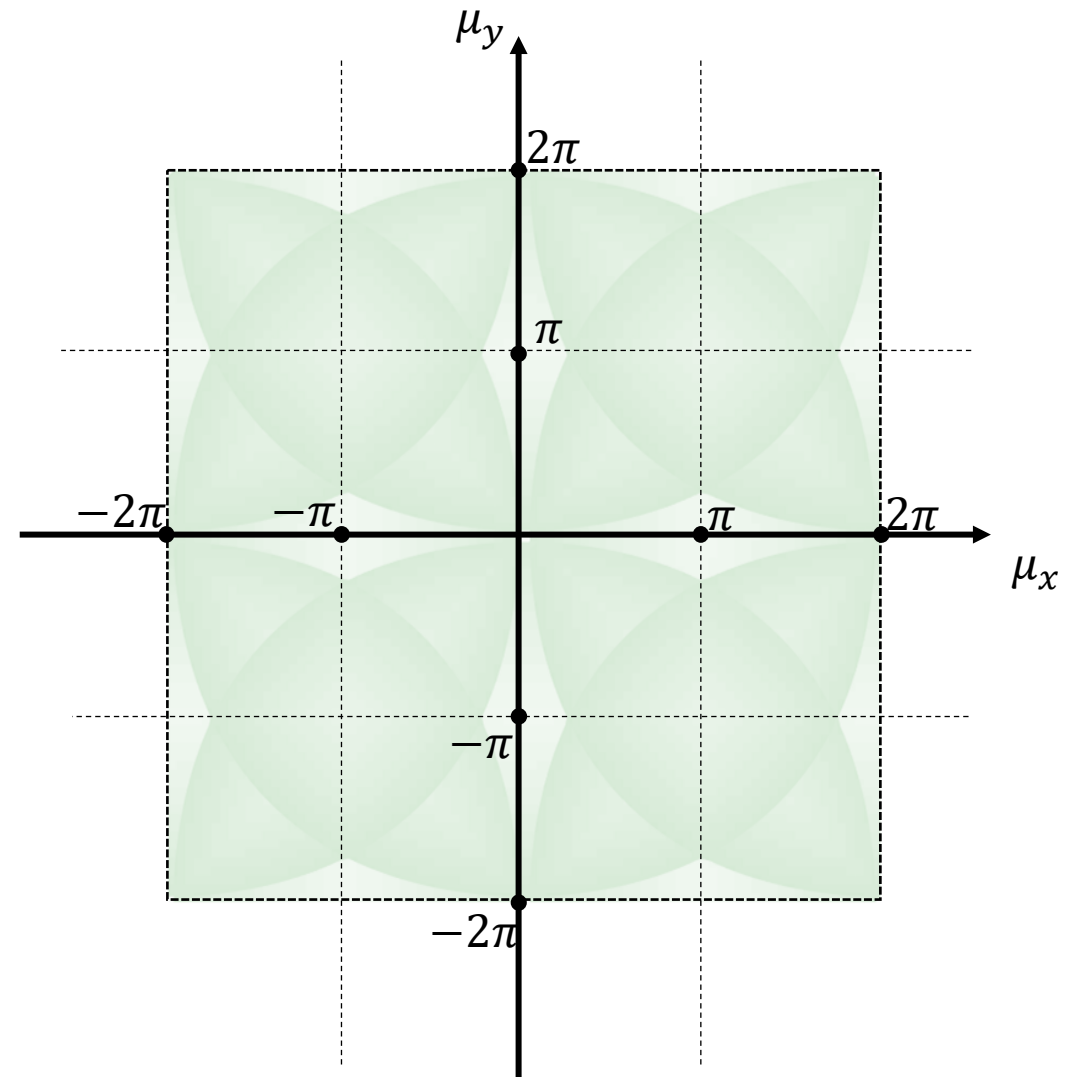
Both waves satisfy  
 the BC:  $\mathbf{q}_R = e^{j\pi/2} \mathbf{q}_L$

Phase shift:  $\mu_y = -3\pi/2$   
 → right running wave

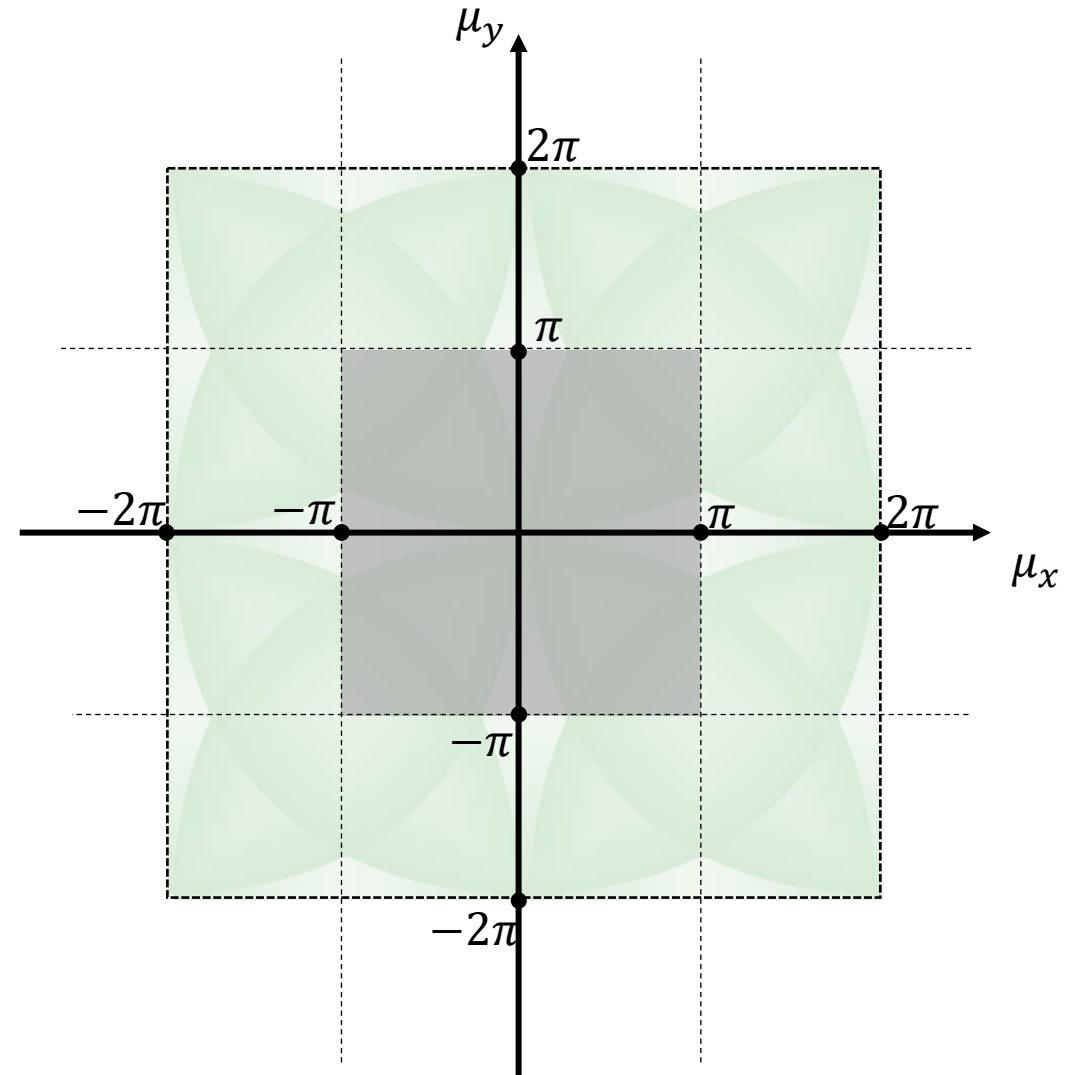


$$\Rightarrow \omega(\epsilon_x, \epsilon_y) = \omega(\epsilon_x \pm 2\pi n, \epsilon_y \pm 2\pi m) \text{ with } n, m \in \mathbb{N}$$

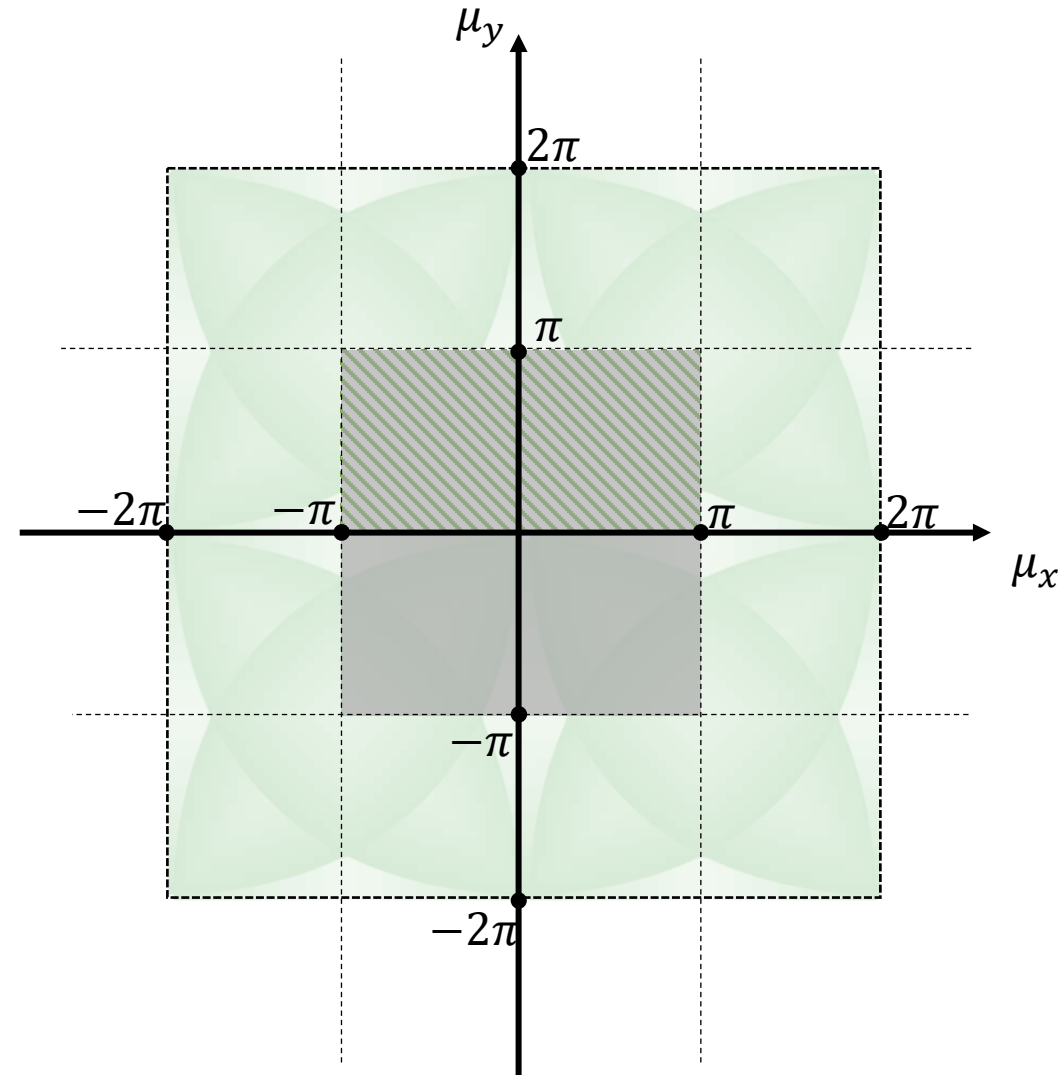
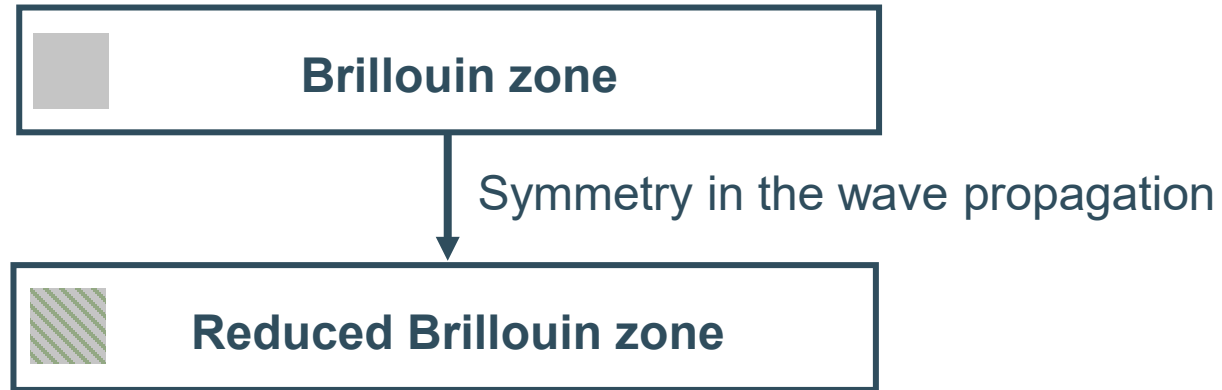
# Further reduction



# Further reduction



# Further reduction



# Further reduction



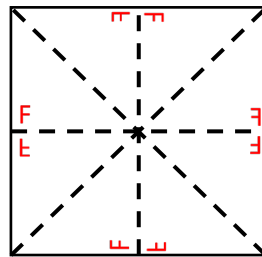
Symmetry in the wave propagation



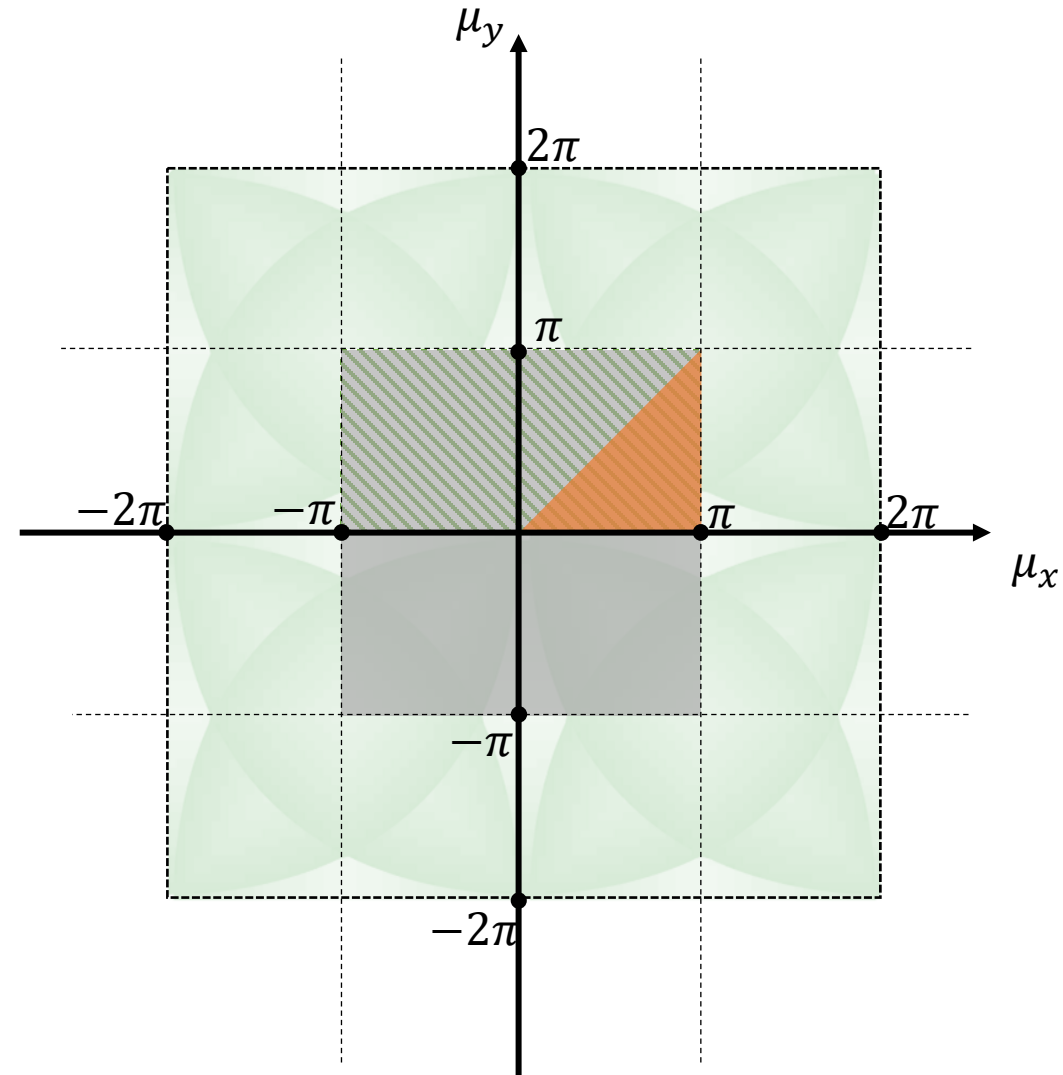
Symmetry in the UC



Example UC

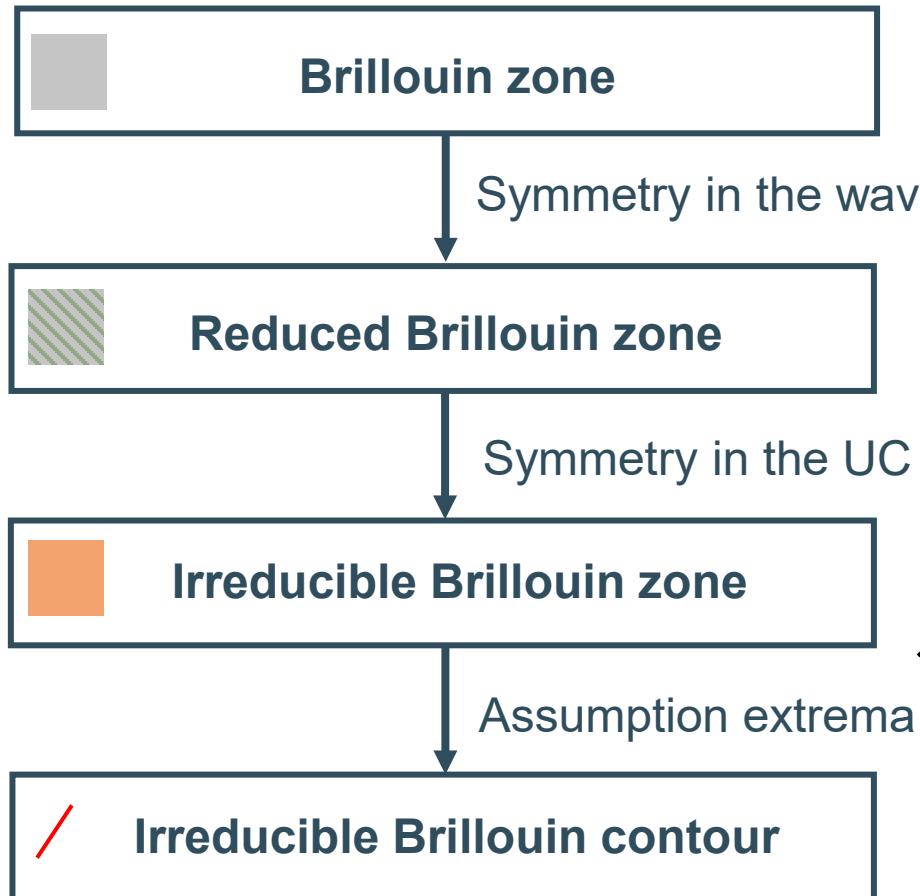


Symmetry lines

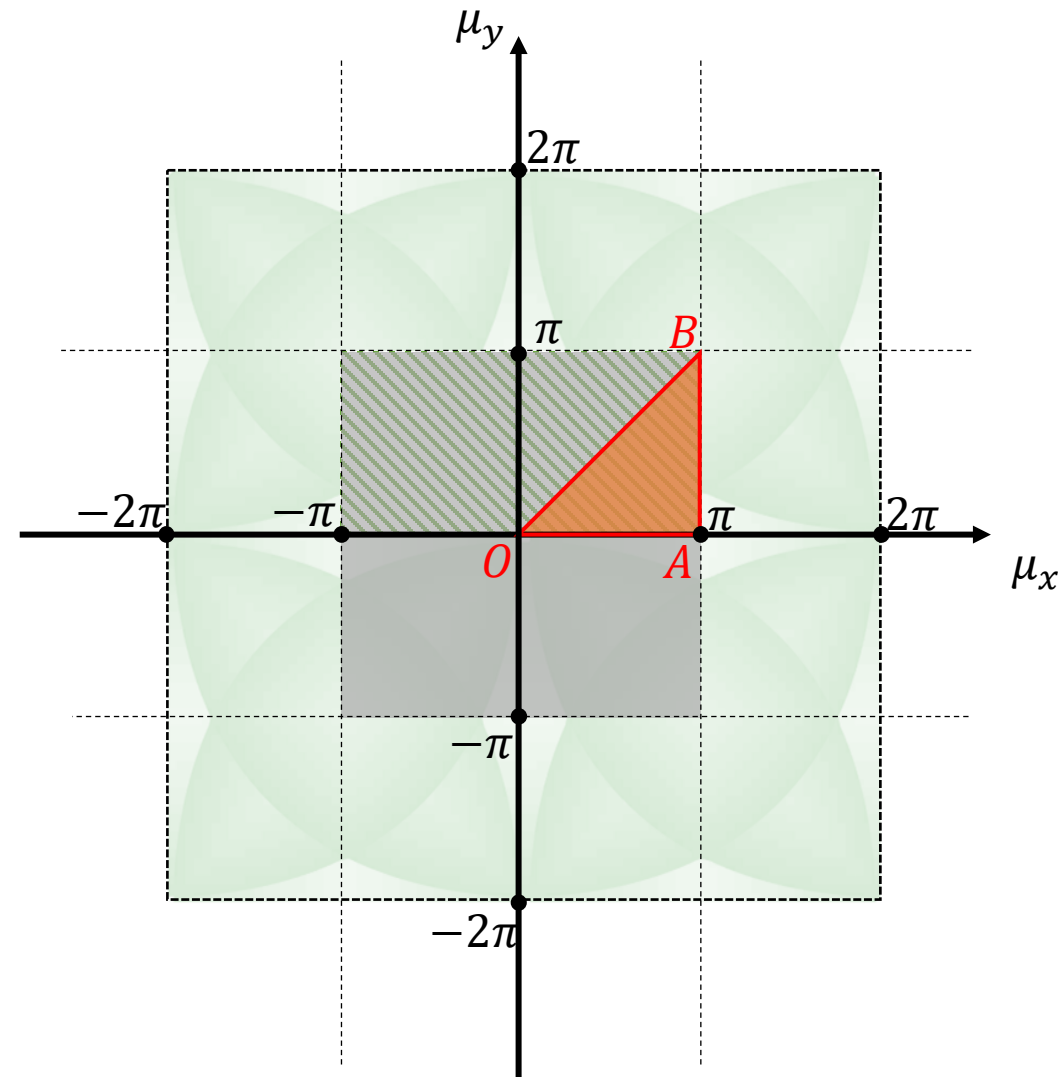
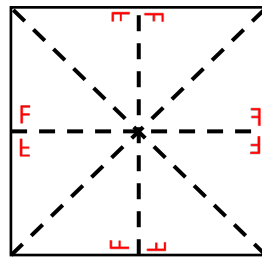




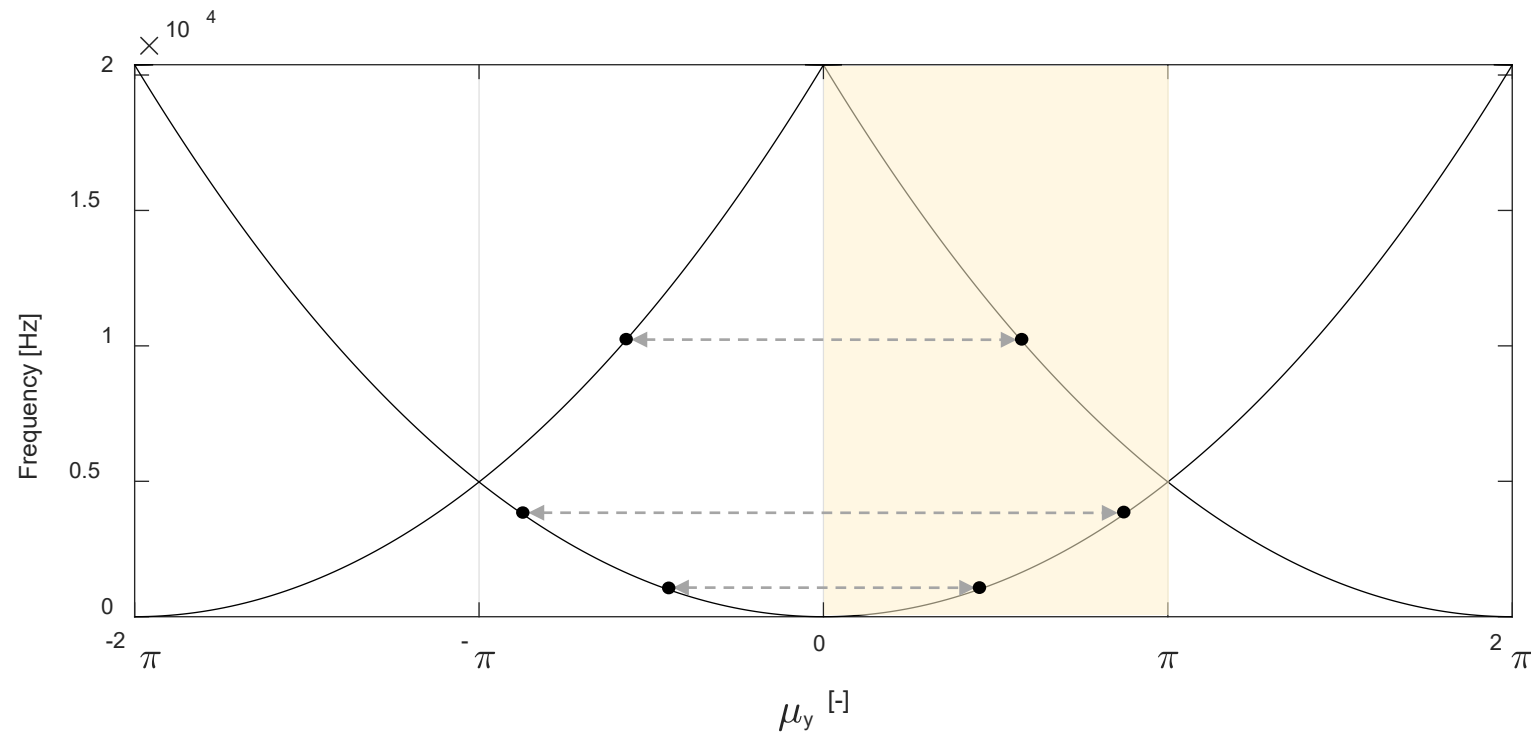
# Further reduction



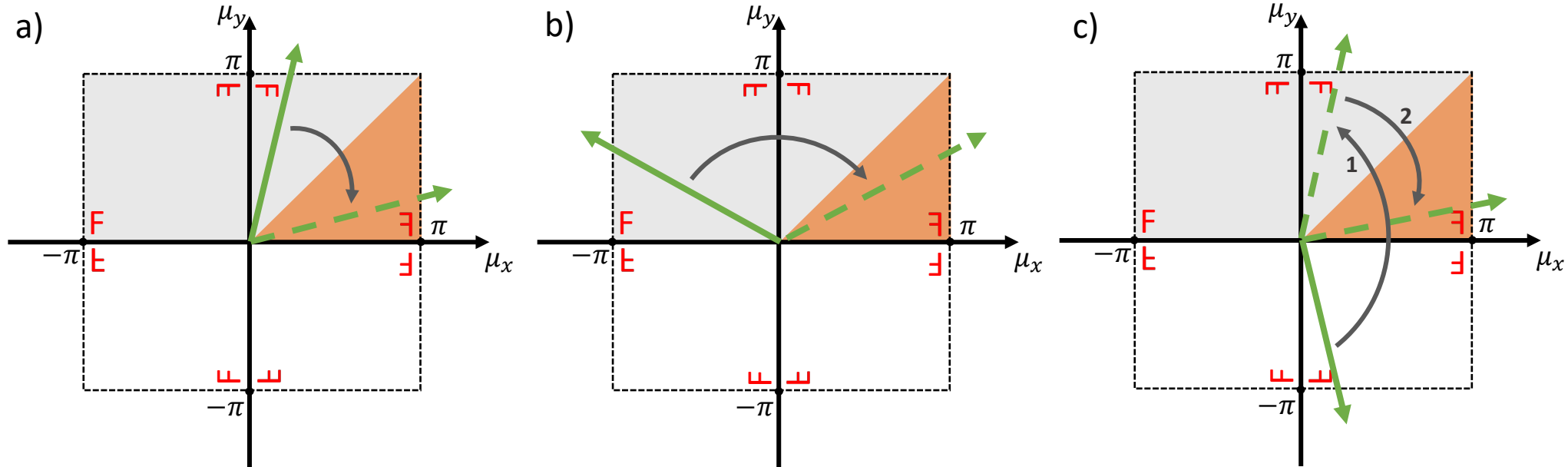
Example UC



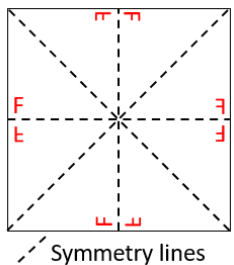
# Symmetry in the wave propagation



# Symmetry in the UC geometry



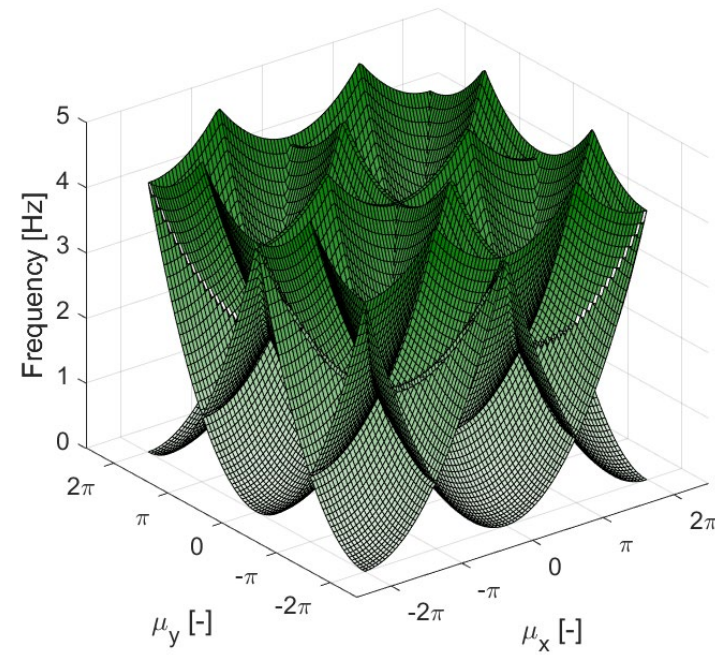
## Example UC



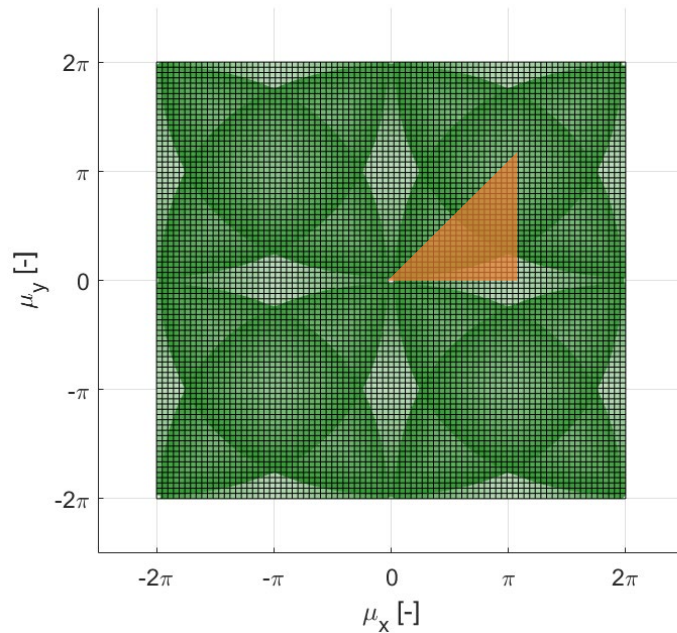
- Irreducible Brillouin zone
- Irreducible Brillouin zone after UC symmetry

- Wave of interest
- Wave related by symmetry in the UC

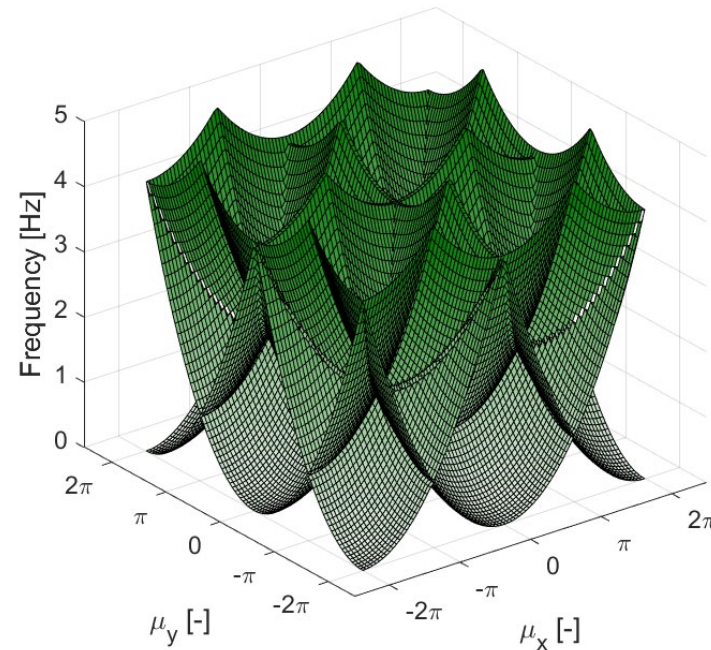
# IBZ to IBC



# IBZ to IBC



 Irreducible Brillouin zone



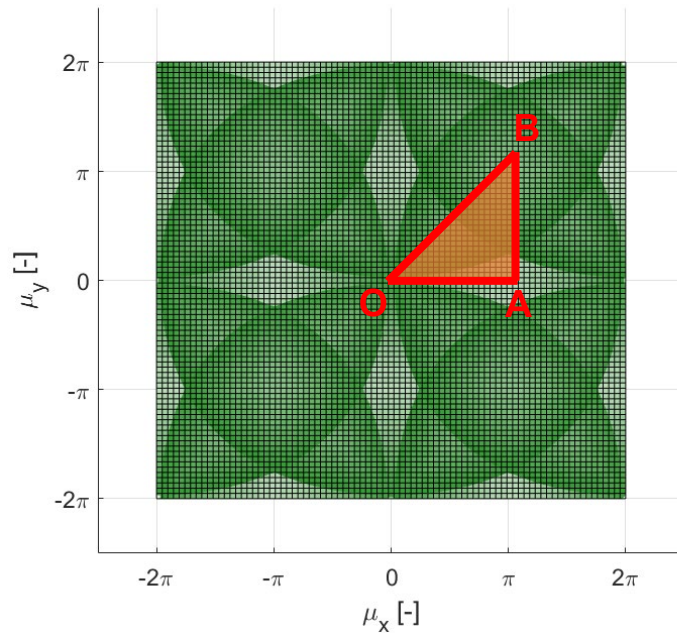
Contour of IBZ:



$$OABO \rightarrow (0,0), (\pi, 0), (\pi, \pi), (0,0)$$

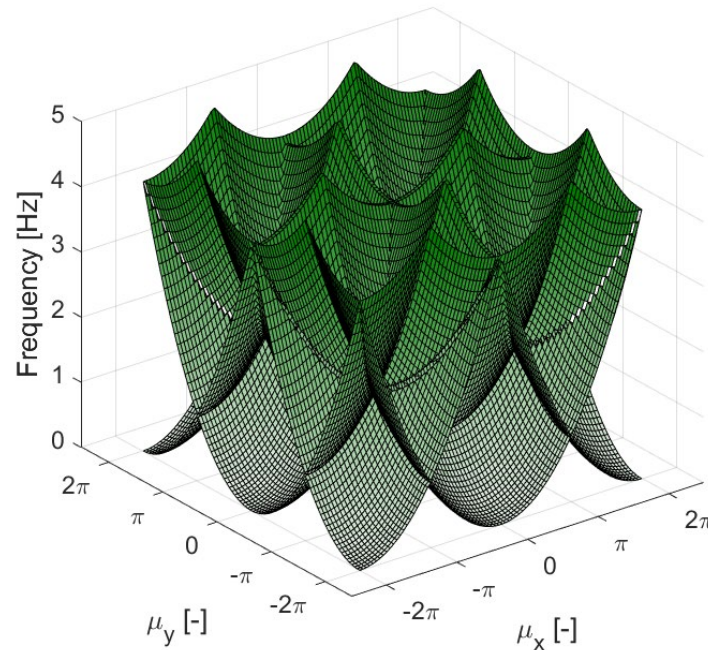
- Relates half  $\lambda$  points across UC
- Points of high chance of interference
- Contains minima and maxima of dispersion surfaces (without proof)

**Irreducible Brillouin contour (IBC)**

# IBZ to IBC



-  Irreducible Brillouin zone
-  Irreducible Brillouin contour



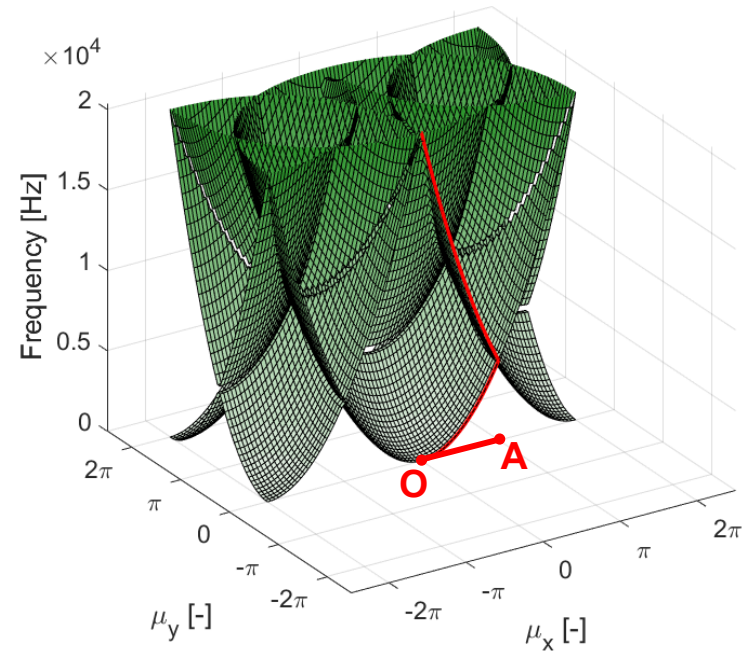
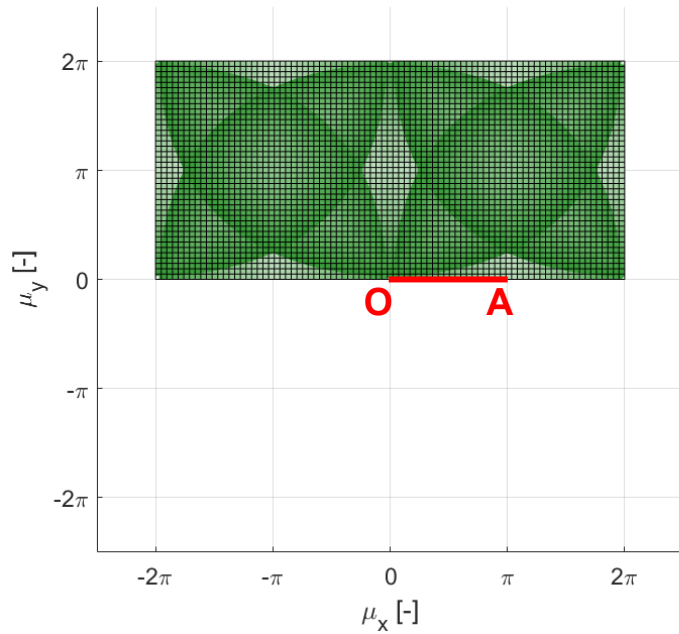
Contour of IBZ:

$$OABO \rightarrow (0,0), (\pi, 0), (\pi, \pi), (0,0)$$

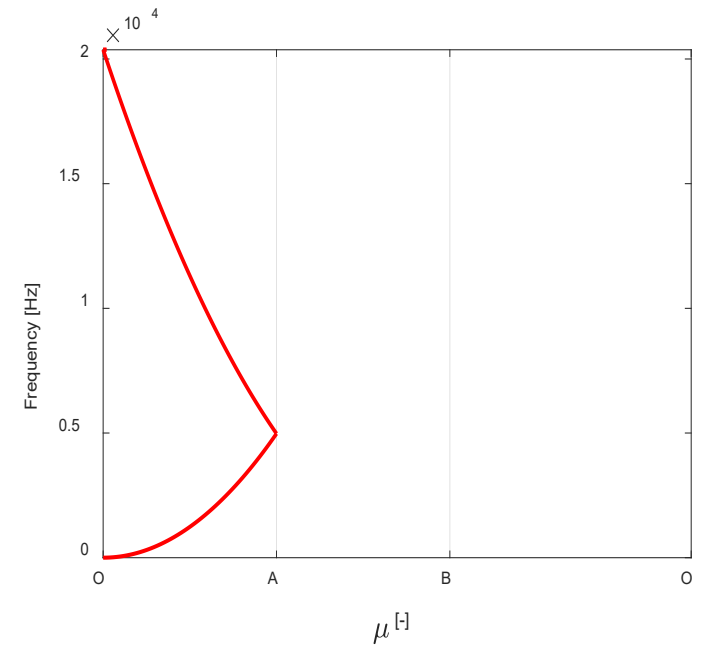
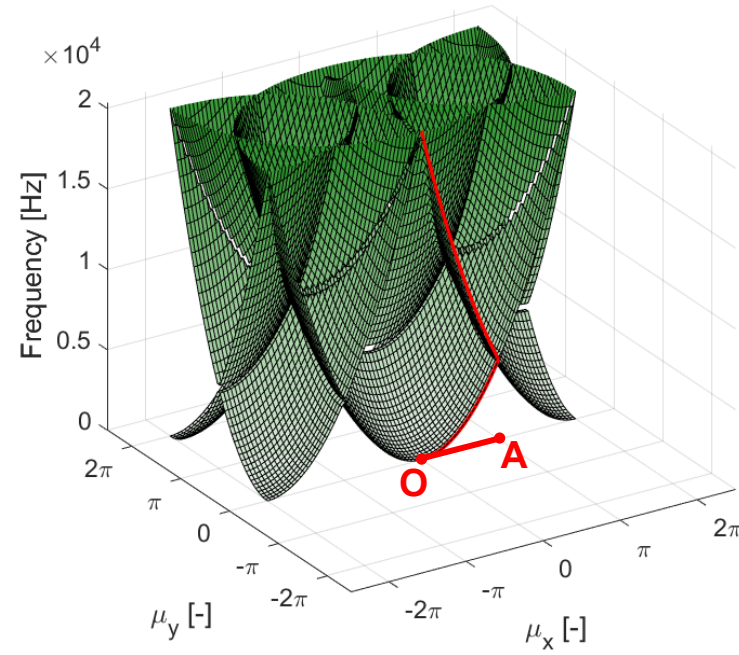
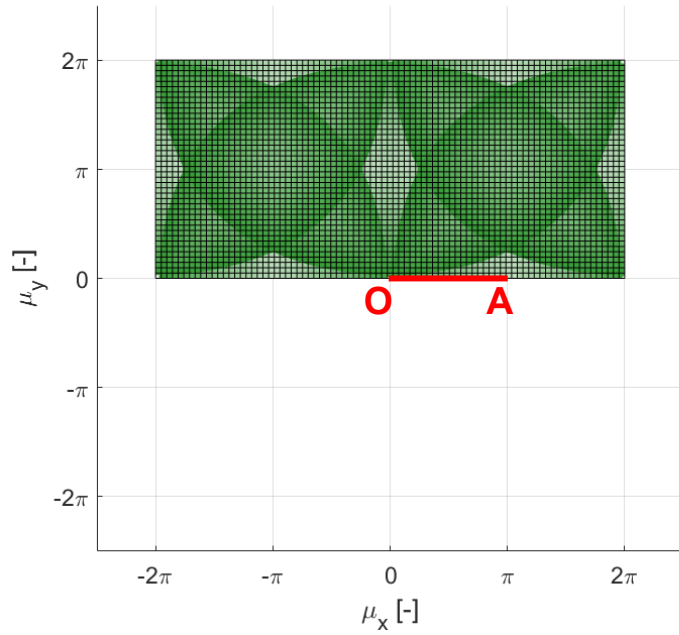
- Relates half  $\lambda$  points across UC
- Points of high chance of interference
- Contains minima and maxima of dispersion surfaces (without proof)

**Irreducible Brillouin contour (IBC)**

# IBC

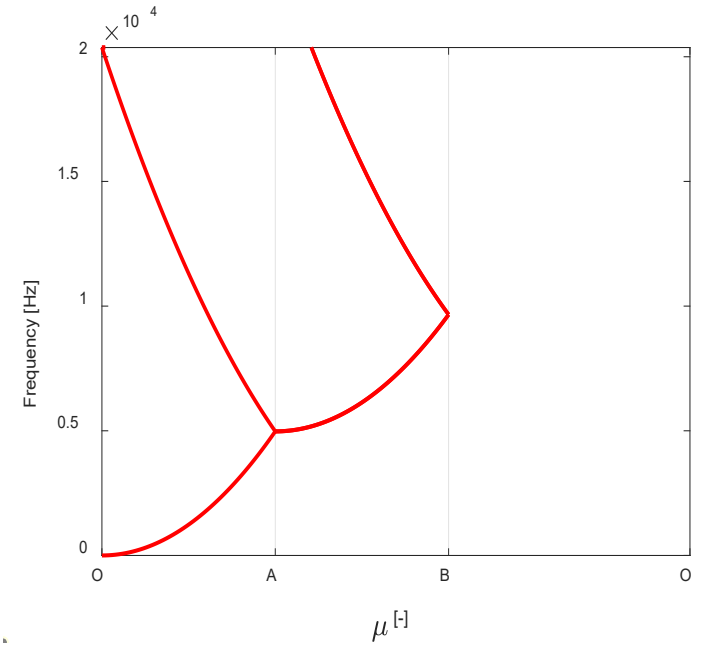
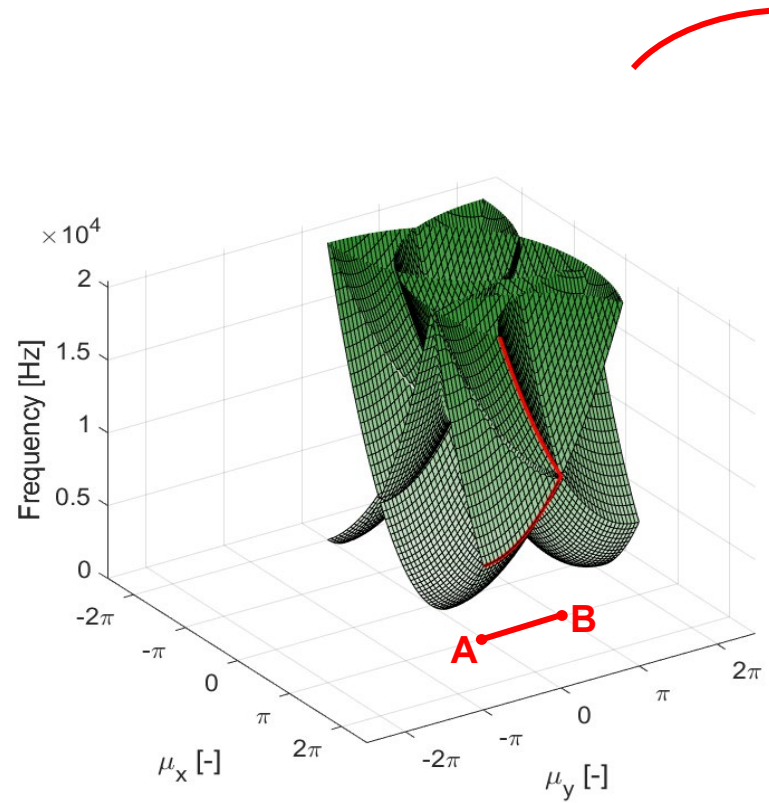
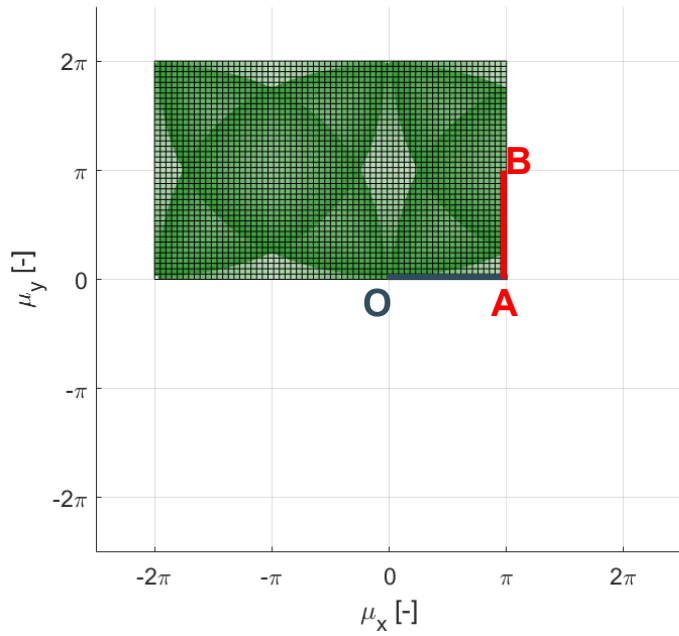


# IBC

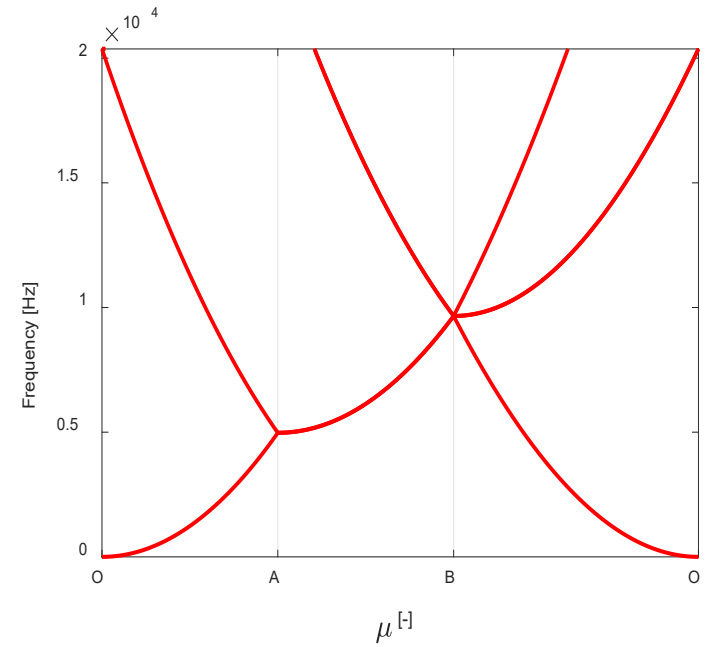
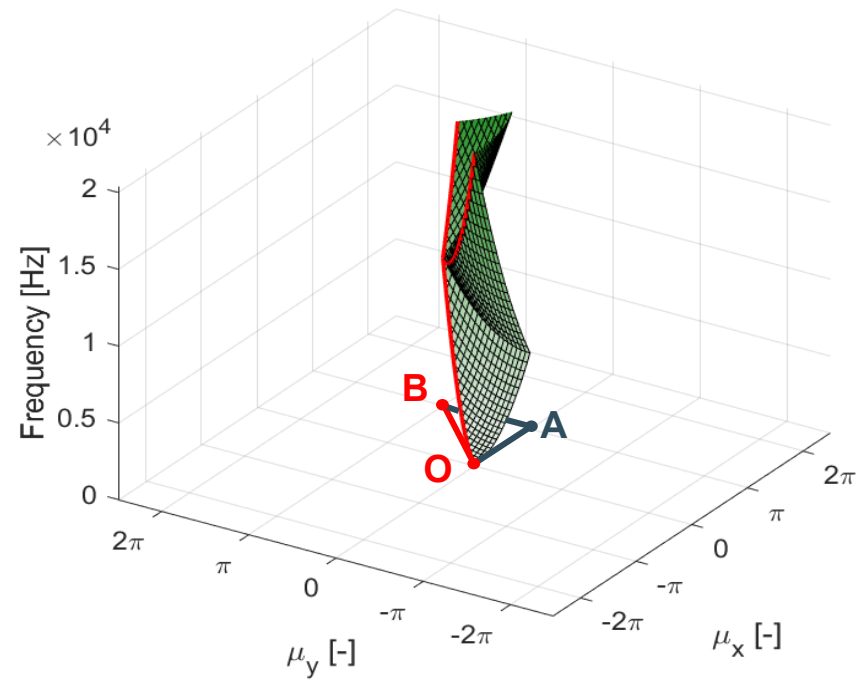
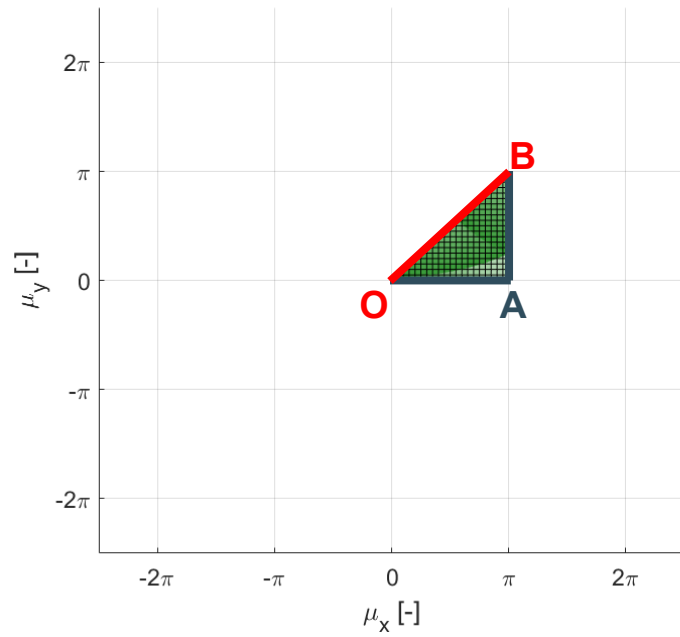




# IBC



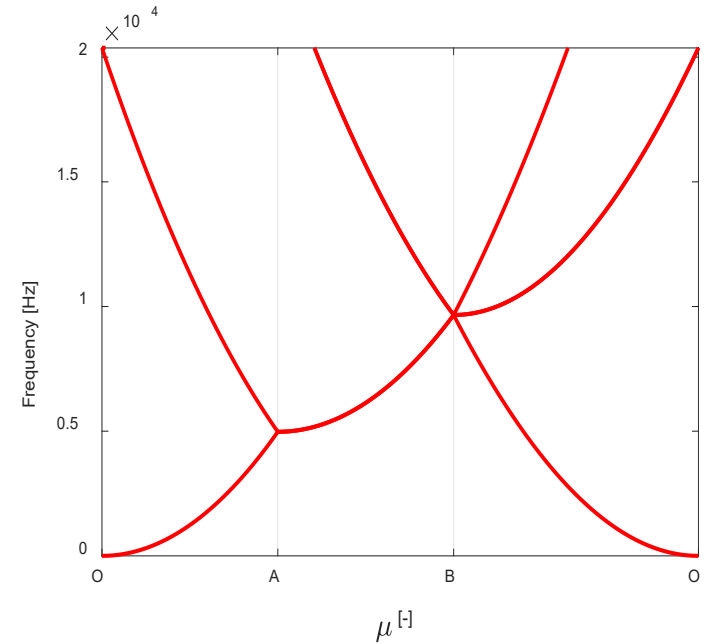
# IBC



# IBC

## Dispersion curves along the IBC

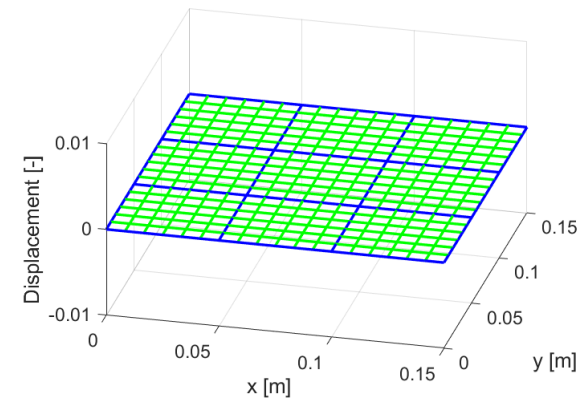
Frequencies and direction of free wave propagation in the infinite periodic structure



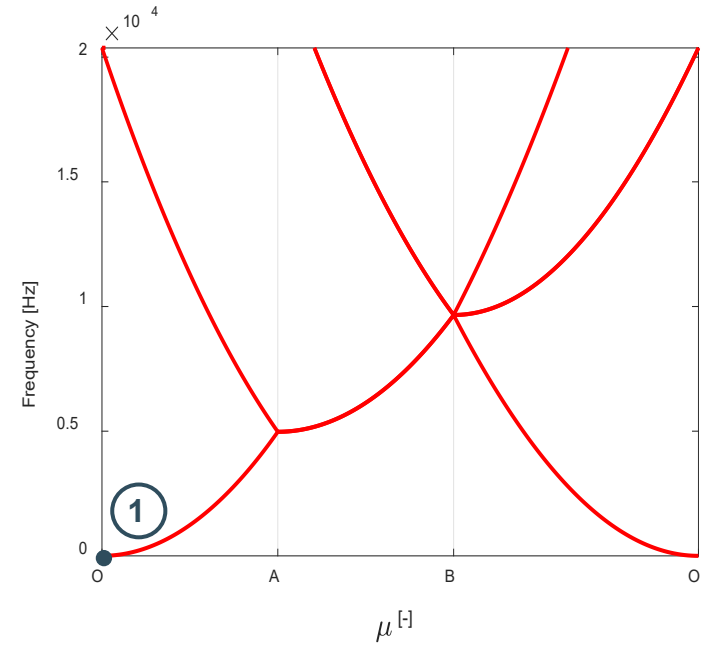
# IBC

## Dispersion curves along the IBC

Frequencies and direction of free wave propagation in the infinite periodic structure



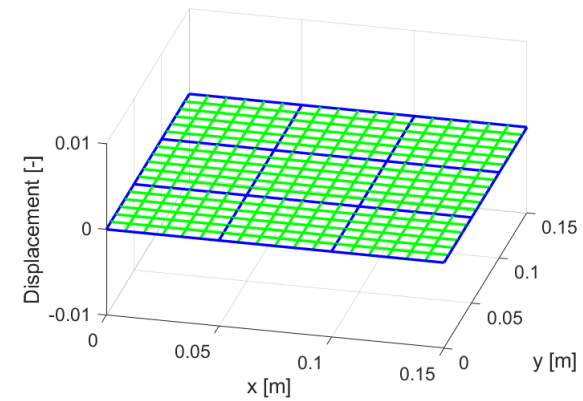
①



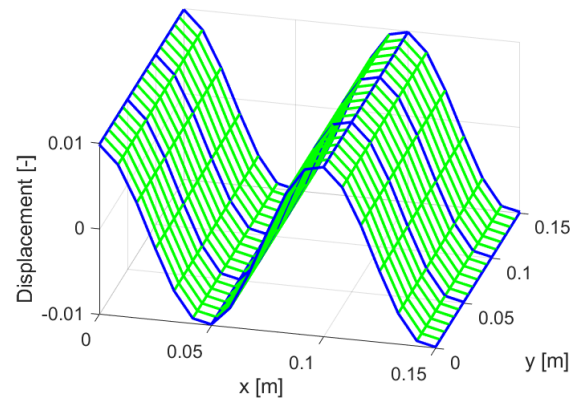
# IBC

## Dispersion curves along the IBC

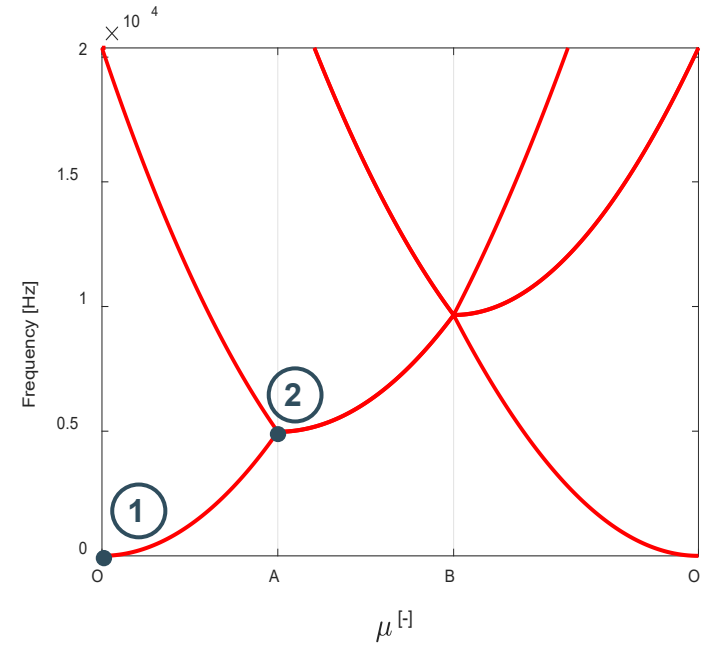
Frequencies and direction of free wave propagation in the infinite periodic structure



①



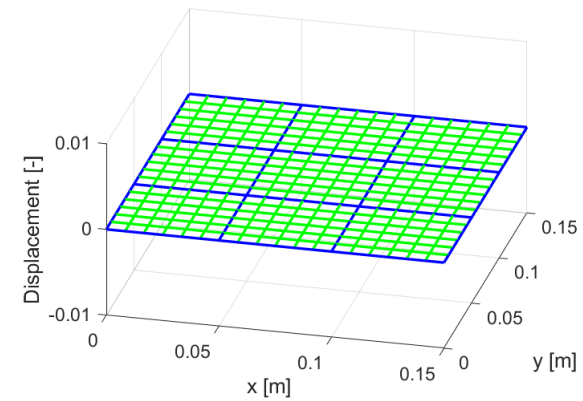
②



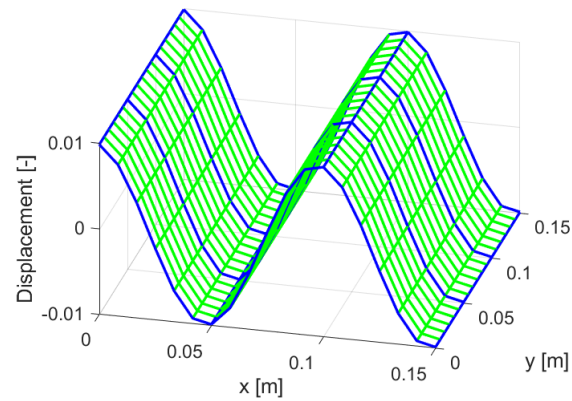
# IBC

## Dispersion curves along the IBC

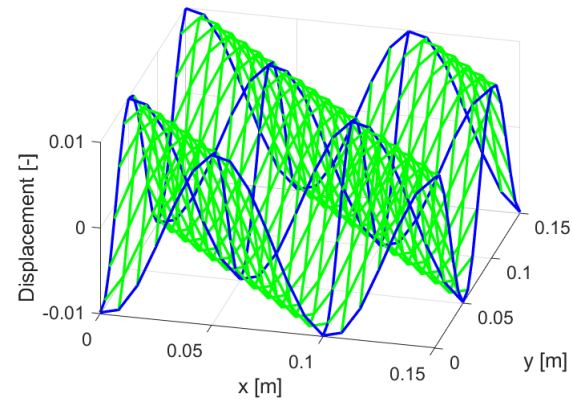
Frequencies and direction of free wave propagation in the infinite periodic structure



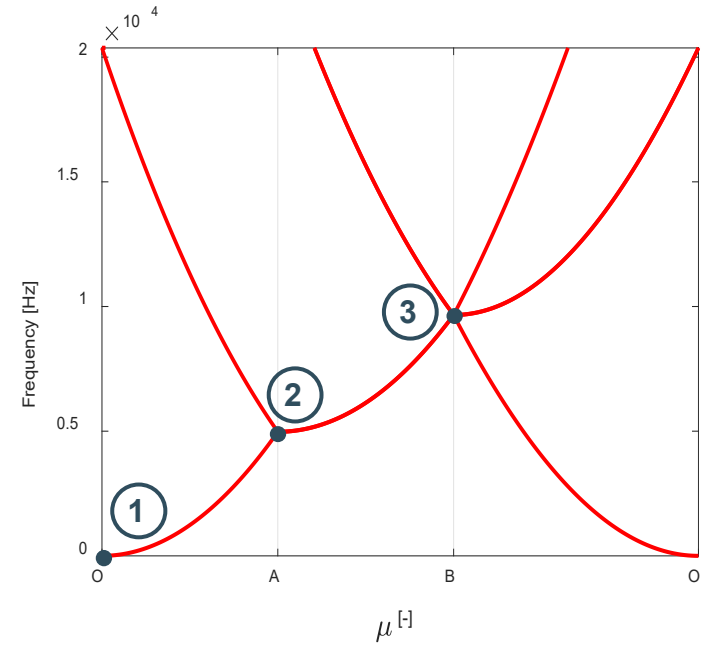
①



②

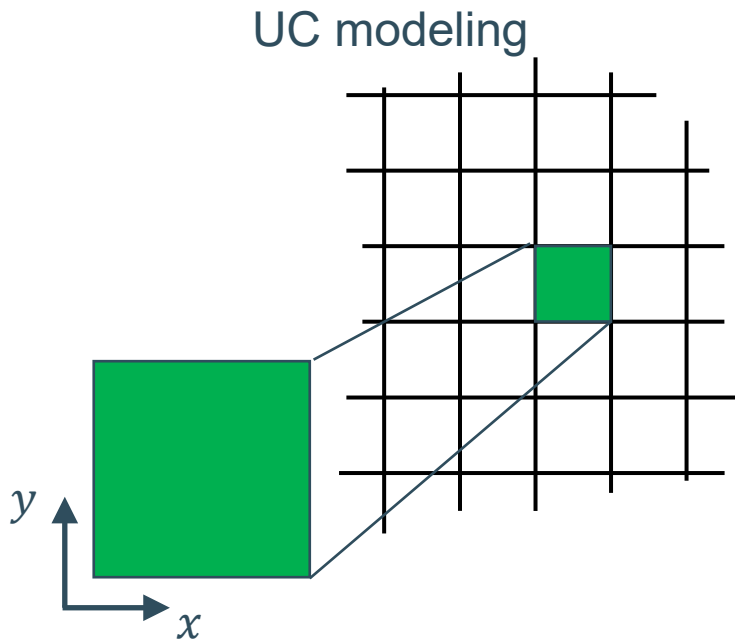


③



# Summary: dispersion surfaces to curves

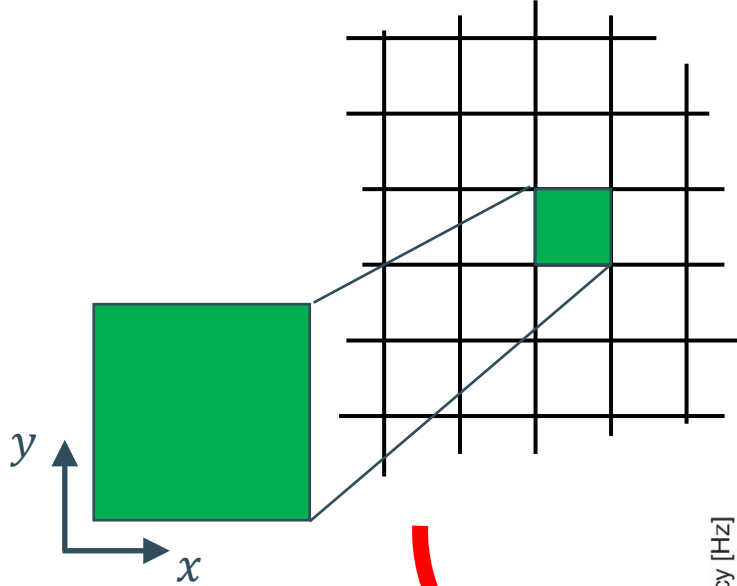
# Summary: dispersion surfaces to curves



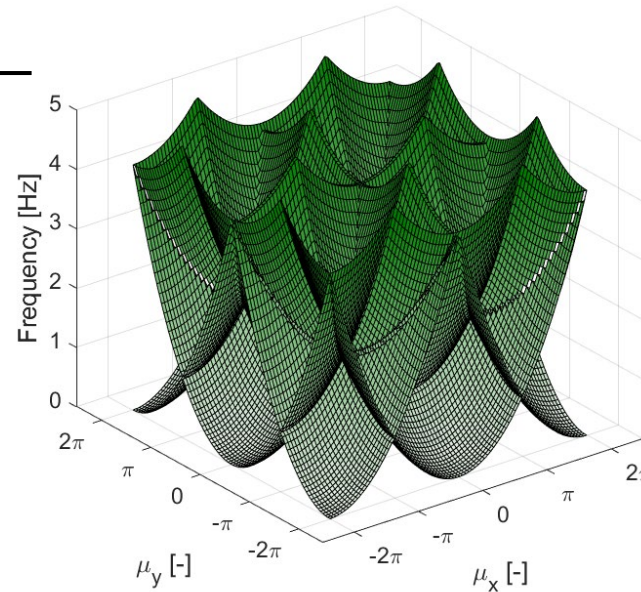


# Summary: dispersion surfaces to curves

UC modeling



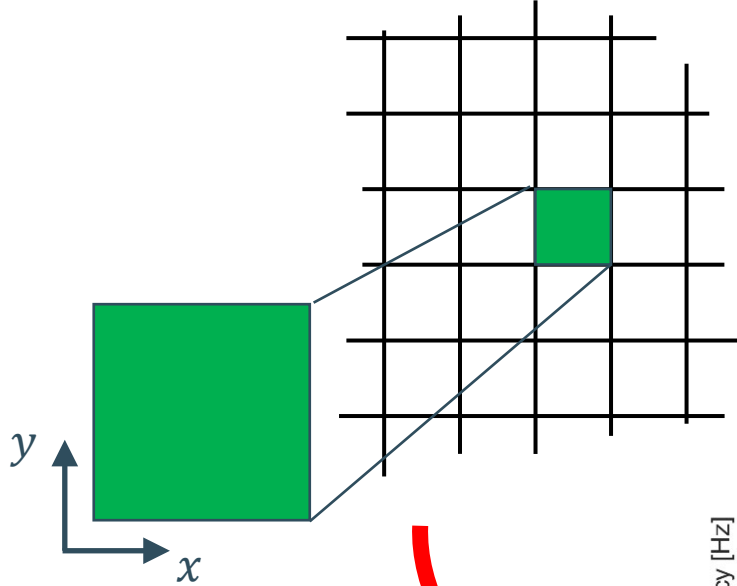
Dispersion surfaces



$[\tilde{\mathbf{K}} - \omega^2 \tilde{\mathbf{M}}] \tilde{\mathbf{q}} = \mathbf{0}$   
Impose real  $(\mu_x, \mu_y)$   
Solve for real  $\omega$

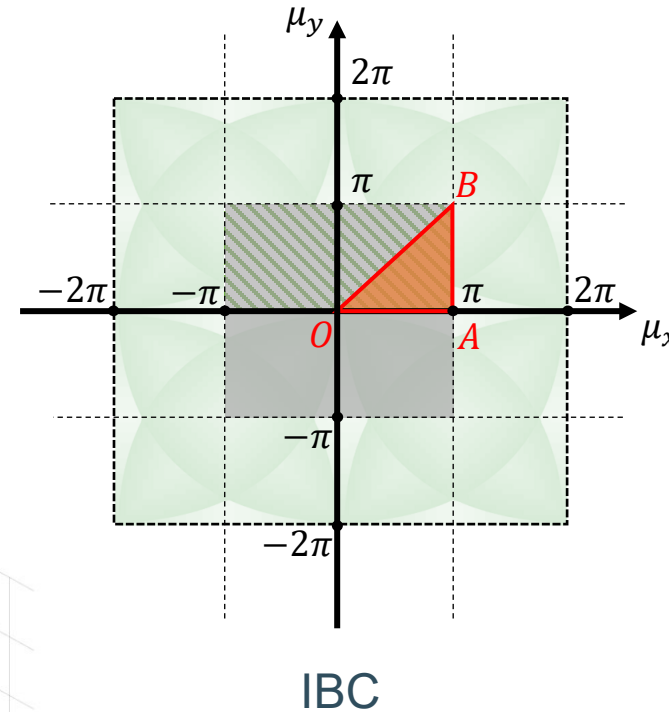
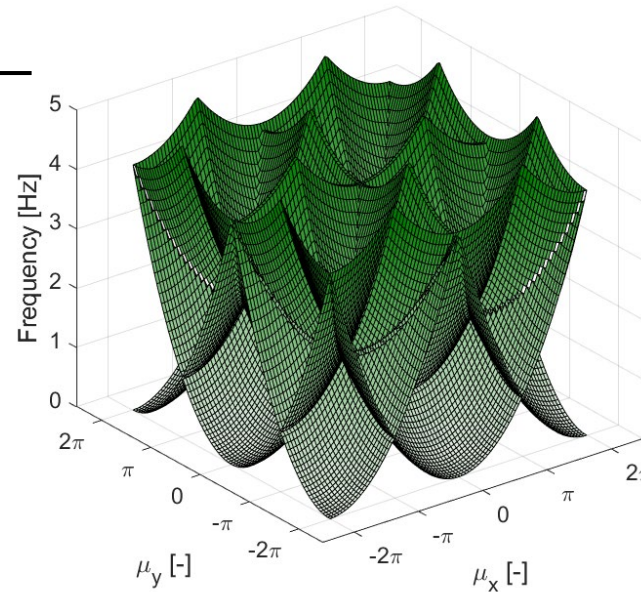
# Summary: dispersion surfaces to curves

UC modeling



Periodicity & symmetry

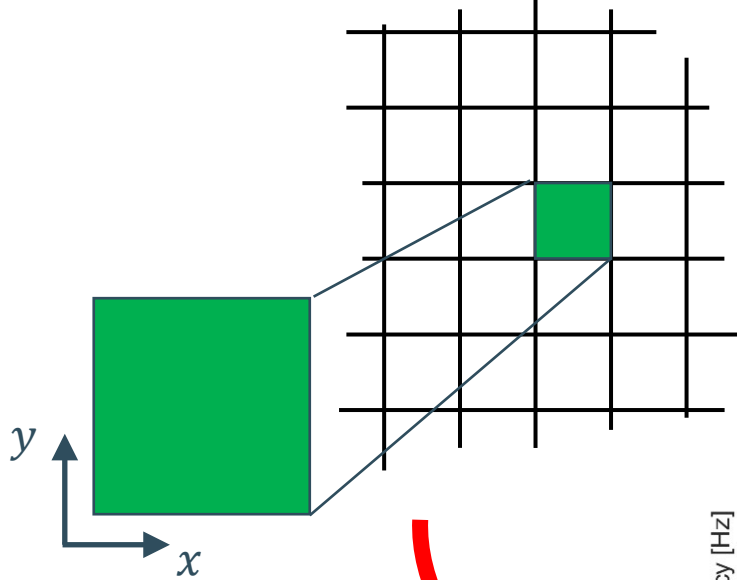
Dispersion surfaces



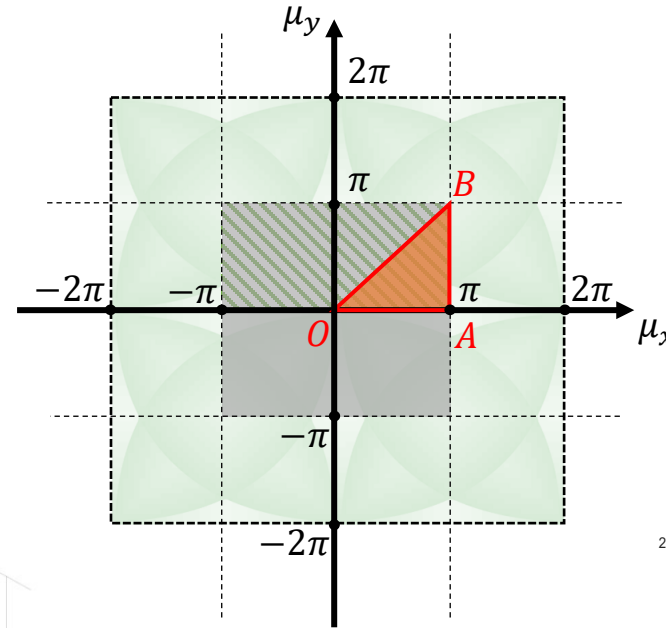
$[\tilde{\mathbf{K}} - \omega^2 \tilde{\mathbf{M}}] \tilde{\mathbf{q}} = 0$   
 Impose real  $(\mu_x, \mu_y)$   
 Solve for real  $\omega$

# Summary: dispersion surfaces to curves

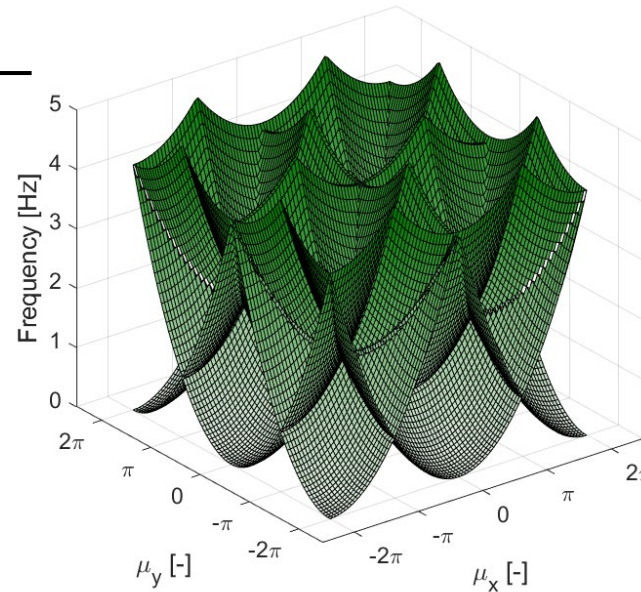
UC modeling



Periodicity & symmetry



Dispersion surfaces

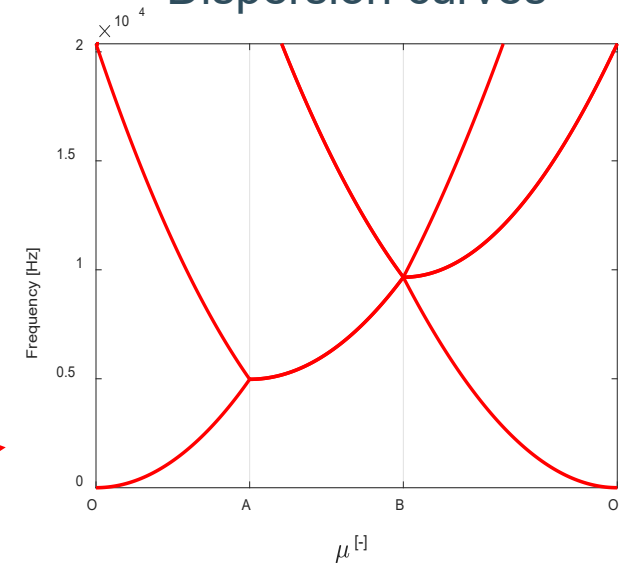


$[\tilde{\mathbf{K}} - \omega^2 \tilde{\mathbf{M}}] \tilde{\mathbf{q}} = 0$   
 Impose real  $(\mu_x, \mu_y)$   
 Solve for real  $\omega$

IBC

Extrema on IBC

Dispersion curves



# Matlab implementation

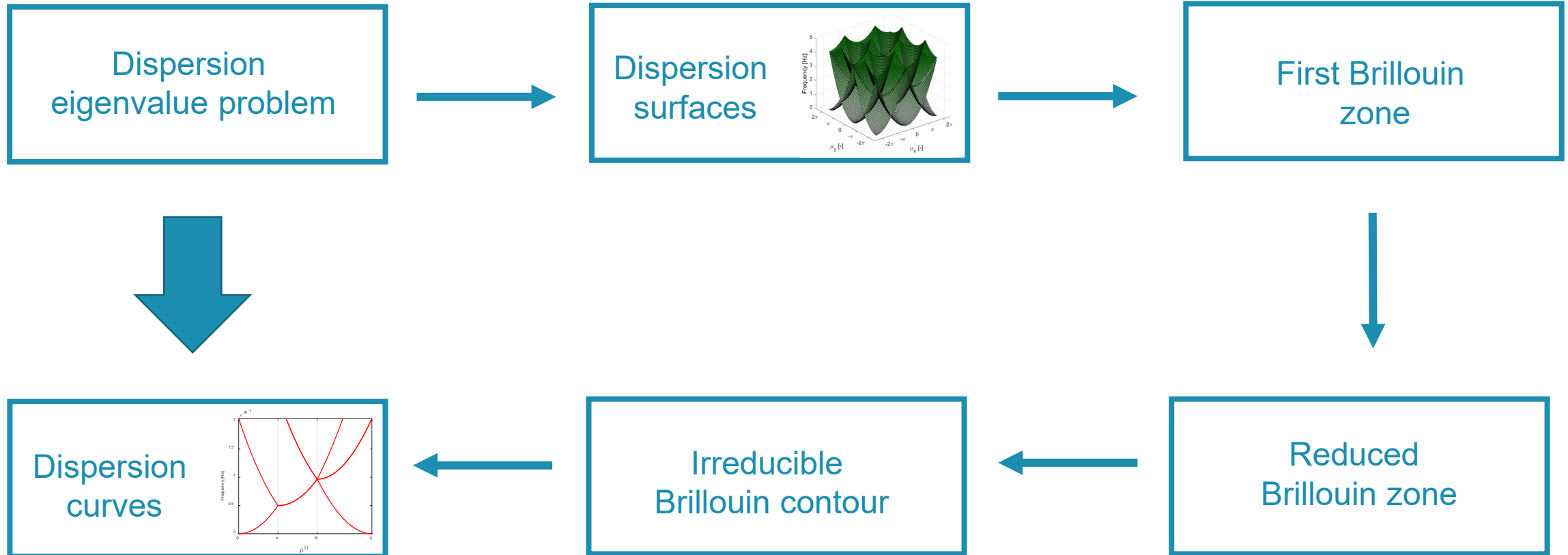
```
% Element size
ax = Lx/nelx;
ay = Ly/nely;
az = Lz/nelz;
% Information UC
n_elem = nelx*nely*nelz;
n_nodes = (nelx+1)*(nely+1)*(nelz+1);
nDOF = 3; % Number of DOFs per node;
n_DOFs = nDOF*n_nodes;
mass_UC = rho*(nelx*ax)*(nely*ay)*(nelz*az);
% Element matrices
[KE,ME] = KM(E,v,rho,ax,ay,az);
% FEM information (hard-coded for nDOF = 3)
nodeNrs = reshape(1:n_nodes, 1+nely, 1+nelz, 1+nelx); % nodes numbering
cMat = reshape(nDOF * nodeNrs(1:nely, 1:nelz, 1:nelx)+1, n_elem, 1) + ...
    [0,1,2,3*(nely+1)*(nelz+1)+[0,1,2,-3,-2,-1],-3,-2,-1,3*(nely+1)+...
    1)+[0,1,2],3*(nely+1)*(nelz+2)+[0,1,2,-3,-2,-1],3*(nely+1)+[-3,-2,-1]]; % connectivity matrix DC

%% DOFs partitioning
DofNrs = reshape(1:n_DOFs, nDOF*(nely+1), nelz+1, nelx+1);
dofs.L = DofNrs(nDOF+1:end-nDOF, :, 1); dofs.L = dofs.L(:);
dofs.R = DofNrs(nDOF+1:end-nDOF, :, end); dofs.R = dofs.R(:);
dofs.T = DofNrs(1:nDOF, :, 2:end-1); dofs.T = dofs.T(:);
dofs.B = DofNrs(end-(nDOF-1):end, :, 2:end-1); dofs.B = dofs.B(:);
dofs.TL = DofNrs(1:nDOF, :, 1); dofs.TL = dofs.TL(:);
dofs.TR = DofNrs(1:nDOF, :, end); dofs.TR = dofs.TR(:);
dofs.BL = DofNrs(end-(nDOF-1):end, :, 1); dofs.BL = dofs.BL(:);
dofs.BR = DofNrs(end-(nDOF-1):end, :, end); dofs.BR = dofs.BR(:);
dofs.I = DofNrs(nDOF+1:end-nDOF, :, 2:end-1); dofs.I = dofs.I(:);

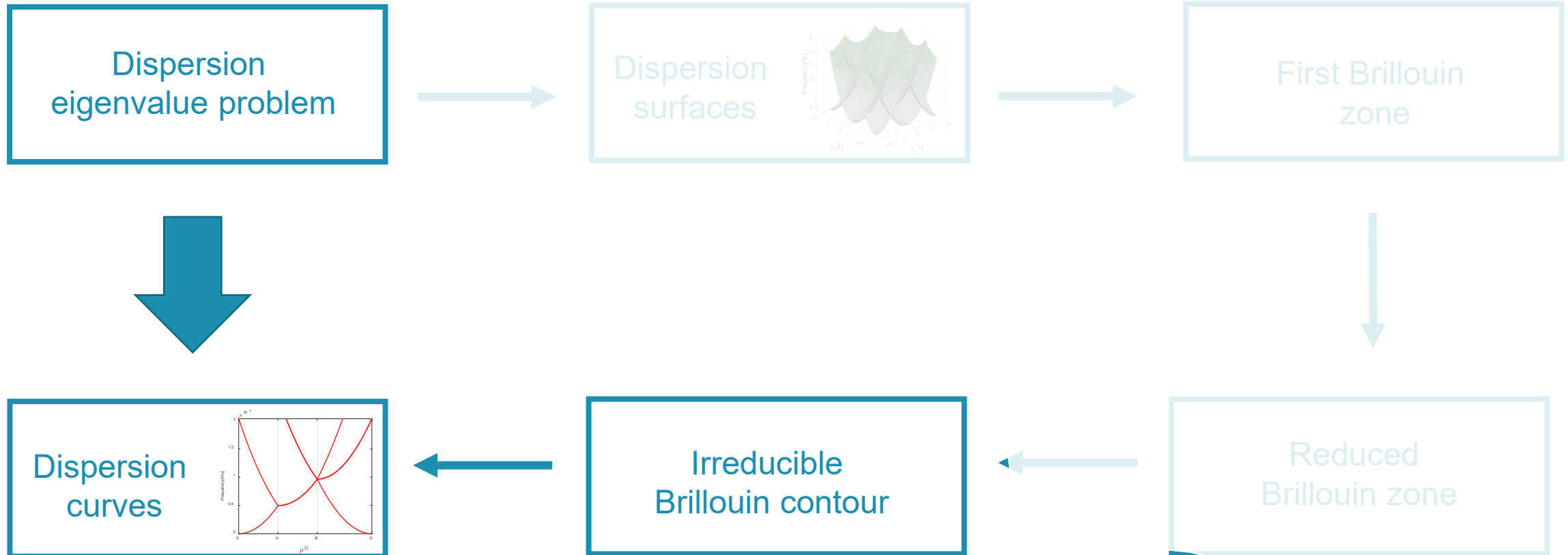
%% Get system matrices
% Assemble full matrices
iL = reshape(kron(cMat,ones(24,1))',24*24*n_elem,1);
jL = reshape(kron(cMat,ones(1,24))',24*24*n_elem,1);
sK = reshape(KE(:)*(ones(n_elem,1))',24*24*n_elem,1);
sM = reshape(ME(:)*(ones(n_elem,1))',24*24*n_elem,1);
K = sparse(iL,jL,sK);
M = sparse(iL,jL,sM);
% Add resonator/ mass
switch scatterer
case 'mass'
    mass = m_ratio*mass_UC;
    dofM = 3*nodeNrs(floor(end/2),end,floor(end/2));
    M(dofM,dofM) = M(dofM,dofM) + mass;
case 'resonator'
    % Add extra zero row and column to K, M
    K = [K zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
    M = [M zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
    % Define mass and stiffness
    mass = m_ratio*mass_UC;
    k_res = (f_res*2*pi)^2*mass;
    dofK = 3*nodeNrs(floor(end/2),end,floor(end/2));
    n_DOFs = n_DOFs+1;
    dofM = n_DOFs;
    % Add resonator matrices to UC mass, stiffness matrices
    K([dofK dofM],[dofK dofM]) = K([dofK dofM],[dofK dofM]) + [k_res -k_res; -k_res k_res];
    M([dofK dofM],[dofK dofM]) = M([dofK dofM],[dofK dofM]) + [0 0; 0 mass];
    dofs.I = [dofs.I; dofM];
end

%% Sampling IBC
mu = li*cont_co(1,:);
```

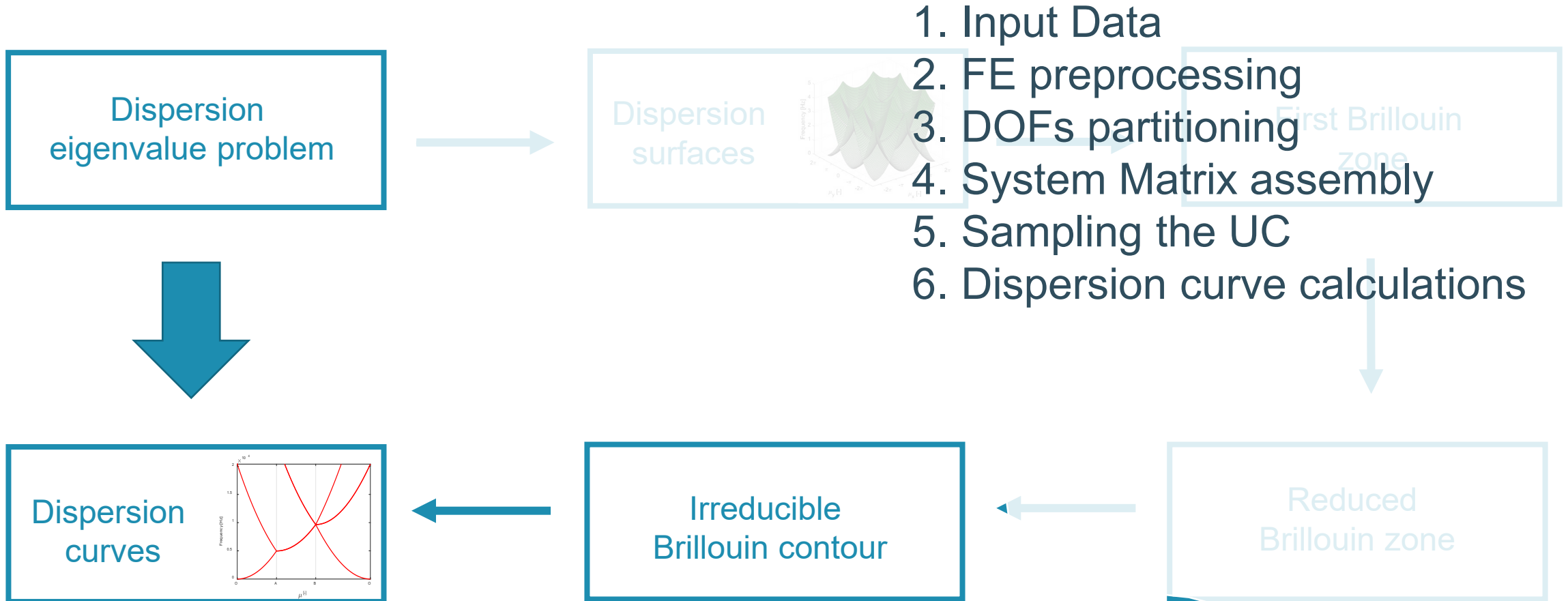
# EVP to dispersion curves



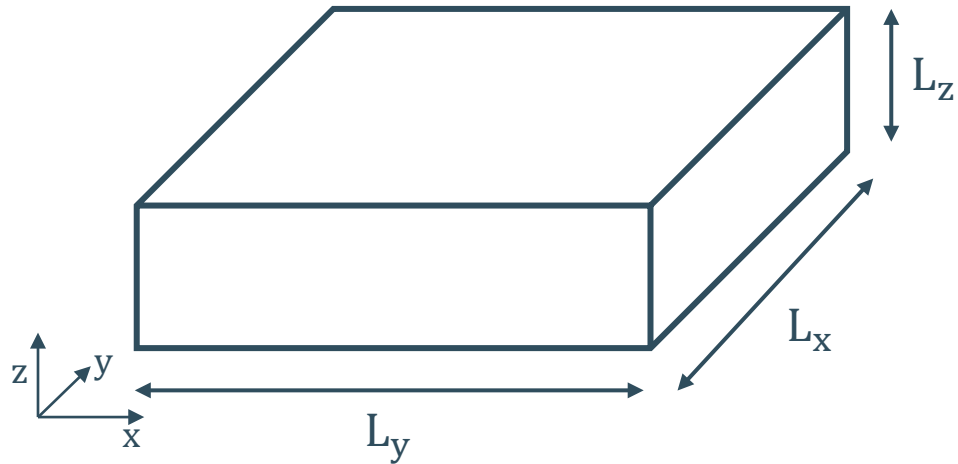
# EVP to dispersion curves



# EVP to dispersion curves



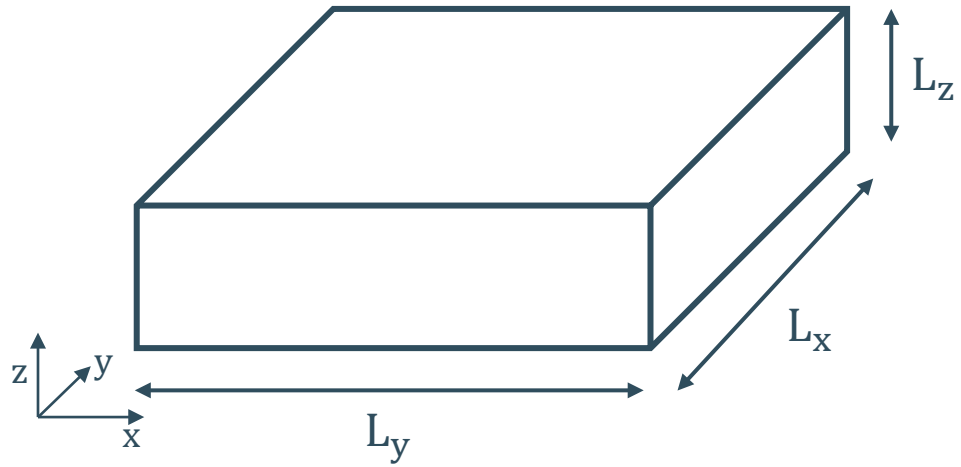
# Input data



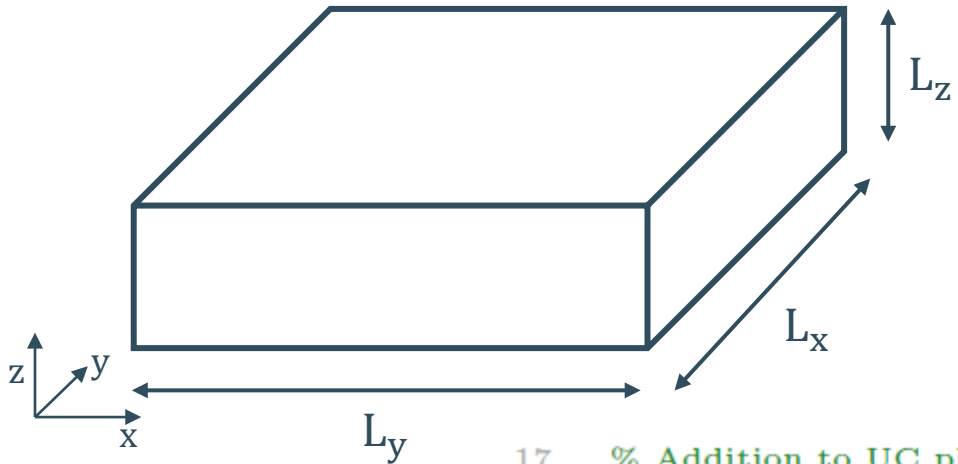
```
5 % UC size
6 Lx = 0.05; % UC size x-direction [m]
7 Ly = 0.05; % UC size y-direction [m]
8 Lz = 0.005; % UC size z-direction [m]
9 % Material
10 E = 210e9; % Young's modulus [Pa]
11 v = 0.3; % Poisson ratio [-]
12 rho = 7800; % Mass density [kg/m^3]
13 % Mesh definition
14 nelx = 10; % Number of elements in x-dir [-] (>0)
15 nely = 10; % Number of elements in y-dir [-] (>0)
16 nelz = 3; % Number of elements in z-dir [-] (>0)
```



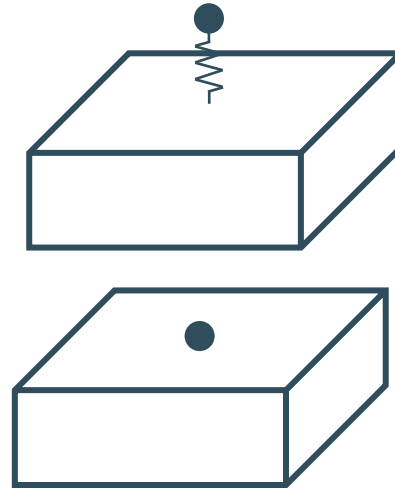
# Input data



# Input data

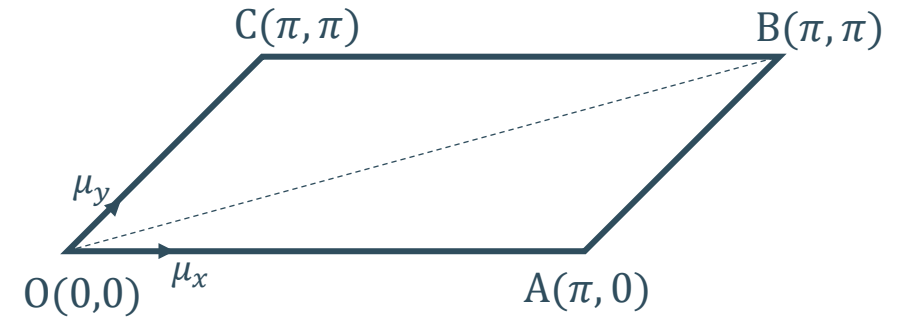
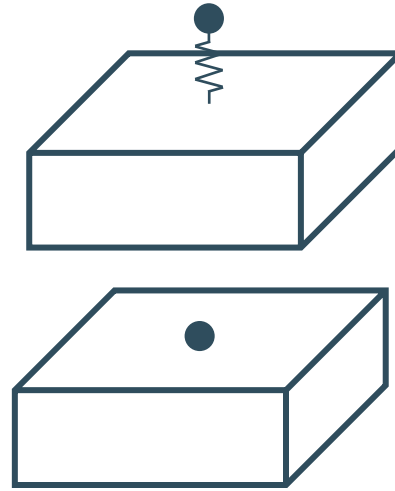
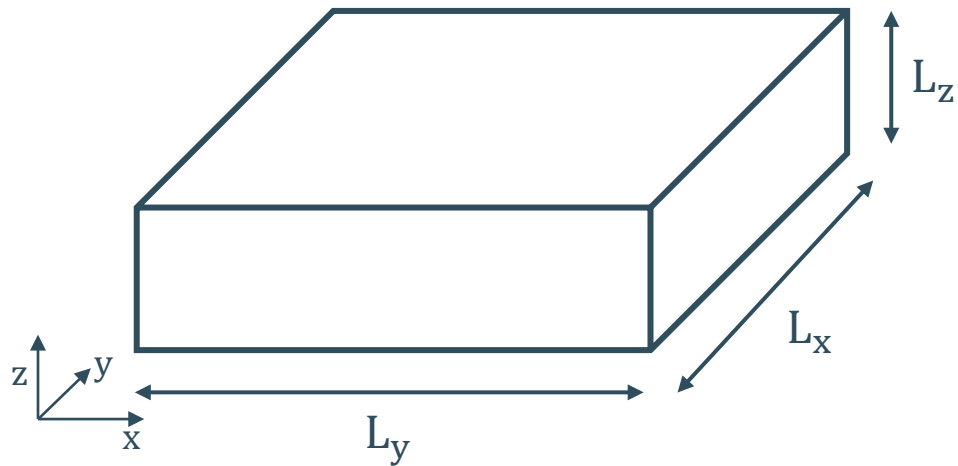


```
17 % Addition to UC plate
18 scatterer = 'mass';
19 f_res = 2500;
20 m_ratio = 0.3;
```



```
% 'none', 'resonator', 'mass'
% resonance of resonator [Hz]
% Scatterer mass ratio vs plate [-]
```

# Input data



```

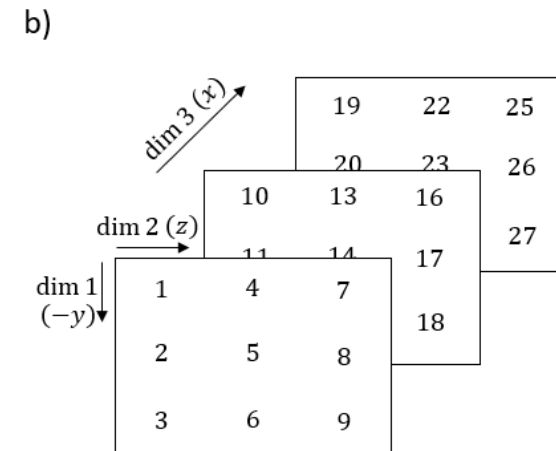
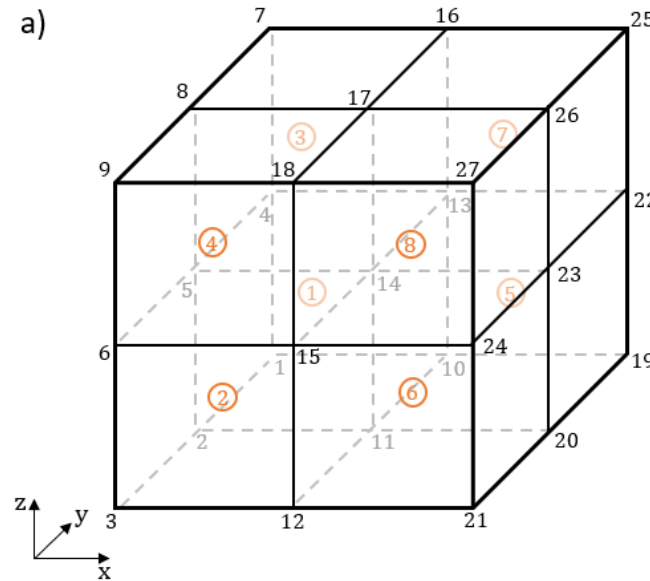
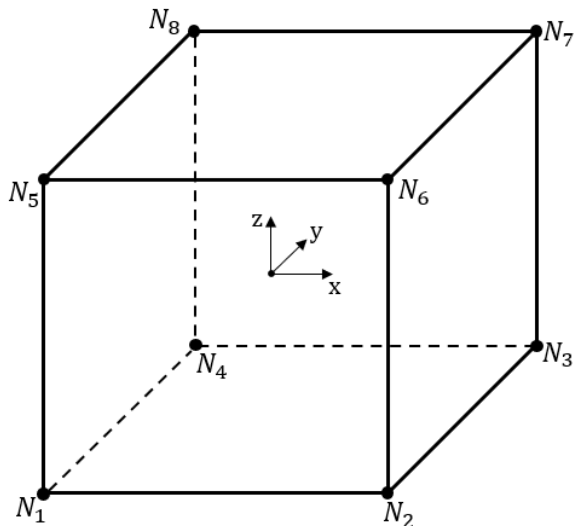
21 % Parameters for dispersion curves
22 cont_name = {'O','A','B','O'}; % Name of the IBC contour
23 cont_co = [0,0; pi,0; pi,pi; 0,0]; % IBC definition
24 step_size = 0.01*pi; % Resolution propagation constant
25 n_curves = 10; % Number of calculated wave modes
    
```

# FE preprocessing

```

27 %% Prepare FEM analysis
28 % Element size
29 ax = Lx/nelx;
30 ay = Ly/nely;
31 az = Lz/nelz;
32 % Information UC
33 n_elem = nelx*nely*nelz;
34 n_nodes = (nelx+1)*(nely+1)*(nelz+1);
35 nDOF = 3; % Number of DOFs per node;
36 n_DOFs = nDOF*n_nodes;
37 mass_UC = rho*(nelx*ax)*(nely*ay)*(nelz*az);
38 % Element matrices
39 [KE,ME] = KM(E,v,rho,ax,ay,az);
40 % FEM information (hard-coded for nDOF = 3)
41 nodeNrs = reshape(1:n_nodes, 1+nely, 1+nelz, 1+nelx); % nodes numbering
42 cMat = reshape(nDOF * nodeNrs(1:nely, 1:nelz, 1:nelx)+1, n_elem, 1) + ...
43 [0,1,2,3*(nely+1)*(nelz+1)+[0,1,2,-3,-2,-1],-3,-2,-1,3*(nely+1)+...
44 1)+[0,1,2],3*(nely+1)*(nelz+2)+[0,1,2,-3,-2,-1],3*(nely+1)+[-3,-2,-1]]; % connectivity matrix DOFs

```

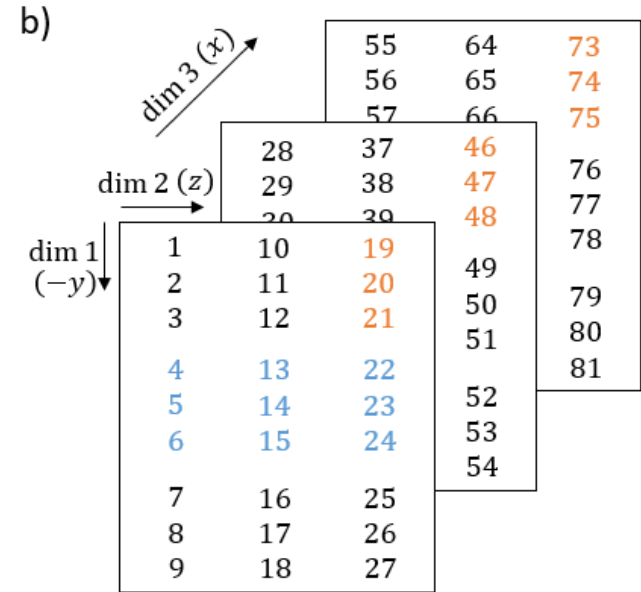
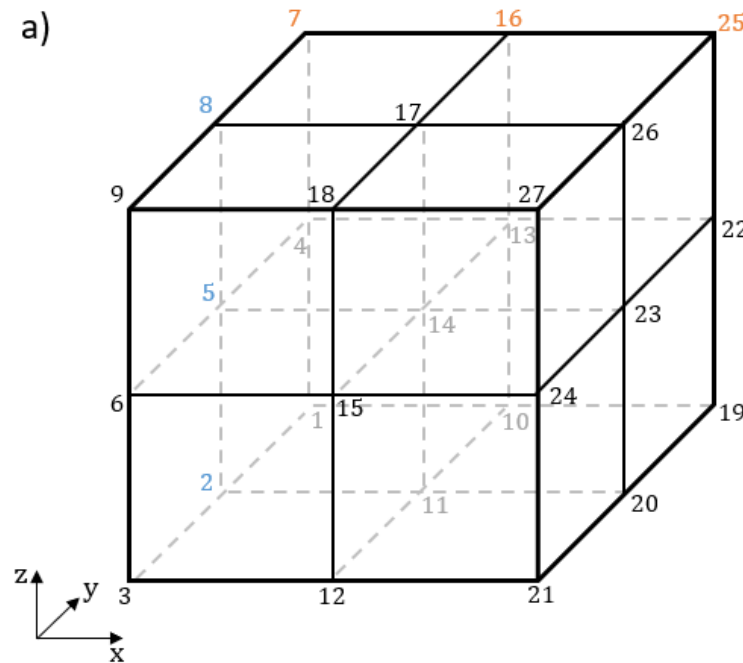


# DOFs partitioning

```

46 %% DOFs partitioning
47 DofNrs = reshape(1:n_DOFs, nDOF*(n_ely+1), n_elz+1, n_elx+1);
48 dofs.L = DofNrs(nDOF+1:end-nDOF, :, 1); dofs.L = dofs.L(:);
49 dofs.R = DofNrs(nDOF+1:end-nDOF, :, end); dofs.R = dofs.R(:);
50 dofs.T = DofNrs(1:nDOF, :, 2:end-1); dofs.T = dofs.T(:);
51 dofs.B = DofNrs(end-(nDOF-1):end, :, 2:end-1); dofs.B = dofs.B(:);
52 dofs.TL = DofNrs(1:nDOF, :, 1); dofs.TL = dofs.TL(:);
53 dofs.TR = DofNrs(1:nDOF, :, end); dofs.TR = dofs.TR(:);
54 dofs.BL = DofNrs(end-(nDOF-1):end, :, 1); dofs.BL = dofs.BL(:);
55 dofs.BR = DofNrs(end-(nDOF-1):end, :, end); dofs.BR = dofs.BR(:);
56 dofs.I = DofNrs(nDOF+1:end-nDOF, :, 2:end-1); dofs.I = dofs.I(:);

```

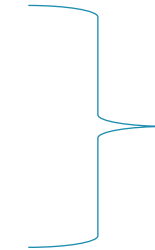


# System matrix assembly

```
58 %% System matrix assembly
59 % Assemble bare plate system matrices
60 iL = reshape(kron(cMat,ones(24,1))',24*24*n_elem,1);
61 jL = reshape(kron(cMat,ones(1,24))',24*24*n_elem,1);
62 sK = reshape(KE(:)*(ones(n_elem,1))',24*24*n_elem,1);
63 sM = reshape(ME(:)*(ones(n_elem,1))',24*24*n_elem,1);
64 K = sparse(iL,jL,sK);
65 M = sparse(iL,jL,sM);
66 % Add scatterer (point mass/resonator)
67 switch scatterer
68     case 'mass'
69         mass = m_ratio*mass_UC;
70         dofM = 3*nodeNrs(floor(end/2),end,floor(end/2));
71         M(dofM,dofM) = M(dofM,dofM) + mass;
72     case 'resonator'
73         % Add extra zero row and column to K, M
74         K = [K zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
75         M = [M zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
76         % Define mass and stiffness
77         mass = m_ratio*mass_UC;
78         k_res = (f_res*2*pi)^2*mass;
79         dofK = 3*nodeNrs(floor(end/2),end,floor(end/2));
80         n_DOFs = n_DOFs+1;
81         dofM = n_DOFs;
82         % Add resonator matrices to bare plate UC system matrices
83         K([dofK dofM],[dofK dofM]) = K([dofK dofM],[dofK dofM]) + [k_res -k_res; -k_res k_res];
84         M([dofK dofM],[dofK dofM]) = M([dofK dofM],[dofK dofM]) + [0 0; 0 mass];
85     dofs.I = [dofs.I; dofM];
86 end
```

# System matrix assembly

```
58 %% System matrix assembly
59 % Assemble bare plate system matrices
60 iL = reshape(kron(cMat,ones(24,1))',24*24*n_elem,1);
61 jL = reshape(kron(cMat,ones(1,24))',24*24*n_elem,1);
62 sK = reshape(KE(:)*(ones(n_elem,1))',24*24*n_elem,1);
63 sM = reshape(ME(:)*(ones(n_elem,1))',24*24*n_elem,1);
64 K = sparse(iL,jL,sK);
65 M = sparse(iL,jL,sM);
66 % Add scatterer (point mass/resonator)
67 switch scatterer
68     case 'mass'
69         mass = m_ratio*mass_UC;
70         dofM = 3*nodeNrs(floor(end/2),end,floor(end/2));
71         M(dofM,dofM) = M(dofM,dofM) + mass;
72     case 'resonator'
73         % Add extra zero row and column to K, M
74         K = [K zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
75         M = [M zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
76         % Define mass and stiffness
77         mass = m_ratio*mass_UC;
78         k_res = (f_res*2*pi)^2*mass;
79         dofK = 3*nodeNrs(floor(end/2),end,floor(end/2));
80         n_DOFs = n_DOFs+1;
81         dofM = n_DOFs;
82         % Add resonator matrices to bare plate UC system matrices
83         K([dofK dofM],[dofK dofM]) = K([dofK dofM],[dofK dofM]) + [k_res -k_res; -k_res k_res];
84         M([dofK dofM],[dofK dofM]) = M([dofK dofM],[dofK dofM]) + [0 0; 0 mass];
85     dofs.I = [dofs.I; dofM];
86 end
```



System matrices of  
the bare plate

# System matrix assembly

```
58 %% System matrix assembly
59 % Assemble bare plate system matrices
60 iL = reshape(kron(cMat,ones(24,1))',24*24*n_elem,1);
61 jL = reshape(kron(cMat,ones(1,24))',24*24*n_elem,1);
62 sK = reshape(KE(:)*(ones(n_elem,1))',24*24*n_elem,1);
63 sM = reshape(ME(:)*(ones(n_elem,1))',24*24*n_elem,1);
64 K = sparse(iL,jL,sK);
65 M = sparse(iL,jL,sM);
66 % Add scatterer (point mass/resonator)
67 switch scatterer
68     case 'mass'
69         mass = m_ratio*mass_UC;
70         dofM = 3*nodeNrs(floor(end/2),end,floor(end/2));
71         M(dofM,dofM) = M(dofM,dofM) + mass;
72     case 'resonator'
73         % Add extra zero row and column to K, M
74         K = [K zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
75         M = [M zeros(n_DOFs,1);zeros(1,n_DOFs) 0];
76         % Define mass and stiffness
77         mass = m_ratio*mass_UC;
78         k_res = (f_res*2*pi)^2*mass;
79         dofK = 3*nodeNrs(floor(end/2),end,floor(end/2));
80         n_DOFs = n_DOFs+1;
81         dofM = n_DOFs;
82         % Add resonator matrices to bare plate UC system matrices
83         K([dofK dofM],[dofK dofM]) = K([dofK dofM],[dofK dofM]) + [k_res -k_res; -k_res k_res];
84         M([dofK dofM],[dofK dofM]) = M([dofK dofM],[dofK dofM]) + [0 0; 0 mass];
85     dofs.I = [dofs.I; dofM];
86 end
```

System matrices of  
the bare plate

Addition of the point  
mass or the  
resonator

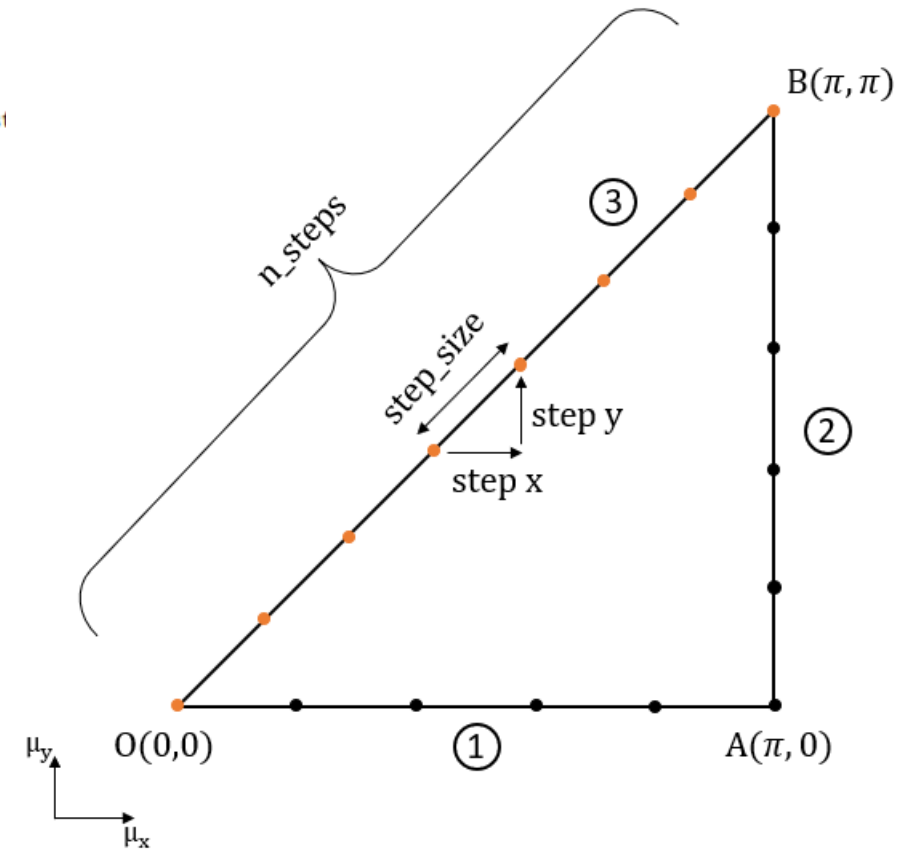


# Sampling the IBC

All  $(\mu_x, \mu_y)$ -pairs of interest need be defined

```

88 %% Sampling the IBC
89 mu = li*cont_co(1,:).';
90 tot_steps = zeros(1,size(cont_co,1));
91 for i = 1 : size(cont_co,1)-1
92     n_steps = ceil((sqrt((cont_co(i,1)-cont_co(i+1,1))^2+(cont_co(i,2)-cont_co(i+1,2))^2))/(st
93     ed = zeros(2,n_steps);
94     for j = 1:2 % j = 1 -> x; j = 2 -> y
95         step = (cont_co(i+1,j)-cont_co(i,j))/(n_steps);
96         if step == 0
97             ed(j,:) = cont_co(i,j)*ones(1,n_steps);
98         else
99             ed(j,:) = (cont_co(i,j)+step):step:cont_co(i+1,j);
100     end
101 end
102 mu = [mu, li*ed];
103 tot_steps(i+1) = tot_steps(i)+n_steps;
104 end
    
```



# Dispersion curve calculation

```
106 %% Dispersion curve calculation
107 omega = zeros(n_curves,size(mu,2));
108 parfor i = 1:size(mu,2)
109     % Construction of periodicity matrix
110     R = eye(n_DOFs,n_DOFs);
111     R(dofs.R,dofs.L) = exp(mu(1,i))*eye(length(dofs.R),length(dofs.L));
112     R(dofs.T,dofs.B) = exp(mu(2,i))*eye(length(dofs.T),length(dofs.B));
113     R(dofs.TL,dofs.BL) = exp(mu(2,i))*eye(length(dofs.TL),length(dofs.BL));
114     R(dofs.TR,dofs.BL) = exp(mu(1,i)+mu(2,i))*eye(length(dofs.TR),length(dofs.BL));
115     R(dofs.BR,dofs.BL) = exp(mu(1,i))*eye(length(dofs.BR),length(dofs.BL));
116     R = sparse(R(:,setdiff(1:n_DOFs,[dofs.R;dofs.T;dofs.TL;dofs.BR;dofs.TR])));
117     % Impose periodicity boundary conditions
118     K_BF = R'*K*R;
119     M_BF = R'*M*R;
120     % Compute dispersion curves
121     [~,s] = eigs(K_BF,M_BF,n_curves,0);
122     [s,~] = sort(diag(s));
123     omega(:,i) = sqrt(s);
124 end
```

# Dispersion curve calculation

```
106 %% Dispersion curve calculation
107 omega = zeros(n_curves,size(mu,2));
108 parfor i = 1:size(mu,2)
109     % Construction of periodicity matrix
110     R = eye(n_DOFs,n_DOFs);
111     R(dofs.R,dofs.L) = exp(mu(1,i))*eye(length(dofs.R),length(dofs.L));
112     R(dofs.T,dofs.B) = exp(mu(2,i))*eye(length(dofs.T),length(dofs.B));
113     R(dofs.TL,dofs.BL) = exp(mu(2,i))*eye(length(dofs.TL),length(dofs.BL));
114     R(dofs.TR,dofs.BL) = exp(mu(1,i)+mu(2,i))*eye(length(dofs.TR),length(dofs.BL));
115     R(dofs.BR,dofs.BL) = exp(mu(1,i))*eye(length(dofs.BR),length(dofs.BL));
116     R = sparse(R(:,setdiff(1:n_DOFs,[dofs.R;dofs.T;dofs.TL;dofs.BR;dofs.TR])));
117     % Impose periodicity boundary conditions
118     K_BF = R'*K*R;
119     M_BF = R'*M*R;
120     % Compute dispersion curves
121     [~,s] = eigs(K_BF,M_BF,n_curves,0);
122     [s,~] = sort(diag(s));
123     omega(:,i) = sqrt(s);
124 end
```

Computation of  
periodicity matrix  $R$

# Dispersion curve calculation

```
106 %% Dispersion curve calculation
107 omega = zeros(n_curves,size(mu,2));
108 parfor i = 1:size(mu,2)
109     % Construction of periodicity matrix
110     R = eye(n_DOFs,n_DOFs);
111     R(dofs.R,dofs.L) = exp(mu(1,i))*eye(length(dofs.R),length(dofs.L));
112     R(dofs.T,dofs.B) = exp(mu(2,i))*eye(length(dofs.T),length(dofs.B));
113     R(dofs.TL,dofs.BL) = exp(mu(2,i))*eye(length(dofs.TL),length(dofs.BL));
114     R(dofs.TR,dofs.BL) = exp(mu(1,i)+mu(2,i))*eye(length(dofs.TR),length(dofs.BL));
115     R(dofs.BR,dofs.BL) = exp(mu(1,i))*eye(length(dofs.BR),length(dofs.BL));
116     R = sparse(R(:,setdiff(1:n_DOFs,[dofs.R;dofs.T;dofs.TL;dofs.BR;dofs.TR])));
117     % Impose periodicity boundary conditions
118     K_BF = R'*K*R;
119     M_BF = R'*M*R;
120     % Compute dispersion curves
121     [~,s] = eigs(K_BF,M_BF,n_curves,0);
122     [s,~] = sort(diag(s));
123     omega(:,i) = sqrt(s);
124 end
```

Computation of  
periodicity matrix  $R$

Imposing the periodicity conditions  
on mass and stiffness matrix

# Dispersion curve calculation

```
106 %% Dispersion curve calculation
107 omega = zeros(n_curves,size(mu,2));
108 parfor i = 1:size(mu,2)
109     % Construction of periodicity matrix
110     R = eye(n_DOFs,n_DOFs);
111     R(dofs.R,dofs.L) = exp(mu(1,i))*eye(length(dofs.R),length(dofs.L));
112     R(dofs.T,dofs.B) = exp(mu(2,i))*eye(length(dofs.T),length(dofs.B));
113     R(dofs.TL,dofs.BL) = exp(mu(2,i))*eye(length(dofs.TL),length(dofs.BL));
114     R(dofs.TR,dofs.BL) = exp(mu(1,i)+mu(2,i))*eye(length(dofs.TR),length(dofs.BL));
115     R(dofs.BR,dofs.BL) = exp(mu(1,i))*eye(length(dofs.BR),length(dofs.BL));
116     R = sparse(R(:,setdiff(1:n_DOFs,[dofs.R;dofs.T;dofs.TL;dofs.BR;dofs.TR])));
117     % Impose periodicity boundary conditions
118     K_BF = R'*K*R;
119     M_BF = R'*M*R;
120     % Compute dispersion curves
121     [~,s] = eigs(K_BF,M_BF,n_curves,0);
122     [s,~] = sort(diag(s));
123     omega(:,i) = sqrt(s);
124 end
```

Computation of  
periodicity matrix  $R$

Imposing the periodicity conditions  
on mass and stiffness matrix

Computes the  $n\_curves$   
eigenvalues closest to zero

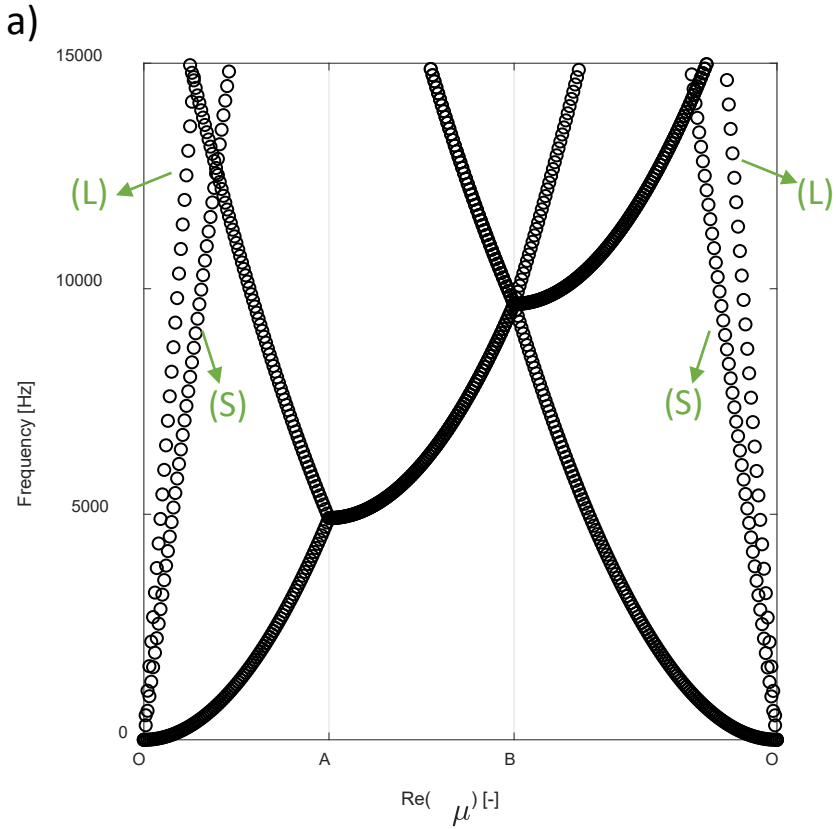
# Results

UC size [m]	Material	Discretization	IBC definition
$L_x = 0.05$	$E = 210e9$ GPa	$nelx = 10$	cont_name = O,A,B,O
$L_y = 0.05$	$\nu = 0.3$	$nely = 10$	contco = [0,0; pi,0; pi,pi; 0,0]
$L_z = 0.005$	$\rho = 7800$ kg/m <sup>3</sup>	$nelz = 3$	step_size = $0.01\pi$
		$n.dof = 3$	n_curves= 10

f\_res = 2500

# Results

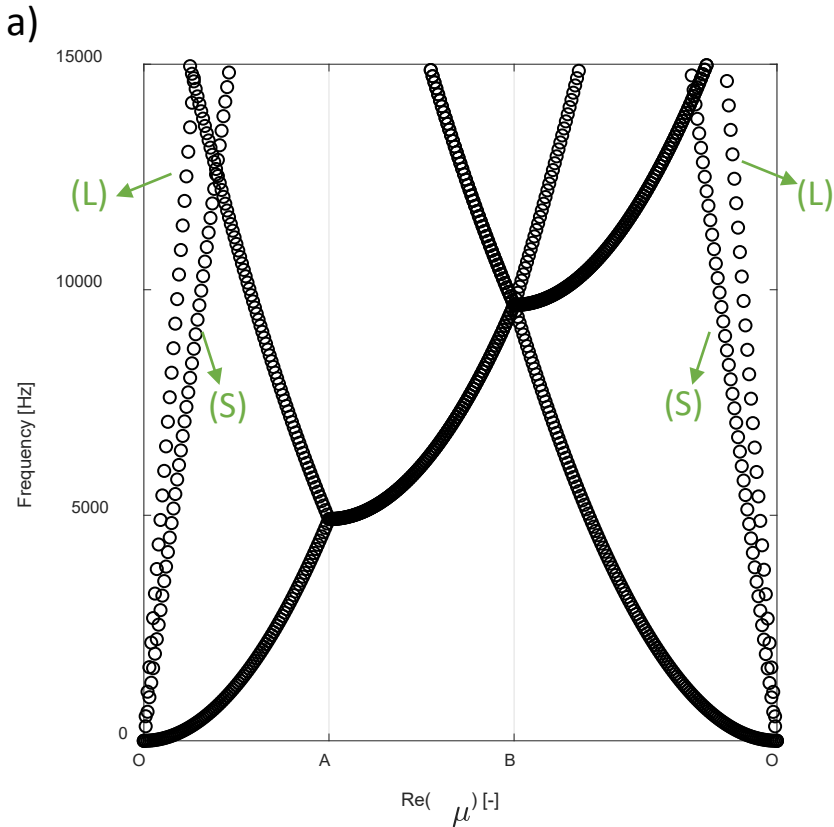
UC size [m]	Material	Discretization	IBC definition
$L_x = 0.05$	$E = 210e9$ GPa	$nelx = 10$	cont_name = O,A,B,O
$L_y = 0.05$	$\nu = 0.3$	$nely = 10$	contco = [0,0; pi,0; pi,pi; 0,0]
$L_z = 0.005$	$\rho = 7800$ kg/m <sup>3</sup>	$nelz = 3$	step_size = $0.01\pi$
		n.dof = 3	n_curves= 10



$f_{res} = 2500$

# Results

UC size [m]	Material	Discretization	IBC definition
$L_x = 0.05$	$E = 210e9$ GPa	$nelx = 10$	cont_name = O,A,B,O
$L_y = 0.05$	$\nu = 0.3$	$nely = 10$	contco = [0,0; pi,0; pi,pi; 0,0]
$L_z = 0.005$	$\rho = 7800$ kg/m <sup>3</sup>	$nelz = 3$	step_size = $0.01\pi$
		n.dof = 3	n_curves= 10

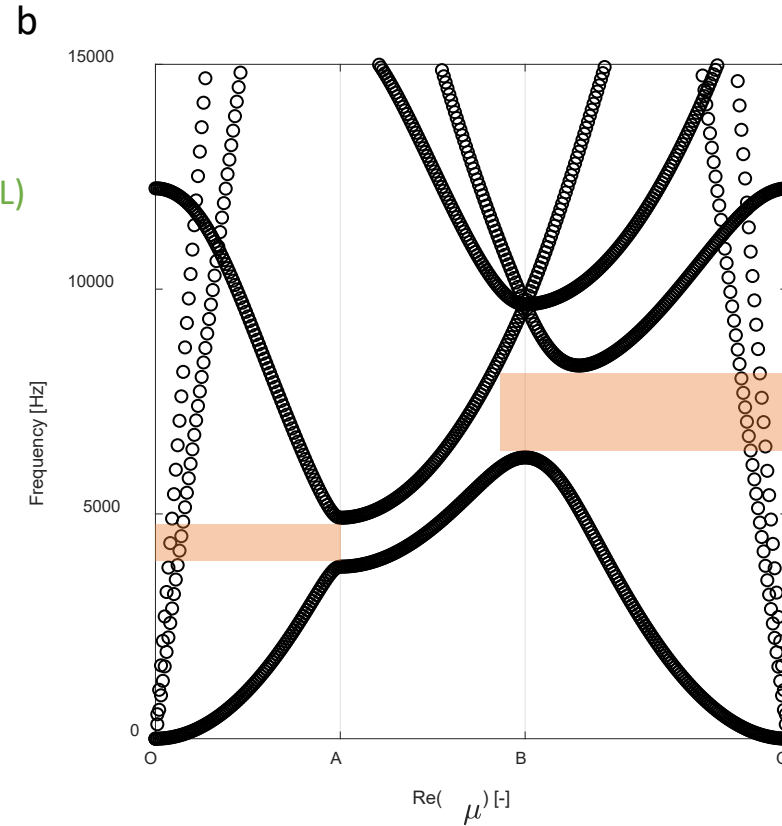
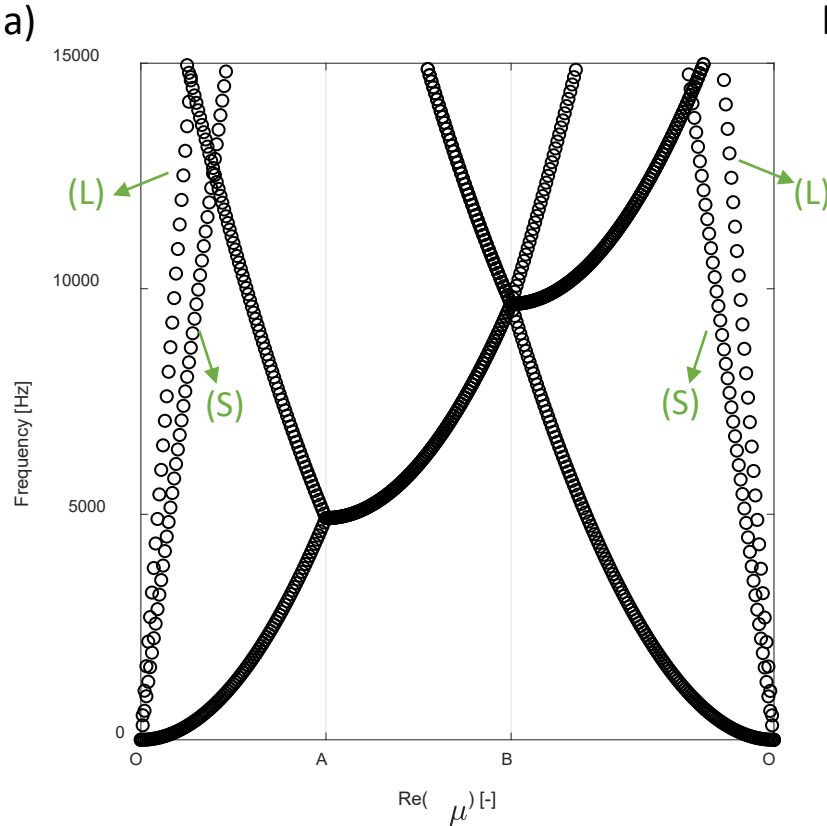


$$f_{\lambda/2} = \frac{\pi}{2L^2} \sqrt{\frac{t^2 E}{12(1 - \nu^2)\rho}}$$



# Results

UC size [m]	Material	Discretization	IBC definition
$L_x = 0.05$	$E = 210e9$ GPa	$nelx = 10$	cont_name = O,A,B,O
$L_y = 0.05$	$\nu = 0.3$	$nely = 10$	contco = [0,0; pi,0; pi,pi; 0,0]
$L_z = 0.005$	$\rho = 7800$ kg/m <sup>3</sup>	$nelz = 3$	step_size = $0.01\pi$
		$n\_dof = 3$	$n\_curves = 10$

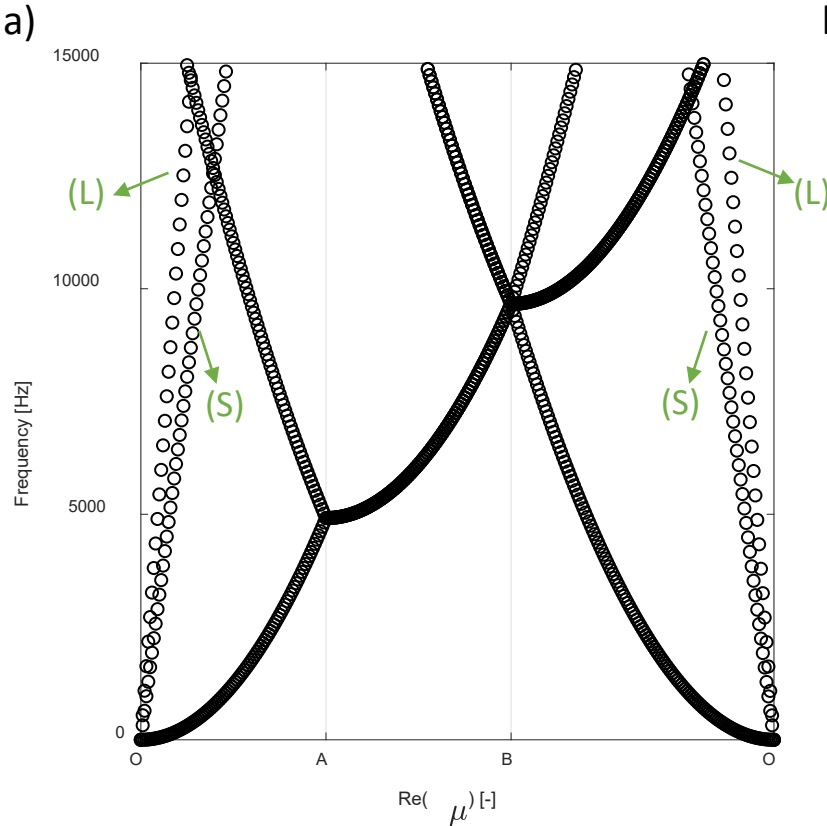


$$f_{\lambda/2} = \frac{\pi}{2L^2} \sqrt{\frac{t^2 E}{12(1 - \nu^2)\rho}}$$

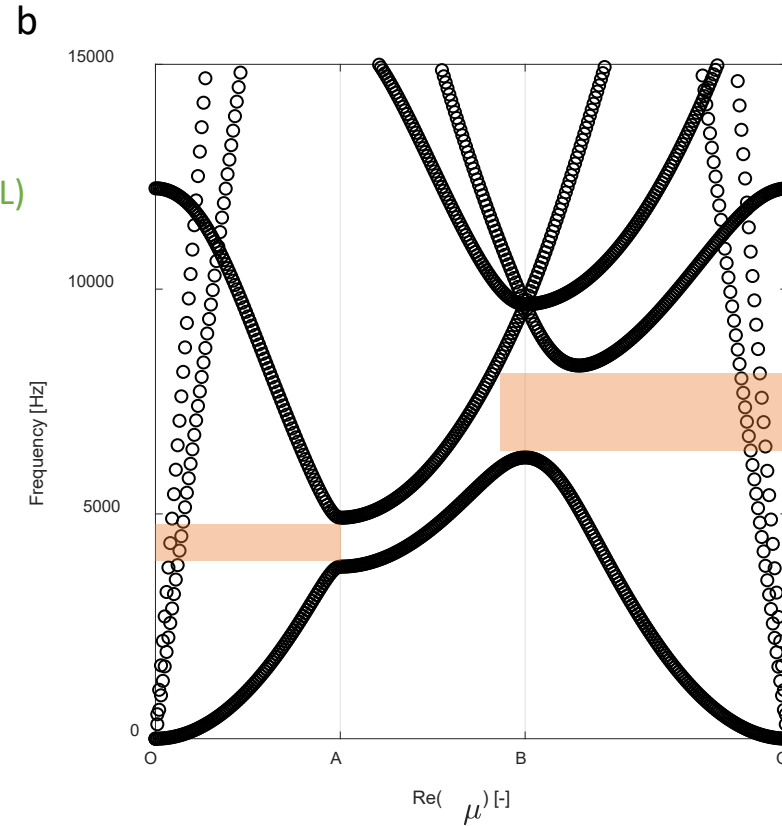
$m\_ratio = 0.3$

# Results

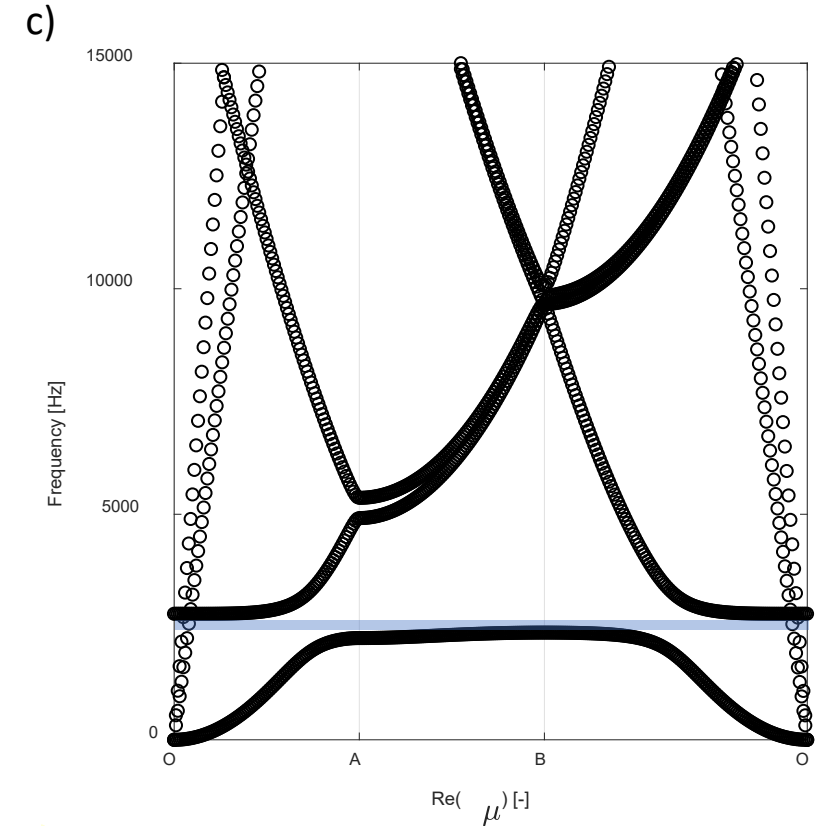
UC size [m]	Material	Discretization	IBC definition
$L_x = 0.05$	$E = 210e9$ GPa	$nelx = 10$	cont_name = O,A,B,O
$L_y = 0.05$	$\nu = 0.3$	$nely = 10$	contco = [0,0; pi,0; pi,pi; 0,0]
$L_z = 0.005$	$\rho = 7800$ kg/m <sup>3</sup>	$nelz = 3$	step_size = $0.01\pi$
		$n\_dof = 3$	$n\_curves = 10$



$$f_{\lambda/2} = \frac{\pi}{2L^2} \sqrt{\frac{t^2 E}{12(1-\nu^2)\rho}}$$



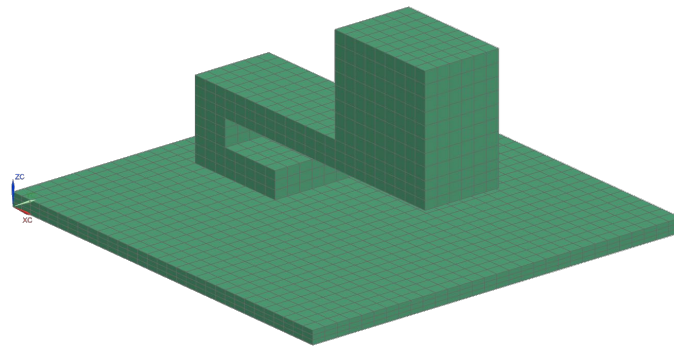
$m\_ratio = 0.3$



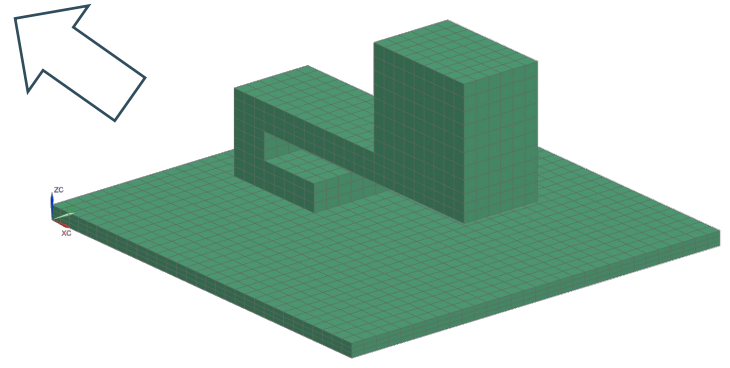
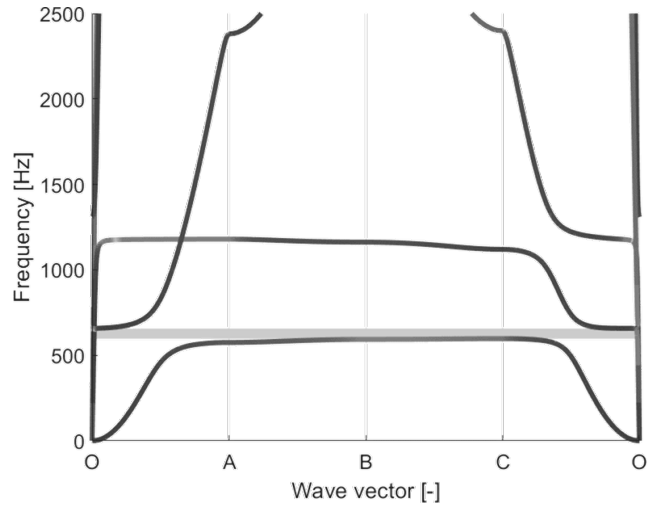
$m\_ratio = 0.3$

$f\_res = 2500$

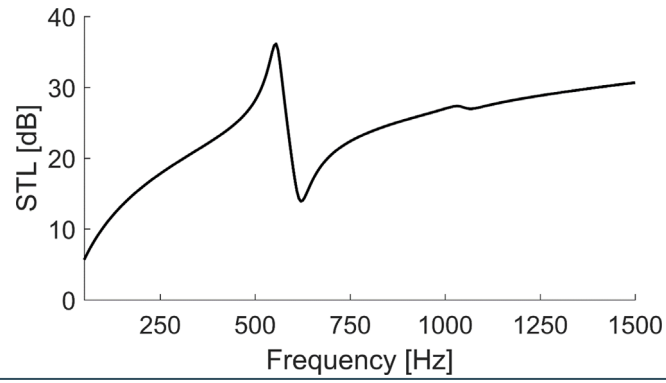
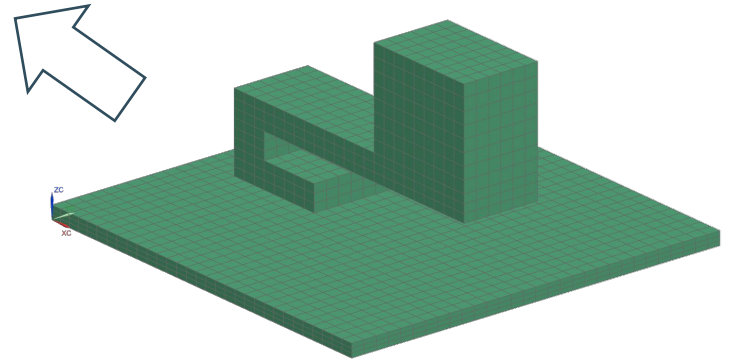
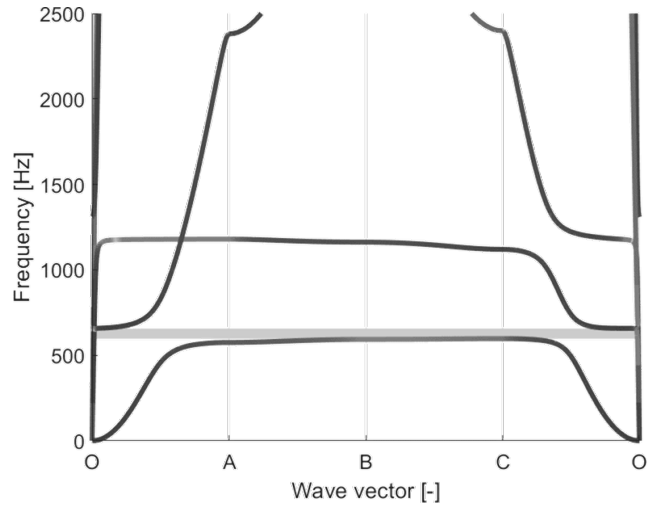
What else?



# a) (fast) dispersion curve computations

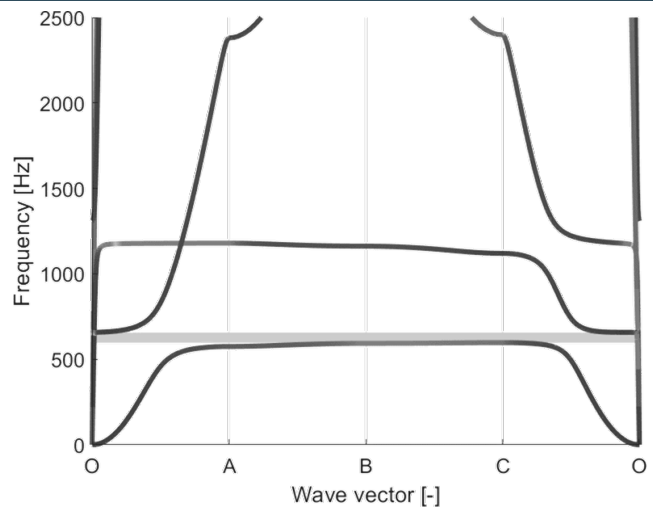


# a) (fast) dispersion curve computations

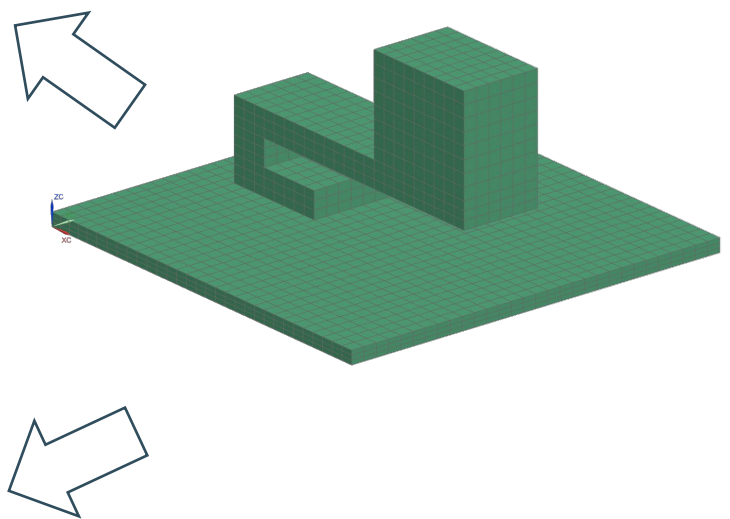
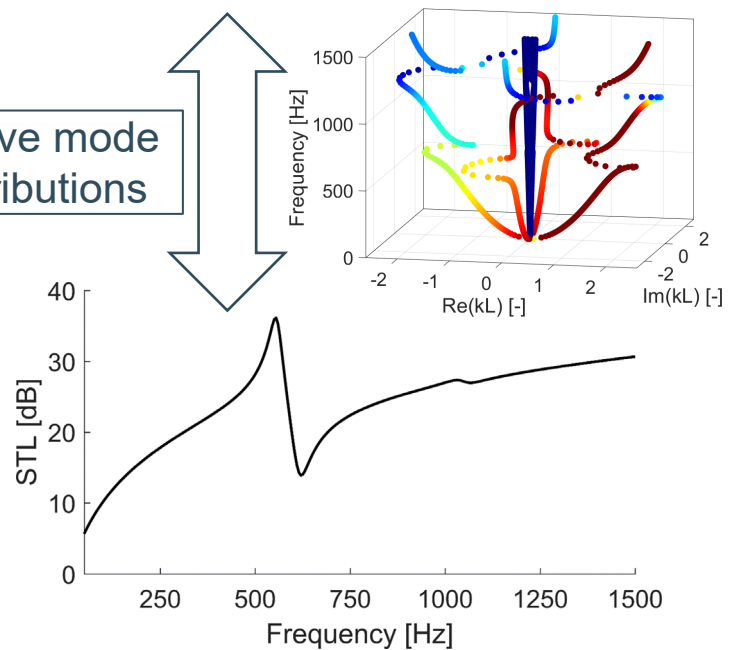


# b) infinite structure STL predictions

### a) (fast) dispersion curve computations

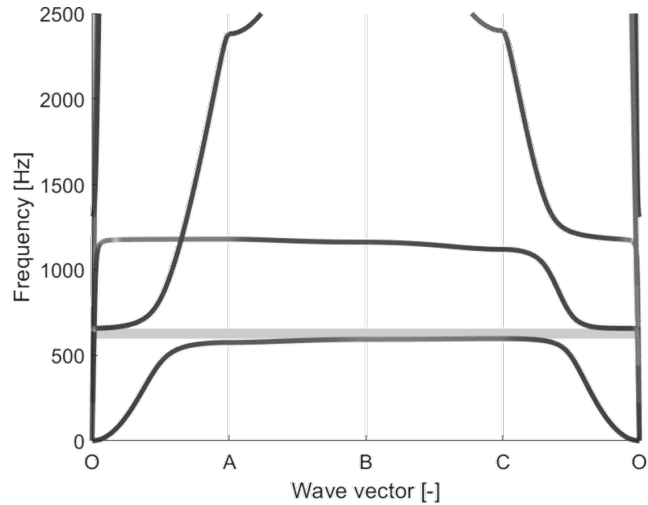


### c) wave mode contributions

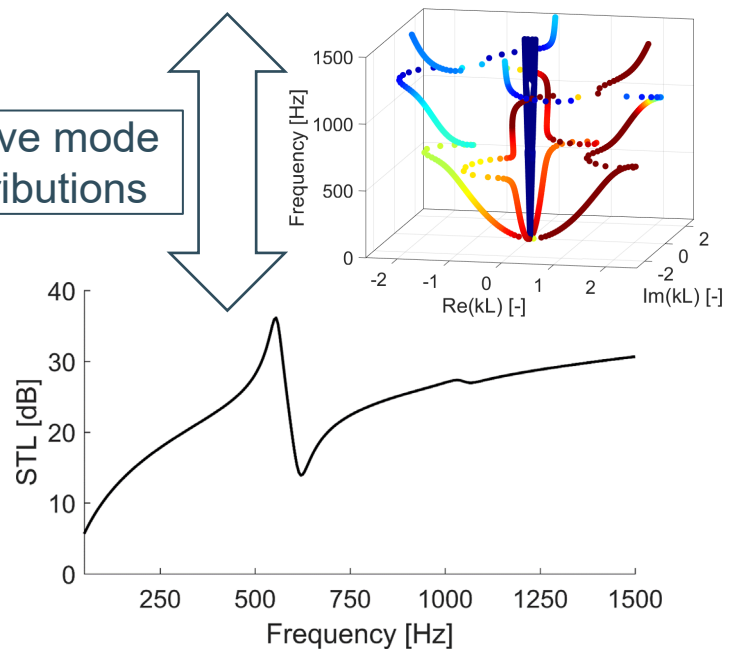


### b) infinite structure STL predictions

### a) (fast) dispersion curve computations

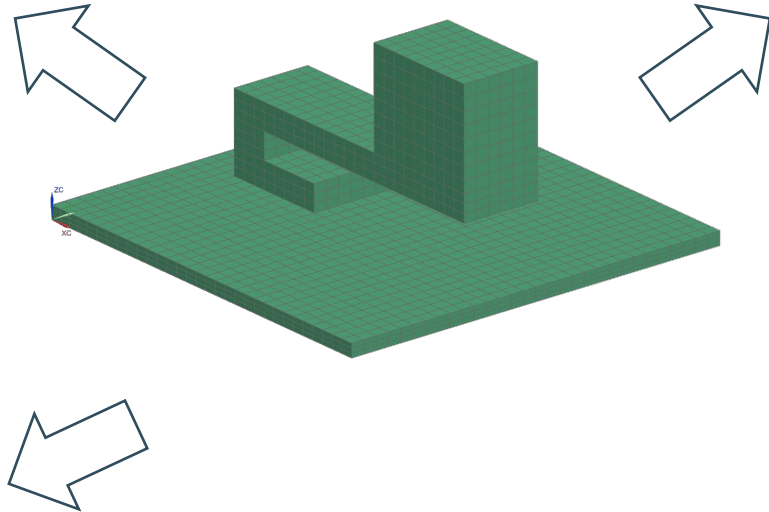
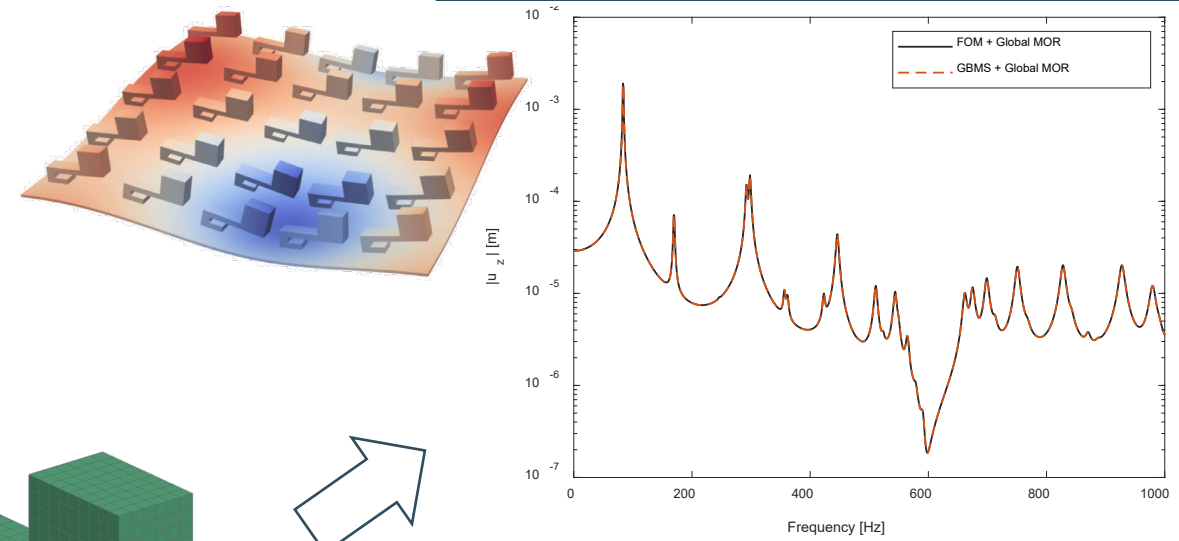


### c) wave mode contributions



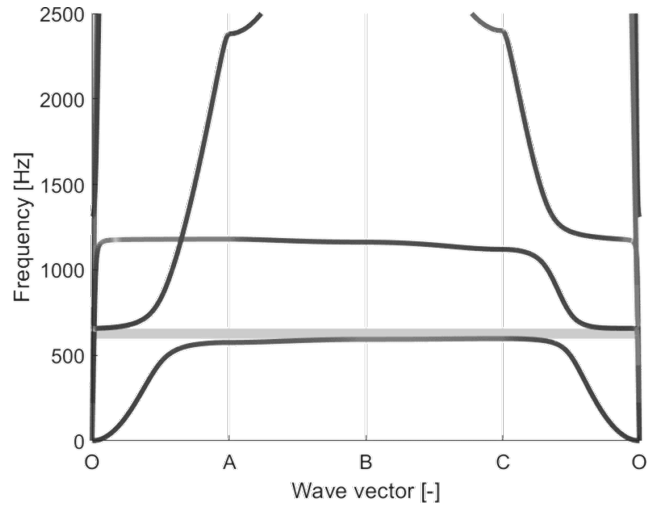
### b) infinite structure STL predictions

### d) finite structure response predictions

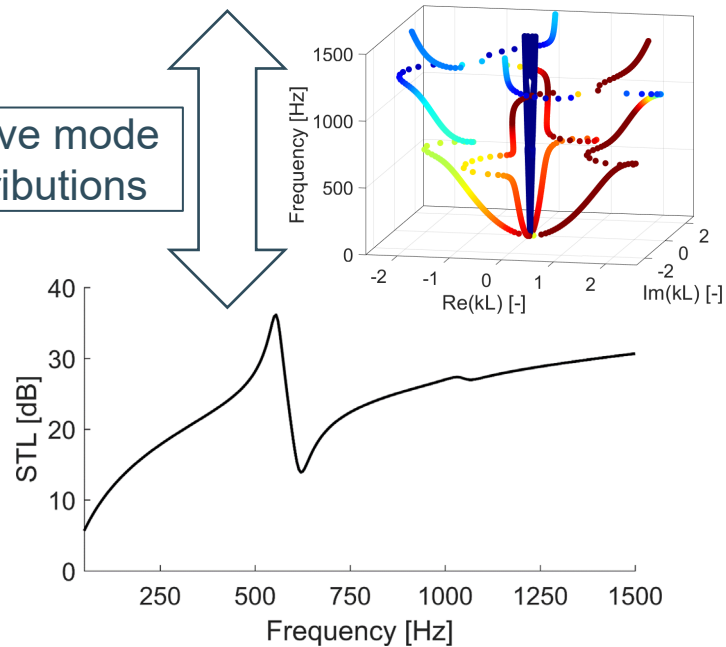




a) (fast) dispersion curve computations

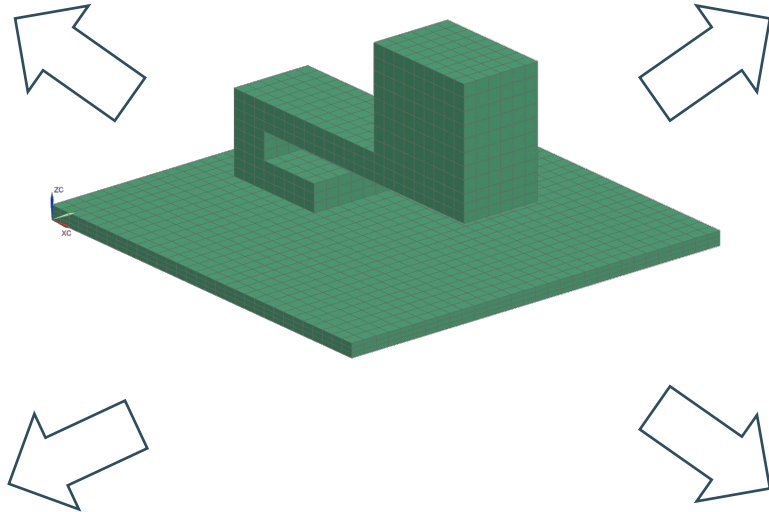
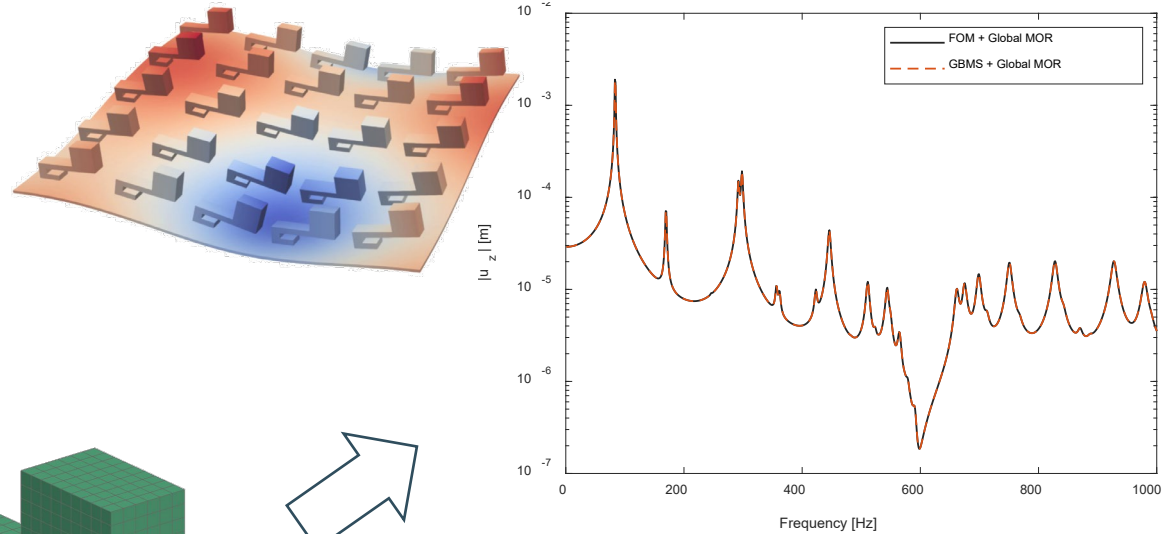


c) wave mode contributions

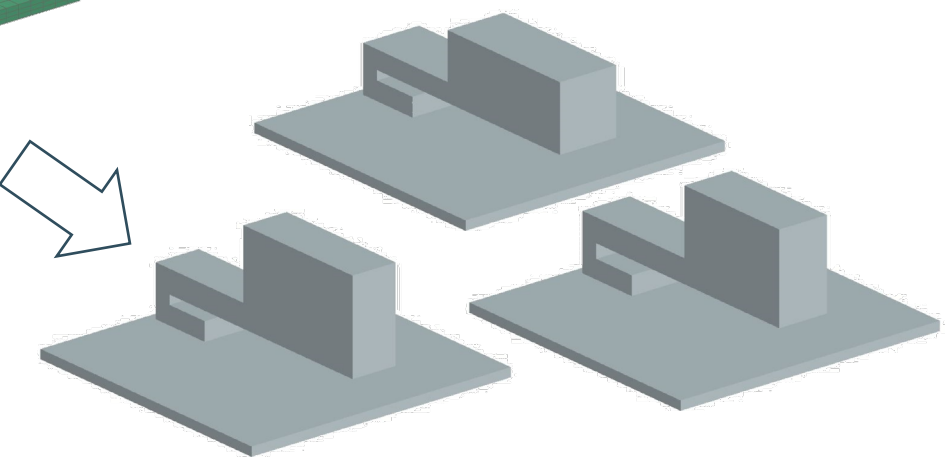


b) infinite structure STL predictions

d) finite structure response predictions



e) design/topology optimization





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